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BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS:

- 3 KRISTIN K. MAYES, Chairman
- 4 GARY PIERCE
- 5 PAUL NEWMAN
- 6 SANDRA D. KENNEDY
- 7 BOB STUMP

2009 APR -3 A 11: 33

AZ CORP COMMISSION
DOCKET CONTROL

IN THE MATTER OF:

8 JEFFRIE HARPER (CRD #2863910) (aka Jeff
9 Harper) and KATHLEEN JANICE HARPER,
husband and wife;

10 DONALD ROBERT MATTSON, JR. (aka Rob
11 Mattson) and JANE DOE MATTSON, husband
and wife;

12 KNUCKLEBALL CAPITAL MANAGEMENT,
LLC, an Arizona limited liability company;

13 RESPONDENTS.

DOCKET NO. S-20649A-09-0013

Arizona Corporation Commission
DOCKETED

APR -3 2009

DOCKETED BY

THIRD
PROCEDURAL ORDER

14 **BY THE COMMISSION:**

15 On January 15, 2009, the Securities division ("Division") of the Arizona Corporation
16 Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against
17 Knuckleball Capital Management, LLC ("Knuckleball"), Jeffrie Harper, aka Jeff Harper, and
18 Kathleen Janice Harper, husband and wife, and Donald Robert Mattson, Jr., aka Rob Mattson, and
19 Jane Doe Mattson, husband and wife (collectively "Respondents"), in which the Division alleged
20 multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of
21 securities in the form of investment contracts and/or commodity investment contracts.

22 The Respondents were duly served with a copy of the Notice.

23 On January 23, 2009, a request for hearing was filed by Respondent Donald Robert Mattson.

24 On January 30, 2009, a request for hearing was filed by Respondent Jeffrie Harper.

25 On February 3, 2009, by Procedural Order, a pre-hearing conference was scheduled on
26 February 26, 2009.

27 On February 26, 2009, the Division and Respondents appeared with counsel to discuss issues
28

1 arising from the Notice and stipulated to a status conference being scheduled after discussions
2 between the parties regarding a possible resolution of the proceeding.

3 On February 27, 2009, by Procedural Order, a status conference was scheduled on April 2,
4 2009, as agreed between the parties.

5 On April 2, 2009, the Division and Respondent Mattson were represented by counsel. The
6 Division's attorney indicated that she had spoken with counsel for the Harper Respondents who was
7 unable to attend. The Division and Respondents are continuing their attempts to resolve the issues
8 raised by the Notice and the parties agreed to a status conference being scheduled in approximately
9 30 days.

10 IT IS THEREFORE ORDERED that a **status conference** shall be held on **May 7, 2009, at**
11 **10:30 a.m.**, at the Commission's offices, 1200 West Washington Street, Room 100, Phoenix,
12 Arizona.

13 DATED this 3rd day of April, 2009.

14
15
16 
17 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

18 Copies of the foregoing mailed/delivered
19 this 3rd day of April, 2009 to:

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24 Attorneys for Jeffrie and Kathleen Harper

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26 Matt Neubert, Director
27 Securities Division
28 ARIZONA CORPORATION COMMISSION
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By: 
Debra Broyles
Secretary to Marc E. Stern