

ORIGINAL
NEW APPLICATION



BEFORE THE ARIZONA CORPORATION COMMISSION

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AZ CORP COMMISSION
DOCKET CONTROL

In the matter of)
DEUTSCHE BANK SECURITIES INC.,) DOCKET NO. S-03578A-09-0314
Respondent.) **FILING TO OPEN DOCKET**

The Securities Division of the Arizona Corporation Commission requests that a Docket be opened for the purpose of assigning a docket number to a proposed Order to Cease and Desist, Order for Administrative Penalties, and Consent to Same by the above-referenced respondent.

Respondent's mailing address is:
60 Wall Street
NYC60-3710
New York, New York 10005

Dated this 15th day of June 2009.

ARIZONA CORPORATION COMMISSION

By
Cheryl T. Farson
General Counsel for the Securities Division
of the Arizona Corporation Commission

Arizona Corporation Commission
DOCKETED
JUN 15 2009

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