



CROCKER & CROCKER

ATTORNEYS AT LAW



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**PATRICK D. CROCKER**  
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July 31, 2009

Docket Control  
Arizona Corporation Commission  
Telecommunications Division  
1200 West Washington  
Phoenix, AZ 85007

RE: Staff's Third Set of Data Requests to Alliance Global Networks LLC  
Docket No. T-20641A-08-0583

Dear Sir or Madam:

In accordance with Staff Member, Lori Morrison's request, please find an original and thirteen (13) copies of Staff's Second Set of Data Requests in the above-referenced docket.

Should you have any questions concerning this filing, please contact the undersigned.

Very truly yours,

CROCKER & CROCKER, P.C.

Patrick D. Crocker

PDC/tld

Arizona Corporation Commission

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STAFF'S FIRST SET OF DATA REQUESTS TO  
ALLIANCE GLOBAL NETWORKS LLC  
DOCKET NO. T-20641A-08-0583

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**In addition to a paper response, all information responses should also be provided in searchable PDF, DOC or EXCEL files via email or electronic media.**

Please make sure each numbered item and each part of the item is answered completely

LM 3.1 Referencing Item A-11 of the application, the Company indicates that neither it nor any of its officers, directors, or managers have been involved in any formal or informal complaint proceedings pending before any state or federal regulatory commission, administrative agency or law enforcement agency. It is understood that the Company is in the process of obtaining regulatory authority in the continental US states.

- a) One of the Company's officers has been involved in a regulatory proceeding with the California Public Utilities Commission ("CPUC"). Please explain why CPUC Proceeding A0709006 was not included in response to Item A-11.

**A.07-09-006 is not an informal or formal complaint proceeding. In A.07-09-006, the California Consumer Protection & Safety Division ("CPSD") filed a protest to the Application of Alliance Group Services, Inc. and Jess M. DiPasquale for Authority to Transfer Control of Alliance Group Services, Inc. The issues in California relate primarily to a former officer of Applicant's affiliate Alliance Group Services, Inc. named Thomas Coughlin. Thomas Coughlin was an officer a company called Vista Group International, Inc. ("Vista"). The California Public Utilities Commission purportedly has outstanding issues with Vista.**

- b) Please provide a summary of the issues in CPUC Proceeding A0709006 and the current status of this proceeding.

**Issues:**

- 1. Whether Alliance Group Services, Inc. timely filed status reports pursuant to the Settlement Agreement approved in Decision 06-09-009;**
- 2. Whether Alliance Group Services, Inc. violated Section 854 by not seeking approval of the transfer of control before the transfer occurred; and**
- 3. Whether monetary sanctions pursuant to Section 2107 and 2108 should be imposed and, if so, a recommended dollar amount for the sanctions.**

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**Current Status:**

**This proceeding remains open. However, on July 28, 2009, the ALJ issued a Proposed Decision which is generally favorable to Alliance Group Services, Inc. The CPSD has until August 17, 2009 to file comments. Alliance Group Services, Inc. may file responsive comments.**

**The Proposed Decision finds that Alliance Group Services, Inc. did not timely file a status report due in September of 2007 and fines Alliance Group Services, Inc. \$2,500. It concludes that Alliance Group Services, Inc. did substantially comply with the requirement that it file a report by March 2007 (the major issue with regard to the reporting requirement).**

**The Proposed Decision concludes that Alliance Group Services, Inc. did violate Sec 854 when Jess acquired the shares of Alliance Group Services, Inc. Acquisition at an auction but agreed with us that the circumstances were such that no fine should be imposed.**

**The crux of the Proposed Decision is that Alliance Group Services, Inc. acted reasonable in the manner with which it complied with the settlement approved in 2006.**

LM 3.2 Has the Company ever had its authority to provide service revoked? If so, please provide a detailed description of the event(s).

**No.**

LM 3.3 Please provide an updated status of the Company's applications in other jurisdictions. The response must be in tabular form and provide the following information:

- 1) State;
- 2) Date Application was filed;
- 3) Status of Application (granted or pending);
- 4) Date Application was Approved (where applicable); and
- 5) The date service was first available in that jurisdiction.

**See attachment.**

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LLM 3.4 Referencing the Company's application, item B-1 to B-4, the Company indicates it does not have any financial statements for the two most recent years because it was a newly established as of May 1, 2008. Since there are no financial statements going back 2 years and the statement provided is dated July 31, 2008, please provide the projected Assets, Equity and Net Income/(Loss) for providing service in Arizona for the next three years in the table below.

	2009	2010	2011
Projected Assets	1,500,000.00	5,000,000.00	10,000,000.00
Projected Equity	300,000.00	400,000.00	600,000.00
Projected Net Income/(Loss)	50,000.00	50,000.00	250,000.00

**ATTACHMENT LM 3.3**

Jurisdictions	Date Application Filed	Status of Application	Date Approved	Date Service Available
Alabama	7/10/09	Pending		---
Arizona	11/26/08	Pending		---
Arkansas	11/11/09	Granted	12/4/08	12/4/08
California	3/5/09	Granted	7/30/09	7/30/09
Colorado	11/18/08	Granted	12/5/08	12/5/08
Connecticut	5/12/09	Pending		---
District of Columbia	9/17/08	Granted	9/22/08	9/22/08
Delaware	3/6/09	Pending		---
Florida	10/23/08	Granted	12/4/08	12/4/08
Georgia	11/7/08	Granted	2/24/09	2/24/09
Idaho	11/1/08	Granted	11/1/08	11/1/08
Illinois	10/22/08	Pending		---
Indiana	11/19/08	Granted	11/25/08	11/25/08
Iowa	11/13/08	Granted	11/5/08	11/5/08
Kansas	11/7/08	Granted	3/2/09	3/2/09
Kentucky	11/4/08	Granted	12/2/08	12/2/08
Louisiana	11/20/08	Pending		---
Maine		IN PROCESS		---
Maryland	5/13/09	Granted	7/29/09	7/29/09
Massachusetts	11/25/08	Granted	12/28/08	12/28/08
Michigan	10/20/08	Granted	10/20/08	10/20/08
Minnesota	12/3/08	Granted	12/30/08	12/30/08
Mississippi	3/11/09	Granted	5/11/09	5/11/09
Missouri	5/14/09	Granted	6/19/09	6/19/09
Montana	10/20/08	Granted	10/22/08	10/22/08
Nebraska	3/6/09	Pending		---
Nevada	11/4/08	Granted	1/21/09	1/21/09
New Hampshire	11/6/08	Granted	11/24/08	11/24/08
New Jersey	11/21/08	Granted	11/21/08	11/21/08
New Mexico	12/1/08	Granted	12/31/08	12/31/08
New York	11/7/08	Granted	12/19/08	12/19/08
North Carolina	11/4/08	Granted	12/5/08	12/5/08
North Dakota	11/6/08	Granted	11/6/08	11/6/08
Ohio	12/1/08	Granted	1/6/09	1/6/09
Oklahoma	12/1/08	Pending		---
Oregon	11/21/08	Granted	12/9/08	12/9/08
Pennsylvania	11/13/08	Granted	12/18/08	12/18/08
Rhode Island	11/13/08	Granted	12/11/08	12/11/08
South Carolina		IN PROCESS		---
South Dakota	11/13/08	Granted	1/9/09	1/9/09
Tennessee		IN PROCESS		---
Texas	10/21/08	Granted	10/24/08	10/24/08
Utah	9/17/08	Granted	9/23/08	9/23/08
Vermont	11/4/08	Granted	12/3/08	12/3/08
Virginia	9/17/08	Granted	11/26/08	11/26/08
Washington	10/22/08	Granted	11/21/08	11/21/08
West Virginia	11/18/08	Granted	3/23/09	3/23/09
Wisconsin	10/24/08	Granted	11/26/08	11/26/08
Wyoming	11/4/08	Granted	11/7/08	11/7/08
214 International	12/1/08	Granted	12/19/08	12/19/08