

ORIGINAL



0000094240

BEFORE THE ARIZONA CORPORATION COMMISSION

RECEIVED

COMMISSIONERS

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

2009 MAR -3 P 1: 52 Arizona Corporation Commission

DOCKETED

AZ CORP COMMISSION
DOCKET CONTROL

MAR - 3 2009

DOCKETED BY

In the matter of:
RANDIE BALBAS, a married man
and
LEILA BALBAS, a married woman,
Respondents.

DOCKET NO. S-20632A-08-0504

STIPULATION REGARDING
WITNESS AND EXHIBIT LIST

On September 30, 2008, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, Order for Restitution, For Administrative Penalties and for Other Affirmative Action ("Notice") with respect to Respondents Randie and Leila Balbas.

On October 17, 2008, Respondents Randie and Leila Balbas, through their attorney, filed a Notice of Appearance and Request for Hearing.

On November 4, 2008, Respondents Randie and Leila Balbas, through their attorney, filed an Answer.

On November 17, 2008, by Procedural Order, the hearing was scheduled to begin on April 6, 2009.

Also on November 17, 2008, by Procedural Order, Witness and Exhibit Lists were to be exchanged no later than March 9, 2009

The Securities Division and counsel for Respondents Randie and Leila Balbas have finalized settlement negotiations resulting in the execution a proposed Order to Cease and Desist, Order of Restitution, Order for Administrative Penalties and Consent to Same ("Proposed Order").

1 The Proposed Order shall be presented by the Division and Respondents' Attorneys to the
2 Commission for approval during the Open Meeting on March 19, 2009.

3 For the reasons set forth above, the Securities Division and Respondents Randie and Leila
4 Balbas stipulate to extending the due date for the exchange of Witness and Exhibit Lists until
5 March 23, 2009.

6 Respectfully submitted this 3rd day of March, 2009.

7
8 By: Wendy Coy
9 Wendy Coy
10 Senior Counsel for the Securities Division of
11 the Arizona Corporation Commission

12 By: Alan S. Baskin / ac
13 Alan S. Baskin
14 BADE & BASKIN
15 Attorneys for Respondents Randie and Leila
16 Balbas
17
18
19
20
21
22
23
24
25
26

1 ORIGINAL AND THIRTEEN (13) COPIES of the foregoing
2 filed this 3rd day of March, 2009 with:

3 Docket Control
4 Arizona Corporation Commission
5 1200 W. Washington St.
6 Phoenix, AZ 85007

7 COPY of the foregoing hand-delivered
8 filed this 3rd day of March, 2009 to:

9 Mr. Marc E. Stern
10 Administrative Law Judge
11 Arizona Corporation Commission/Hearing Division
12 1200 W. Washington St.
13 Phoenix, AZ 85007
14
15
16
17
18
19
20
21
22
23
24
25
26