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BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS 2009 NOV 20 P 12: 38

KRISTIN K. MAYES, Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP

ARIZONA CORPORATION COMMISSION  
DOCKET CONTROL

In the matter of:  
MARK W. BOSWORTH and LISA A. BOSWORTH, husband and wife;  
STEPHEN G. VAN CAMPEN and DIANE V. VAN CAMPEN, husband and wife;  
MICHAEL J. SARGENT and PEGGY L. SARGENT, husband and wife;  
ROBERT BORNHOLDT and JANE DOE BORNHOLDT, husband and wife;  
MARK BOSWORTH & ASSOCIATES, L.L.C., an Arizona limited liability company;  
3 GRINGOS MEXICAN INVESTMENTS, L.L.C., an Arizona limited liability company;  
Respondents.

DOCKET NO. S-20600A-08-0340

SECURITIES DIVISION'S NOTICE OF COMPLIANCE WITH PROCEDURAL ORDER

Arizona Corporation Commission  
DOCKETED

NOV 20 2009

DOCKETED BY [Signature]

On August 21, 2009, by procedural order, the Administrative Law Judge ordered the Securities Division to provide copies of their exhibits to Respondents by November 19, 2009. The Division hereby gives notice that on November 19, 2009, copies of the Division's preliminary exhibits were made available to the Respondents for pick-up at the Division's offices. Courtesy copies of the same were provided to the Administrative Law Judge.

RESPECTFULLY SUBMITTED this 20<sup>th</sup> day of November, 2009.

[Signature]

Aaron S. Ludwig, Esq.  
Attorney for the Securities Division of the  
Arizona Corporation Commission

1 **ORIGINAL and 13 COPIES** of the foregoing filed  
this 20<sup>th</sup> day of November 2009 with:

2  
3 Docket Control  
4 Arizona Corporation Commission  
1200 W. Washington St.  
Phoenix, AZ 85007

5 **COPY** of the foregoing mailed/delivered  
this 20<sup>th</sup> day of November 2009 to:

6  
7 The Honorable Marc E. Stern  
8 Hearing Division  
9 Arizona Corporation Commission  
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Phoenix, AZ 85007

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Peggy L. Sargent

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22 Attorney for Robert Bornholdt

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24 By: 