

NEW APPLICATION ORIGINAL



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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

2009 JUN 15 P 2:37

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

AZ CORP COMMISSION
DOCKET CONTROL

In the matter of

JPMORGAN CHASE & CO.,

Respondent.

DOCKET NO. S-20687A-09-0315

FILING TO OPEN DOCKET

The Securities Division of the Arizona Corporation Commission requests that a Docket be opened for the purpose of assigning a docket number to a proposed Order to Cease and Desist, Order for Administrative Penalties, and Consent to Same by the above-referenced respondent.

Respondent's mailing address is:

570 Washington Blvd.
Jersey City, NJ 07310

Dated this 15th day of June 2009.

ARIZONA CORPORATION COMMISSION

By

Cheryl T. Farson
General Counsel for the Securities Division
of the Arizona Corporation Commission

Arizona Corporation Commission
DOCKETED

JUN 15 2009

DOCKETED