

ORIGINAL



BEFORE THE ARIZONA CORPORATION COMMISSION

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

COMMISSIONERS

- KRISTIN K. MAYES - Chairman
- GARY PIERCE
- PAUL NEWMAN
- SANDRA D. KENNEDY
- BOB STUMP

2009 MAR 12 P 2:50
AZ CORP COMMISSION
DOCKET CONTROL

IN THE MATTER OF:

- DAVID W. COLE and SIIRI COLE, husband and wife;
- HIGHLINE ESTATES, LLC, an Arizona limited liability company;
- MUTUAL FINANCIAL SERVICES, LLC (d/b/a MFS Real Estate Division and MFS Investments), an Arizona limited liability company;
- DREAM CRAFT, LLC, an Arizona limited liability company;
- SCOT A. OGLESBY (d/b/a ARIZONA ASSET MANAGEMENT) and LORI ANN OGLESBY, husband and wife; and
- RANDY K. WARD (CRD # 4137944) (d/b/a Arizona Asset Management) and SHARON WARD, husband and wife,

Respondents.

DOCKET NO. S-20656A-09-0074

Arizona Corporation Commission
DOCKETED

MAR 12 2009

DOCKETED BY

SECOND PROCEDURAL ORDER

BY THE COMMISSION:

On February 19, 2009, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against David W. Cole and Siiri Cole, husband and wife, Highline Estates, LLC ("Highline"), Mutual Financial Services, LLC (d/b/a MFS Real Estate and MFS Investments) ("MFS"), Dream Craft, LLC ("DCL"), Scot A. Oglesby and Lori Ann Oglesby, husband and wife, and Randy K. Ward (CRD #4137944) (d/b/a Arizona Asset Management) and Sharon K. Ward, husband and wife, (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes.

1 The Respondents were duly served with copies of the Notice.

2 On March 2, 2009, requests for hearing were filed by the Respondents Scot A. Oglesby and
3 Randy K. Ward.

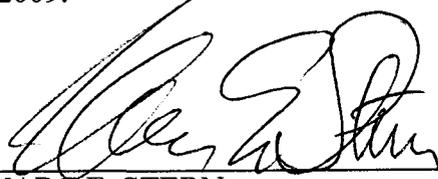
4 On March 9, 2009, by Procedural Order, a pre-hearing conference was scheduled on
5 April 2, 2009.

6 On March 9, 2009, the Cole Respondents, Highline, MFS and DCL filed a request
7 for hearing.

8 Accordingly, all parties should be apprised of the hearing.

9 IT IS THEREFORE ORDERED that a **pre-hearing conference** shall be held on **April 2,**
10 **2009, at 11:30 a.m.,** at the Commission's offices, 1200 West Washington Street, 2nd Floor
11 Commissioners' Conference Room, Phoenix, Arizona.

12 DATED this 12th day of March, 2009.

13
14 
15 _____
16 MARC E. STERN
17 ADMINISTRATIVE LAW JUDGE

18 Copies of the foregoing mail/delivered
19 this 12th day of March, 2009 to:

20 Randy K. Ward
21 3153 West Whitman Drive
22 Anthem, AZ 85086

23 Scot A. Oglesby
24 515 East Carefree Highway, No. 824
25 Phoenix, AZ 85085

26 Alan S. Baskin
27 BADE & BASKIN PLC
28 80 East Rio Salado Parkway, Suite 515
Tempe, Arizona 85281-9106
Attorneys for Respondents David Cole,
Siiri Cole, Highline Estates, LLC,
Mutual Financial Services, LLC
and Dream Craft, LLC

Matt Neubert, Director
Securities Division
ARIZONA CORPORATION COMMISSION
1300 West Washington Street
Phoenix, AZ 85007

ARIZONA REPORTING SERVICE, INC.
2200 North Central Avenue, Suite 502
Phoenix, AZ 85004

By: 

Debra Broyles
Secretary to Marc E. Stern