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AZ CORP COMMISSION  
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Brian J. Schulman (SBN 015286)  
*Attorney for Morgan Stanley & Co. Incorporated*

**BEFORE THE ARIZONA CORPORATION COMMISSION**

COMMISSIONERS

KRISTIN K. MAYES, Chair  
PAUL NEWMAN  
GARY PIERCE  
SANDRA D. KENNEDY  
BOB STUMP

Arizona Corporation Commission  
**DOCKETED**  
NOV 23 2009  
DOCKETED BY [Signature]

In the matter of  
**MORGAN STANLEY & CO.**  
**INCORPORATED,**  
1585 Broadway  
New York, NY 10036-8293  
CRD # 8209  
  
Respondent.

DOCKET NO. S-03536A-09-0503  
DECISION NO. \_\_\_\_\_  
MOTION AND CONSENT OF LOCAL  
COUNSEL FOR PRO HAC VICE  
ADMISSION OF STEVEN ANTHONY  
TAGGART

Pursuant to Rule 38 of the Rules of the Arizona Supreme Court, undersigned counsel hereby requests that Steven Anthony Taggart be allowed to appear as counsel pro hac vice in this action on behalf of Morgan Stanley & Co. Incorporated. In support of this motion, the following exhibits are attached hereto:

- 1. the original Verified Application;
- 2. the original Certificates of Good Standing; and
- 3. the State Bar of Arizona Notice of Receipt.

1           Undersigned counsel, Brian J. Schulman, is currently admitted, practices, and is a  
2 member in good standing with the Arizona Bar, and agrees to serve as designated local  
3 counsel for the subject case.

4           A proposed order is lodged herewith.

5           DATED this 23rd day of November, 2009.

6 

7 \_\_\_\_\_  
8 Brian J. Schulman  
9 *Attorney for Morgan Stanley & Co.*  
10 *Incorporated*

11 ORIGINAL and 13 copies of the foregoing  
12 filed this 23rd day of November, 2009, with:

13 Arizona Corporation Commission  
14 Docket Control Center  
15 1200 West Washington  
16 Phoenix, AZ 85007-2996

17 COPY of the foregoing hand-delivered  
18 this 23rd day of November, 2009 to:

19 Matthew J. Neubert, Director  
20 Cheryl Farson, General Counsel  
21 Securities Division  
22 Arizona Corporation Commission  
23 1300 W. Washington, Third Floor  
24 Phoenix, AZ 85007

25 

26

# **EXHIBIT 1**

# **EXHIBIT 1**



For Official Use Only  
 App# 100 5125  
 Bar Number# P166582

Attn: Pro Hac Vice Dept  
 PO Box 53099  
 Phoenix, AZ 85072-3099  
 Phone: 602-340-7239

**Application for Appearance Pro Hac Vice**

**PART I: Applicant Information**

Name of Applicant: Steven Anthony Taggart

Firm/Company Name: Morgan Stanley

Office Address: 1221 Avenue of the Americas, 35<sup>th</sup> Floor, New York, NY, 10020

Telephone: 212-762-7320 Fax: 212-507-4923 Email Address: tony.taggart@morganstanley.com

Residence Address: 341 Meetinghouse Ln, Orange, CT, 06477

Title of cause or case where applicant seeks to appear: In the matter of Morgan Stanley & Co. Incorporated

Docket Number: S-03536A-09-0503

Court, Board, or Administrative Agency: Arizona Corporation Commission

Party on whose behalf applicant seeks to appear: Morgan Stanley & Co. Incorporated

**Pursuant to Arizona Supreme Court Rule 38(i)(3), the applicant shall complete the information below:**

Courts to Which Applicant Has Been Admitted: <i>(Attach additional pages if needed)</i>	Date of Admission:	Bar Number:
<u>Utah</u>	<u>10/19/1993</u>	<u>6660</u>
<u>New York</u>	<u>12/06/2006</u>	<u>4440707</u>
_____	_____	_____
_____	_____	_____

Applicant is a member in good standing in such courts.

Applicant is not currently disbarred or suspended in any court.

Applicant  is /  is not (select one) currently subject to any pending disciplinary proceeding or investigation by any court, agency or organization authorized to discipline attorneys at law.

In the preceding three (3) years, applicant has filed applications to appear as counsel under AZ ST S.Ct., Rule 38(a) in the following:

Title of Matter:	Docket #:	Court or Agency:	App Granted? (Y/N)
<u>In the matter of Morgan Stanley &amp; Co.</u>	<u>S-03536A-08-0123</u>	<u>Arizona Corporation Commission</u>	<u>Y</u>
_____	_____	_____	_____
_____	_____	_____	_____

This case or cause  is /  is not (select one) a related or consolidated matter for which applicant has previously applied to appear pro hac vice in Arizona. If this matter is a related or consolidated with any previous application, Applicant certifies that he/she will review and comply with appropriate rules of procedure as required in the underlying cause.

If applicable, please provide related or consolidated matter application or docket# \_\_\_\_\_

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# **EXHIBIT 2**

# **EXHIBIT 2**



# Utah State Bar

645 South 200 East, Suite 310 • Salt Lake City, Utah 84111-3834  
Telephone: 801-531-9077 • Fax: 801-531-0660  
www.utahbar.org

John C. Baldwin  
Executive Director

November 5, 2009

To Whom It May Concern:

This is to certify that **S. Anthony Taggart**, Utah State Bar No. **06660**, was admitted to practice law in Utah on **October 19, 1993** and is an active member of the Utah State Bar in good standing. "Good standing" is defined as a lawyer who is current in the payment of all Bar licensing fees, has met mandatory continuing legal education requirements, if applicable, and is not disbarred, presently on probation, suspended, or has not resigned with discipline pending, from the practice of law in this state.

No public disciplinary action involving professional misconduct has been taken against the license of **S. Anthony Taggart** to practice law.

#### Board of Commissioners

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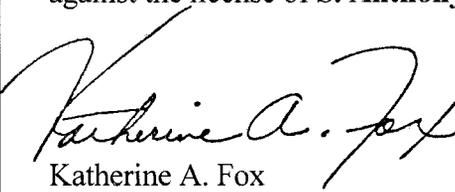
Herm Olsen

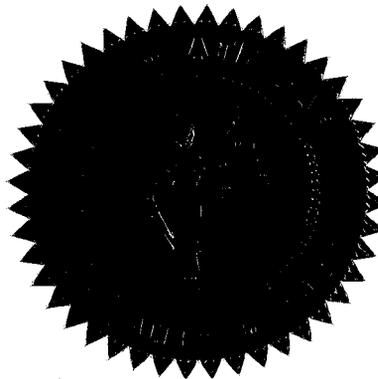
Scott R. Sabey

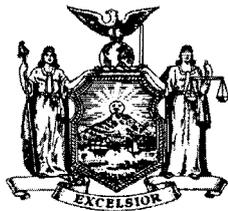
Thomas W. Seiler

Rodney G. Snow

E. Russell Vetter

  
Katherine A. Fox  
General Counsel  
Utah State Bar

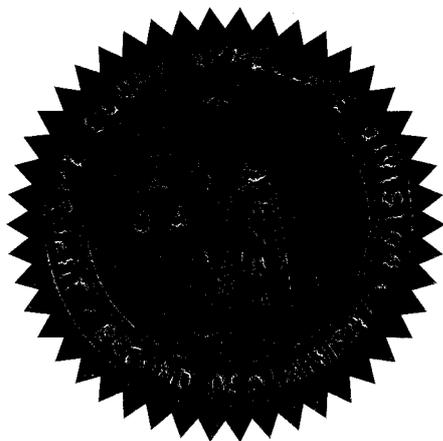




**Appellate Division of the Supreme Court  
of the State of New York  
Second Judicial Department**

I, **James Edward Pelzer**, Clerk of the Appellate Division of the Supreme Court of the State of New York, Second Judicial Department, do hereby certify that **Steven Anthony Taggart** was duly licensed and admitted to practice as an Attorney and Counselor-at-Law in all the courts of the State, according to the laws of the State and the court rules and orders, on the **6<sup>th</sup>** day of **December 2006**, has duly taken and subscribed the oath of office prescribed by law, has been enrolled in the Roll of Attorneys and Counselors-at-Law on file in my office, has duly registered with the administrative office of the courts, and according to the records of this court is in good standing as an Attorney and Counselor-at-Law.

In Witness Whereof, I have hereunto set my hand and affixed the seal of said Appellate Division on November 04, 2009.



*James Edward Pelzer*

Clerk of the Court

# **EXHIBIT 3**

# **EXHIBIT 3**

