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1 BEFORE THE ARIZONA CORPORATI

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3 IN THE MATTER OF:)

4 JEFFRIE HARPER (CRD #2863910))
(aka Jeff Harper) and KATHLEEN)

5 JANICE HARPER, husband and wife;)

DOCKET NO.
S-20649A-09-0013

6 DONALD ROBERT MATTSON, JR., (aka)
Rob Mattson) and JANE DOE MATTSON,)
7 husband and wife;)

8 KNUCKLEBALL CAPITAL MANAGEMENT,)
LLC, an Arizona limited liability)
9 company;)

10 Respondents.)

STATUS
CONFERENCE

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13 At: Phoenix, Arizona

14 Date: June 25, 2009

Arizona Corporation Commission
DOCKETED

15 Filed: JUN 29 2009

JUN 29 2009

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DOCKETED BY

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REPORTER'S TRANSCRIPT OF PROCEEDINGS

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1 BE IT REMEMBERED that the above-entitled and
2 numbered matter came on regularly to be heard before the
3 Arizona Corporation Commission, in Hearing Room 1 of said
4 Commission, 1200 West Washington Street, Phoenix, Arizona,
5 commencing at 2:00 p.m., on the 25th day of June, 2009.

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8 BEFORE: MARC E. STERN, Administrative Law Judge

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10 APPEARANCES:

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12 For the Arizona Corporation Commission Securities
13 Division:

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Ms. Aikaterine Vervilos
Staff Attorney, Securities Division
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For Harper Respondents:

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KATE E. BAUMGARTH, RPR
Certified Reporter
Certificate No. 50582

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1 ALJ STERN: We will go on the record in the
2 matter of Jeffrie Harper, et al., in Docket
3 No. S-20649A-09-0013. My name is Marc Stern, and I will
4 preside over this proceeding today.

5 We will take appearances at this time, and just
6 let me make a note.

7 Okay. On behalf of the Division?

8 MS. VERVILOS: Aikaterine Vervilos.

9 ALJ STERN: All right.

10 MS. ADAMS: Good afternoon, Your Honor. On
11 behalf of Jeffrie and Katherine Janice Harper,
12 Ashley Adams.

13 ALJ STERN: All right. Ms. Vervilos, what is the
14 status of this matter at this time?

15 MS. VERVILOS: Mr. Harper is the only remaining
16 respondent left in this matter. The Division has extended
17 to Mr. Harper, through Ms. Adams, a consent, and at this
18 time we are working through some minor issue with that
19 consent. However, until we have a signed copy we would
20 like to request a hearing date.

21 ALJ STERN: Okay. It will probably be sometime
22 this fall. I will see what we have. Hopefully the State
23 will solve its budget problems and we won't be on
24 vacation.

25 Is he married?

1 MS. VERVILOS: Yes, Your Honor.

2 ALJ STERN: Okay. Let me go and see what we
3 have. Like I say, it will probably be, I'm thinking,
4 September maybe, if we have hearing rooms.

5 MS. VERVILOS: That's fine.

6 ALJ STERN: Assuming you finalize the consent,
7 when will you have it on the agenda?

8 MS. VERVILOS: Well, we are going to try to be
9 optimistic that we will get the consent resolved by the
10 end of July to make it to the August open meeting.

11 ALJ STERN: Okay. Let's see what we can do then
12 to pick out a date in September or thereabouts.

13 And if we go it a hearing, how many witnesses are
14 we talking?

15 MS. VERVILOS: Approximately three for the
16 Division.

17 ALJ STERN: And how about for the respondent?

18 MS. ADAMS: I would say approximately three to
19 five, Your Honor.

20 ALJ STERN: All right. Let's take our recess. I
21 will go look at some dates and see what we can come up
22 with.

23 (Whereupon, a recess was taken from 2:04 p.m.
24 until 2:07 p.m.)

25 ALJ STERN: Back on the record.

1 We looked at some dates and September 15th, 16th,
2 and 17th appear to be viable for all parties concerned. I
3 will order some exchange of documents, if the matter isn't
4 concluded in August at the open meeting.

5 I think the Commission's open meeting is
6 August 13th, so if for some reason the matter isn't
7 finished off by then and you think you will get it
8 concluded, can you request a continuance and/or a delay in
9 filing things.

10 Anything else?

11 MS. VERVILOS: No, Your Honor.

12 ALJ STERN: Okay. Thank you for coming down
13 today. We will see you; may be we won't see you, and that
14 will be fine. Thank you.

15 MS. VERVILOS: Thank you.

16 ALJ STERN: That conclude today's proceeding.

17 (The hearing concluded at 2:08 p.m.)

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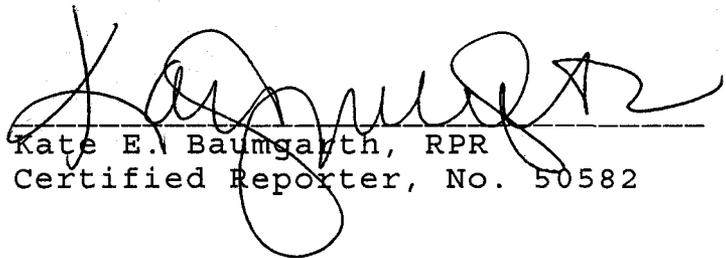
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1 STATE OF ARIZONA)
) ss.
 2 COUNTY OF MARICOPA)

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I, KATE E. BAUMGARTH, RPR, Certified Reporter
 No. 50582, for the State of Arizona, do hereby certify
 that the foregoing printed pages constitute a full, and
 accurate transcript of the proceedings had in the
 foregoing matter, all done to the best of my skill and
 ability.

WITNESS my hand this 29th day of June, 2009.



Kate E. Baumgarth, RPR
 Certified Reporter, No. 50582