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**BEFORE THE ARIZONA CORPORATION COMMISSION**

COMMISSIONERS

KRISTIN K. MAYES, Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP

In the matter of: )  
 )  
ROBERT PRESTON INGRAM and JANE )  
DOE INGRAM, husband and wife, )  
 )  
Respondent. )

DOCKET NO. S-20604A-08-0371

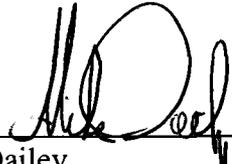
**SECURITIES DIVISION'S  
NOTICE OF COMPLIANCE WITH  
THIRD PROCEDURAL ORDER**

The Administrative Law Judge ordered the parties to exchange their list of witnesses and exhibits ("LWE") by January 9, 2009, for the hearing scheduled for January 27, 2009 through January 29, 2009. (See, Third Procedural Order dated October 1, 2008).

The Securities Division provided Respondents with its LWE via certified mail on January 13, 2009. (See, Tab 1).

RESPECTFULLY SUBMITTED this 14<sup>th</sup> day of January, 2009.

ARIZONA CORPORATION COMMISSION

By   
Mike Dailey  
Attorney for the Securities Division of the  
Arizona Corporation Commission

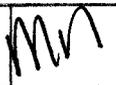
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AZ CORP COMMISSION  
DOCKET CONTROL

Arizona Corporation Commission  
**DOCKETED**

JAN 14 2009

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1 ORIGINAL AND THIRTEEN (13) COPIES of the foregoing  
2 filed this 14<sup>th</sup> day of January, 2009 with:

3 Docket Control  
4 Arizona Corporation Commission  
5 1200 W. Washington St.  
6 Phoenix, AZ 85007

7 COPY of the foregoing hand-delivered this  
8 14<sup>th</sup> day of January, 2009 to:

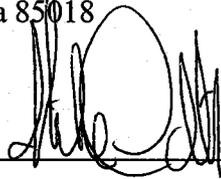
9 Mr. Marc E. Stern  
10 Administrative Law Judge  
11 Arizona Corporation Commission/Hearing Division  
12 1200 W. Washington St.  
13 Phoenix, AZ 85007

14 COPY of the foregoing mailed this  
15 14<sup>th</sup> day of January, 2009 to:

16 Robert Preston Ingram  
17 4040 East Mitchell Drive  
18 Phoenix, Arizona 85018  
19 Respondent

20 Cruzita Ingram  
21 4040 East Mitchell Drive  
22 Phoenix, Arizona 85018  
23 Respondent

24 By: \_\_\_\_\_  
25  
26



**TAB “1”**

7007 1490 0000 2613 8051

**U.S. Postal Service™**  
**CERTIFIED MAIL™ RECEIPT**  
*(Domestic Mail Only; No Insurance Coverage Provided)*

For delivery information visit our website at [www.usps.com](http://www.usps.com)

**OFFICIAL USE**

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total	

Postmark  
Here  
*MDaily*

Sent Cruzita Ingram  
 Street or PO Box 4040 East Mitchell Drive  
 City, State, ZIP+4® Phoenix, AZ 85018-5912

PS Form 3800, August 2004 Instructions

7007 1490 0000 2613 8068

**U.S. Postal Service™**  
**CERTIFIED MAIL™ RECEIPT**  
*(Domestic Mail Only; No Insurance Coverage Provided)*

For delivery information visit our website at [www.usps.com](http://www.usps.com)

**OFFICIAL USE**

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total	

Postmark  
Here  
*MDaily*

Sent Robert Preston Ingram  
 Street or PO Box 4040 East Mitchell Drive  
 City, State, ZIP+4® Phoenix, AZ 85018-5912

PS Form 3800, August 2004 Instructions

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Robert Preston Ingram  
 4040 East Mitchell Drive  
 Phoenix, AZ 85018-5912

2. Article Number  
 (Transfer from service label)

7007 1490 0000 2613 8068

PS Form 3811, February 2004

Domestic Return Receipt

102595-02-M-1540

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature  Agent  
 Addressee

B. Received by (Printed Name)  Date of Delivery

C. Is delivery address different from item 1?  Yes  
 No  
 If YES, enter delivery address below:

3. Service Type

Certified Mail  Express Mail  
 Registered  Return Receipt for Merchandise  
 Insured Mail  C.O.D.

4. Restricted Delivery? (Extra Fee)  Yes

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Cruzita Ingram  
 4040 East Mitchell Drive  
 Phoenix, AZ 85018-5912

2. Article Number  
 (Transfer from service label)

7007 1490 0000 2613 8051

PS Form 3811, February 2004

Domestic Return Receipt

102595-02-M-1540

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COMMISSIONERS  
KRISTINK. MAYES, Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP

BRIAN C. McNEIL  
EXECUTIVE DIRECTOR



MATTHEW J. NEUBERT  
DIRECTOR

SECURITIES DIVISION  
1300 West Washington, Third Floor  
Phoenix, AZ 85007  
TELEPHONE: (602) 542-4242  
FAX: (602) 594-7470  
E-MAIL: securitiesdiv@azcc.gov

ARIZONA CORPORATION COMMISSION

Direct line: 602-542-0722  
Facsimile: 602-594-7478  
E-mail: mdailey@azcc.gov

January 8, 2009

**Certified Mail/Return Receipt Requested**

Robert Preston Ingram  
4040 East Mitchell Drive  
Phoenix, Arizona 85018-5912

**RE: Securities Division List of Witnesses & Exhibits for January 27-29, 2009  
Hearing for *In re Robert Preston Ingram, et al.*, Docket No. S-20604A-08-0371**

Dear Mr. Ingram:

Enclosed find the Division's List of Witnesses and Exhibits for the January 27-29, 2009 hearing, as required by the Administrative Law Judge's third procedural order. Because witnesses are spread around the country, also find enclosed the motion to permit telephonic testimony.

I have tried to reach you via email, and telephone at ~~602-692-1410~~ without success. If you would like to discuss this matter, please let me know how to contact you. My number is listed above. Thank you.

Sincerely,

A handwritten signature in black ink, appearing to read "Mike Dalley". The signature is stylized and written over the printed name "Mike Dalley".

Mike Dalley

Enclosures

**COMMISSIONERS**  
KRISTIN K. MAYES, Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP

BRIAN C. McNEIL  
EXECUTIVE DIRECTOR



MATTHEW J. NEUBERT  
DIRECTOR

SECURITIES DIVISION  
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Phoenix, AZ 85007  
TELEPHONE: (602) 542-4242  
FAX: (602) 594-7470  
E-MAIL: securitiesdiv@azcc.gov

**ARIZONA CORPORATION COMMISSION**

Direct line: 602-542-0722  
Facsimile: 602-594-7478  
E-mail: mdailey@azcc.gov

January 8, 2009

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Sincerely,

Mike Dailey

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Enclosures