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**BEFORE THE ARIZONA CORPORATION COMMISSION
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COMMISSIONERS

2009 SEP 18 P 2:44

**KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP**

AZ CORP COMMISSION
DOCKET CONTROL

In the matter of:)
)
STEVE JOHN ROGAN, a married man)
)
)
CAROL ANN RICHEY, a married woman)
)
DEM BONZ BARBECUE RESTAURANTS,)
L.L.C., an Arizona limited liability company,)
)
PIZAZZ, L.L.C., an Arizona limited liability)
Company,)
)
Respondents.)
_____)

DOCKET no. S-20654A-09-0068
SUPPLEMENTAL SUMMARY TO THE
HEARING ON JULY 14, 2009.

Arizona Corporation Commission
DOCKETED

SEP 18 2009

DOCKETED BY *MM*

RESPONDENTS SUPPLEMENTAL SUMMARY TO THE HEARING ON JULY 14, 2009

COMES NOW the Respondents, Steve John Rogan and Carol Ann Richey, Pro Se, Pursuant to A.A.C. R14-4-305, hereby sets forth this Supplemental Summary of the hearing on July 14, 2009 to the allegations by the Arizona Corporation Commission.

1. The Counsel for the Arizona Corporation Commission failed to state that the promissory note alleged to be considered a security was never provided to anyone else except Investigator Warren as an example which he needed to give to his attorney for review and adaptation. Warren requested this instrument from the Respondent's Controller as a means of "entrapment," asking for an "investment document." The Respondents had told Warren that he needed to do his due diligence before any agreement would be made. The was no proposed sale of the promissory note, which again was only an example pulled from the internet to provide to Warren in a timely manner. There was no reason to request an exemption from the Arizona Securities Act. The instrument was never proposed for sale. There has been no violation of A.R.S. s 44-1841, the offer and sale of unregistered securities.
2. Inasmuch as Counsel for the Arizona Corporation Commission provided no proof of the violation of the

aforementioned Statute, there can be no violation of A.R.S. s 44-1842, completed transactions by dealers or salesman. In fact no witness was provided that had completed a transaction.

3. Inasmuch as Counsel for the Arizona Corporation Commission provided no proof of the violation of the aforementioned Statute, there can be no violation of A.R.S. s 44-1991, fraud in connection with an offer or sale of securities.
4. Counsel for the Arizona Corporation Commission failed to make the case of the Dem Bonz Barbecue, Business plan as a form of unregistered securities. The plan only refers to a "loan" and not an investment.
5. Counsel for the Arizona Corporation Commission failed to make the case of the Promissory Note as a form of unregistered securities. The note, which was just an example provided to Investigator Michael Rice, only as an example, also only referred to a "loan" and not an investment.
6. The original Cease and Desist Order presented to the Respondents was complied with due to the word "investor" being used. All advertising on Craigslist was terminated immediately so that there would be no misunderstanding regarding the search for a loan to further the Dem Bonz Restaurant project.
7. Counsel for the Arizona Corporation Commission failed to provide any proof that Pizazz, L.L.C. was in violation of any Arizona Statutes.

THEREFORE, based on the facts presented in the hearing of July 14, 2009 it is requested that the Commission dismiss any further actions against STEVE JOHN ROGAN, CAROL ANN RICHEY, DEM BONZ BARBECUE RESTAURANTS, L.L.C., and PIZAZZ, L.L.C. It is also requested that all public records regarding these unfounded allegations be removed or dismissed from every reporting system within state, county or any national information, as these records are damaging to our continued efforts to move forward in our business and personal lives.

Respectfully submitted this 18th day of September 2009.




Steve John Rogan
Carol Ann Richey, Pro Se

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