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BEFORE THE ARIZONA CORPORATION COMMISSION

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2 COMMISSIONERS

- 3 MIKE GLEASON - Chairman
- 4 WILLIAM A. MUNDELL
- 5 JEFF HATCH-MILLER
- 6 KRISTIN K. MAYES
- 7 GARY PIERCE

2008 AUG 19 P 1:24

AZ CORP COMMISSION
DOCKET CONTROL

7 IN THE MATTER OF:

DOCKET NO. S-20600A-08-0340

8 MARK W. BOSWORTH and LISA A.
BOSWORTH, husband and wife;

9 STEPHEN G. VAN CAMPEN and DIANE V.
10 VAN CAMPEN, husband and wife;

11 MICHAEL J. SARGENT and PEGGY L.
SARGENT, husband and wife;

12 ROBERT BORNHOLDT and JANE DOE
13 BORNHOLDT, husband and wife;

14 MARK BOSWORTH & ASSOCIATES, LLC,
an Arizona limited liability company;

15 3 GRINGOS MEXICAN INVESTMENTS, LLC,
16 an Arizona limited liability company;

17 Respondents.

Arizona Corporation Commission

DOCKETED

AUG 19 2008

DOCKETED BY

SECOND
PROCEDURAL ORDER

18 **BY THE COMMISSION:**

19 On July 3, 2008, the Securities Division ("Division") of the Arizona Corporation Commission
20 ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Mark W. Bosworth and
21 Lisa A. Bosworth, husband and wife; Stephen G. Van Campen and Diane V. Van Campen, husband
22 and wife; Michael J. Sargent and Peggy L. Sargent, husband and wife; Robert Bornholdt and Jane
23 Doe Bornholdt, husband and wife; Mark Bosworth & Associates, LLC ("MBA"); and 3 Gringos
24 Mexican Investments, LLC ("3GMI") (collectively "Respondents"), in which the Division alleged
25 multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of
26 securities in the form of notes and investment contracts.

27 Respondents were duly served with copies of the Notice.
28

1 On July 24, 2008, Respondents Michael J. and Peggy L. Sargent filed a request for a hearing.

2 On July 28, 2008, Respondents Michael W. and Lisa A. Bosworth and MBA filed a request
3 for hearing.

4 On August 1, 2008, a notice of appearance was entered by counsel on behalf of Respondent
5 Robert Bornholdt, and will be treated as a request for hearing.

6 On June 6, 2008, by Procedural Order, a pre-hearing conference was scheduled for
7 September 18, 2008.

8 On August 8, 2008, Respondents Stephen G. Van Campen and Diane V. Van Campen filed a
9 request for hearing. The Van Campen Respondents also requested leave to delay filing their answer
10 in the proceeding because they anticipate entering into a Consent Order for approval by the
11 Commission.

12 Accordingly, the pre-hearing conference should take place as previously ordered and the
13 Van Campen Respondents should be granted leave to delay filing their answer.

14 IT IS THEREFORE ORDERED that **the pre-hearing conference** shall be held on
15 **September 18, 2008, at 9:30 a.m.**, at the Commission's offices, 1200 West Washington Street,
16 Conference Room 100, Phoenix, Arizona.

17 IT IS FURTHER ORDERED that the Van Campen Respondents shall have until
18 October 1, 2008, to file their answer.

19 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
20 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
21 *pro hac vice*.

22 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113—Unauthorized
23 Communications) is in effect and shall remain in effect until the Commission's Decision in this
24 matter is final and non-appealable.

25 ...

26 ...

27 ...

28

1 IT IS FURTHER ORDERED that the Presiding Officer may rescind, alter, amend, or waive
2 any portion of this Procedural Order either by subsequent Procedural Order or by ruling at hearing.

3 Dated this 19th day of August, 2008.

4
5
6 
7 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

8 Copies of the foregoing were mailed/delivered
9 this 19th day of August, 2008 to:

10 Mark W. Bosworth and
11 Lisa A. Bosworth
18094 North 100th Street
Scottsdale, Arizona 85255

Matt Neubert, Director Securities Division
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Phoenix, Arizona 85007

12 Mark Bosworth & Associates, LLC
13 18094 North 100th Street
Scottsdale, Arizona 85255

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Phoenix, Arizona 85004
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19 Joshua R. Forest
20 Julie M. Beauregard
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22 1850 North Central Avenue
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23 Attorneys for Robert Bornholdt

24 Norman C. Keyt
KEYT LAW OFFICES
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Phoenix, Arizona 85016
26 Attorney for Respondents
Stephen G. Van Campen and
27 Diane V. Van Campen

By: 
Debra Broyles
Secretary to Marc E. Stern