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NOTICES OF SUBSTANTIVE POLICY STATEMENTS

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The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S. § 41-101(B)(14)). Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice. A substantive policy statement does not include internal procedural documents which may only affect the internal procedures of the agency and does not impose additional requirements or penalties on regulated parties in accordance with A.R.S. Title 41.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

ARIZONA CORPORATION COMMISSION - SECURITIES DIVISION

1. Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:

Commission Policy Regarding Electronic Publication of Recognized Manuals of Securities Designated in A.A.C. R14-4-114. Commission Docket No. S-00000A-99-0555.

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Issue/effective date: December 14, 1999.

3. Summary of the contents of the substantive policy statement:

The Commission clarifies that the publications listed in A.A.C. R14-4-114 include electronic publication formats that are as readily available to the general public as the printed version, including CD-ROM and electronic dissemination over the Internet.

4. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a new substantive policy statement by the Commission.

5. The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:

Name: Kurt V. Merritt
Address: Securities Division
Arizona Corporation Commission
1300 West Washington, 3rd Floor
Phoenix, Arizona 85007-2996
Telephone: (602) 542-4242

6. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

The statement of policy may be published in *Blue Sky Law Reporter* by Commerce Clearing House, Inc., 4025 West Peterson Avenue, Chicago, Illinois 60646. Copies are also available at the Securities Division of the Arizona Corporation Commission for \$.50 per page and on the Securities Division's Internet website at www.ccsd.cc.state.az.us at no cost.