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BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

AZ CORP COMMISSION
DOCKET CONTROL

In the matter of:)
)
ROBERT FRANKLIN HOCKENSMITH JR.,)
CRD# 1798614,)
)
Respondent.)

DOCKET NO. S-20631A-08-0503

SECURITIES DIVISION'S SECOND
NOTICE OF COMPLIANCE WITH
PROCEDURAL ORDER

On November 7, 2008, by Procedural Order, the Securities Division of the Corporation Commission ("Division") and Respondents were ordered to exchange copies of their witness list and intended exhibits to be introduced at the administrative hearing by April 17, 2009. The Division provided a copy of its preliminary witness list and intended exhibits to Respondents on April 17, 2009.

On May 7, 2009, the Division named an additional witness and delivered copies of additional documentary evidence to Roshka, DeWulf & Patten, PLC, attorneys for the Respondent.

The Division hereby gives notice that it provided copies of its additional exhibits to Respondent on May 7, 2009.

Respectfully submitted this 12th day of May, 2009.

By: *Pamela T. Johnson*

Pamela T. Johnson
Senior Counsel
Securities Division of the Arizona Corporation
Commission

Arizona Corporation Commission
DOCKETED

MAY 12 2009

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1 ORIGINAL AND THIRTEEN (13) COPIES of the foregoing
filed this 12th day of May, 2009 with:

2 Docket Control
3 Arizona Corporation Commission
1200 W. Washington St.
4 Phoenix, AZ 85007

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6 COPY of the foregoing hand-delivered this
12th day of May, 2009 to:

7 Mr. Marc E. Stern
Administrative Law Judge
8 Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
9 Phoenix, AZ 85007

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11 COPY of the foregoing mailed this
12th day of May, 2009 to:

12 Paul J. Roshka, Jr., Esq.
Jeffrey D. Gardner, Esq.
13 Timothy J. Sabo, Esq.
One Arizona Center
14 400 East Van Buren Street, Suite 800
15 Phoenix, Arizona 85004
Attorneys for Respondent
16 Robert F. Hockensmith, Jr.

17 By: _____
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