

ORIGINAL

OPEN MEETING ITEM



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BEFORE THE ARIZONA CORPORATION COMMISSION

2 COMMISSIONERS

2009 NOV 24 A 10:45

Arizona Corporation Commission

3 KRISTIN K. MAYES, Chairman
4 GARY PIERCE
5 PAUL NEWMAN
6 SANDRA D. KENNEDY
7 BOB STUMP

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

DOCKETED

NOV 24 2009

DOCKETED BY [Signature]

7 IN THE MATTER OF:

DOCKET NO. S-03536A-09-0503

8 MORGAN STANLEY & CO.,
9 INCORPORATED (CRD #8209),

PROCEDURAL ORDER
GRANTING ADMISSION
PRO HAC VICE

10 RESPONDENT.

11 BY THE COMMISSION:

12 On November 23, 2009, pursuant to Arizona Supreme Court Rule 38(a), Brian J. Schulman,
13 an attorney with Greenberg Traurig, LLP filed with the Arizona Corporation Commission
14 ("Commission") a Motion to Appear Pro Hac Vice for Steven Anthony Taggart of Morgan Stanley in
15 the above-captioned matter, on behalf of the Respondent, Morgan Stanley & Co., Incorporated. The
16 Motion lists Mr. Schulman as the designated member of the Arizona State Bar with whom
17 communications may be made and upon whom papers should be served. Attached to the Motion is a
18 copy of the Application for Appearance Pro Hac Vice filed with the State Bar of Arizona for Mr.
19 Taggart; a copy of his certificates of good standing from the jurisdictions in which he has been
20 admitted to practice law; and a copy of the Notice of Receipt of Complete Application from the State
21 Bar of Arizona.

22 On November 24, 2009, the Commission's Securities Division filed its Response to Motion
23 and Consent of Local Counsel for Pro Hac Vice Admission of Steven Anthony Taggart, indicating
24 that it has no objection to the admission of Mr. Taggart as counsel *pro hac vice* in this matter.

25 In the discretion of the Commission, Steven Anthony Taggart should be permitted to appear
26 and participate in the above-captioned matter on behalf of the Respondent, Morgan Stanley & Co.,
27 Incorporated.

28 IT IS THEREFORE ORDERED that Steven Anthony Taggart shall be admitted *pro hac vice*

1 in the above-captioned matter.

2 IT IS FURTHER ORDERED that the address for service of papers and other communications
3 for Mr. Taggart shall be:

4 Steven Anthony Taggart
5 MORGAN STANLEY
6 1221 6th Avenue, 35th Fl.
7 New York, NY 10020

8 IT IS FURTHER ORDERED that the address for service of papers and other communications
9 for the Arizona-licensed attorney shall be:

10 Brian J. Schulman
11 GREENBERG TRAUIG, LLP
12 2375 East Camelback Road, Suite 700
13 Phoenix, AZ 85016

14 IT IS FURTHER ORDERED that withdrawal of representation must be made in compliance
15 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
16 Rules of the Arizona Supreme Court). Representation before the Commission includes the obligation
17 to appear at all hearings and procedural conferences, as well as all Open Meetings for which the
18 matter is scheduled for discussion, unless counsel has previously been granted permission to
19 withdraw by the Administrative Law Judge.

20 DATED this 24th day of November 2009.

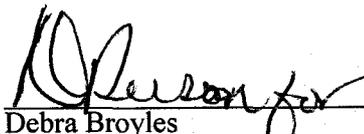
21 
22 LYN FARMER
23 CHIEF ADMINISTRATIVE LAW JUDGE

24 Copies of the foregoing mailed/delivered
25 this 24th day of November 2009 to:

26 Brian J. Schulman
27 GREENBERG TRAUIG, LLP
28 2375 East Camelback Road, Suite 700
Phoenix, AZ 85016

Matt Neubert, Director
Securities Division
ARIZONA CORPORATION COMMISSION
1300 W. Washington St
Phoenix, AZ 85007

Steven Anthony Taggart
MORGAN STANLEY
1221 6th Avenue, 35th Fl.
New York, NY 10020

By: 
Debra Broyles
Secretary to Lyn Farmer