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BEFORE THE ARIZONA CORPORATION COMMISSION

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Arizona Corporation Commission

COMMISSIONERS

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DOCKETED

KRISTIN K. MAYES, Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP

AZ CORP COMMISSION  
DOCKET CONTROL

OCT - 2 2009

DOCKETED BY [Signature]

IN THE MATTER OF:

DOCKET NO. S-20703A-09-0461

SIR MORTGAGE & FINANCE OF ARIZONA, INC., an Arizona corporation,

GREGORY M. SIR (a/k/a "GREG SIR"), and ERIN M. SIR, husband and wife,

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On September 24, 2009, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Temporary Order to Cease and Desist ("T.O.") and a Notice of Opportunity for Hearing ("Notice") against Sir Mortgage & Finance of Arizona, Inc. ("SMFA") and Gregory M. Sir and Erin M. Sir, husband and wife (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes, and/or investment contracts.

The Respondents were duly served with copies of the Notice.

On September 28, 2009, a request for hearing was filed on behalf of the Respondents.

Accordingly, a pre-hearing conference should be scheduled.

IT IS THEREFORE ORDERED that a **pre-hearing conference** shall be held on **October 28, 2009, at 10:00 a.m.**, or as soon thereafter as practicable, at the Commission's offices, 1200 West Washington Street, Room 100, Phoenix, Arizona.

IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized Communications) applies to this proceeding as the matter is now set for public hearing.

IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the Rules of the Arizona Supreme Court). Representation before the Commission includes appearances

1 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is  
2 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the  
3 Administrative Law Judge or the Commission.

4 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules  
5 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission  
6 *pro hac vice*.

7 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,  
8 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by  
9 ruling at hearing.

10 DATED this 2nd day of October, 2009.

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13   
14 \_\_\_\_\_  
15 MARC E. STERN  
16 ADMINISTRATIVE LAW JUDGE

17 Copies of the foregoing mailed/delivered  
18 this 2nd day of October, 2009 to:

19 Paul J. Roshka, Jr.  
20 Timothy J. Sabo  
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22 400 E. Van Buren, Suite 800  
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24 Attorney for Respondents

25 Matt Neubert, Director  
26 Securities Division  
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ARIZONA REPORTING SERVICE, INC.  
2200 North Central Avenue, Suite 502  
Phoenix, Arizona 85004

25 By:   
26 \_\_\_\_\_  
27 Debbi Person  
28 Secretary to Marc E. Stern