



0000084646

31EX

**Transcript Exhibit(s)**

**Docket #(s):** RW-00000B-07-0051

RSW-00000A-07-0051

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AZ CORP COMMISSION  
DOCKET CONTROL

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**Exhibit #:** 51-52

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Arizona Corporation Commission  
**DOCKETED**

MAY -1 2008

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## NOTICES OF RULEMAKING DOCKET OPENING

The Administrative Procedure Act (APA) requires the publication of Notices of Rulemaking Docket Opening when an agency opens a rulemaking docket to consider rulemaking. Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process.

### NOTICE OF RULEMAKING DOCKET OPENING CORPORATION COMMISSION FIXED UTILITIES

[R08-26]

1. **Title and its heading:** 14, Public Service Corporations; Corporations and Associations; Securities Regulation  
**Chapter and its heading:** 2, Corporation Commission – Fixed Utilities  
**Article and its heading:** 4, Water Utilities; 6, Sewer Utilities  
**Section numbers:** R14-2-402, R14-2-602
2. **The subject matter of the proposed rule:**  
The proposed changes will amend R14-2-402 and R14-2-602, both entitled "Certificate of Convenience and Necessity" ("CC&N") and will require applicants for a new CC&N or for an extension of a CC&N to provide additional information regarding affected landowners, developers and nearby municipalities and nearby water and wastewater service providers in order for the Arizona Corporation Commission and its staff to verify proper notification of the CC&N proceeding.  
**The agency docket number, if applicable:**  
RW-00000B-07-0051 and RSW-0000A-07-0051
3. **A citation to all published notices relating to the proceeding:**  
Notice of Proposed Rulemaking: 14 A.A.R. 452, February 15, 2008 (*in this issue*)
4. **The name and address of agency personnel with whom persons may communicate regarding the rule:**  
Name: Robin Mitchell, Esq.  
Legal Division, Arizona Corporation Commission  
Address: 1200 W. Washington St.  
Phoenix, AZ 85007  
Telephone: (602) 542-3402  
Fax: (602) 542-4870  
E-mail: rmitchell@azcc.gov  
or  
Name: Steve Olea, Assistant Director  
Utilities Division, Arizona Corporation Commission  
Address: 1200 W. Washington St.  
Phoenix, AZ 85007  
Telephone: (602) 542-7270  
Fax: (602) 542-2129  
E-mail: solea@azcc.gov
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**  
Public comment will be held on two separate dates and two separate locations: 1) April 14, 2008, beginning at 10:00 a.m. and in Room 222 in the Tucson offices of the Arizona Corporation Commission located at 400 W. Congress St., Tucson, AZ 85701, or 2) April 15, 2008, beginning at 10:00 a.m. in the Hearing Room of the Arizona Corporation Commission located at 1200 W. Washington St., Phoenix, AZ 85007. Hearing requests initial written comments be received on or before March 17, 2008 and responsive comments be received on or before March 28, 2008. However, written comments will be accepted through April 15, 2008. Comments should be submitted to Docket Control at the above address. Please reference docket number ACC-00000A-05-0613 on all documents.
6. **A timetable for agency decisions or other action on the proceeding, if known:**  
The Commission will likely bring this to Open Meeting for final approval in late spring or early summer, 2008.

*Arizona Administrative Register / Secretary of State*  
**Notices of Proposed Rulemaking**

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per week.

**R4-20-118. Unprofessional Conduct**

In addition to actions specified in A.R.S. § 32-1696, unprofessional conduct in the practice of optical dispensing includes the following:

1. Substandard care as specified in R4-20-119;
2. Failing to maintain a copy of the customer's prescription ~~and~~ or failing to prepare and maintain a record of optical devices dispensed for at least three years. The record shall include the brand, style and size of the frame, if any, and the style, material, and all other information necessary to accurately reproduce each lens. The records shall be separate from optometrists' or physicians' records;
3. Failing or refusing to make a copy of a record described in subsection (2) promptly available to the customer, who is the subject of the record, the customer's designated representative, the customer's prescribing practitioner, or the Board or its investigator, when requested. Notwithstanding this provision, a dispensing optician need not make the record of contact lenses dispensed on a trial basis available to the customer; ~~and~~
4. Failing or refusing to take corrective action or investigate a customer complaint concerning the manufacture or fit of eyeglasses, contact lenses, or other optical devices dispensed at the establishment by which the dispensing optician is employed if there is a substantial basis for the complaint;
5. Failure of any person, corporation, company, partnership, firm, association or society to maintain an active optical establishment license; and
6. Failure to comply with a Board order.

**NOTICE OF PROPOSED RULEMAKING**

**TITLE 14. PUBLIC SERVICE CORPORATIONS; CORPORATIONS AND ASSOCIATIONS;  
SECURITIES REGULATION**

**CHAPTER 2. CORPORATION COMMISSION  
FIXED UTILITIES**

[R08-27]

**PREAMBLE**

1. **Sections Affected**

R14-2-402	<b><u>Rulemaking Action</u></b>
R14-2-602	Amend
	Amend
2. **The specific authority for the rulemaking, including both the authorizing statute (general) and the statutes the rules are implementing (specific):**

Authorizing statute: Arizona Constitution Article XV § 3; A.R.S. §§ 40-202; 40-203; 40-321, 40-322, 40-281, 40-282.

Implementing statute: Arizona Constitution Article XV § 3; A.R.S. §§ 40-202; 40-203; 40-321, 40-322, 40-281, 40-282.
3. **A list of all previous notices appearing in the Register addressing the proposed rule:**

Notice of Rulemaking Docket Opening: 14 A.A.R. 460, February 15, 2008 (*in this issue*)
4. **The name and address of agency personnel with whom persons may communicate regarding the rulemaking:**

Name:	Robin Mitchell, Esq. Legal Division, Arizona Corporation Commission
Address:	1200 W. Washington St. Phoenix, AZ 85007
Telephone:	(602) 542-3402
Fax:	(602) 542-4870
E-mail:	rmitchell@azcc.gov
	or
Name:	Steve Olea, Assistant Director

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Utilities Division, Arizona Corporation Commission

Address: 1200 W. Washington St.  
Phoenix, AZ 85007

Telephone: (602) 542-7270

Fax: (602) 542-2129

E-mail: solea@azcc.gov

**5. An explanation of the rule, including the agency's reasons for initiating the rule:**

These amendments will amend existing rules (R14-2-402 and R14-2-602), both entitled "Certificate of Convenience and Necessity" ("CC&N"). The proposed amendments and changes to these rules are designed to provide the Arizona Corporation Commission and the Commission's staff more information in the application for a new CC&N or for an extension of a CC&N. This additional information will aid Staff in its analysis and the Commission in its determination of the public interest when granting or denying a CC&N or a CC&N extension for water service and sewer service.

**6. A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:**

None

**7. A showing of good cause why the rule is necessary to promote a statewide interest if the rule will diminish a previous grant of authority of a political subdivision of this state:**

Not applicable

**8. The preliminary summary of the economic, small business, and consumer impact:**

NOTE – The Arizona Corporation Commission is exempt from the requirements of A.R.S. § 41-1055 relating to economic, small business, and consumer impact statements. See A.R.S. § 41-1057(2). However, under A.R.S. § 41-1057(2), the Arizona Corporation Commission is required to prepare a "substantially similar" statement.

Companies providing water service or wastewater service may incur additional costs resulting from the requirements for notification to affected landowners, developers and nearby municipalities and nearby water and wastewater service providers of an application for a new CC&N or an extension to a CC&N. Utilities may incur additional costs resulting from additional research and other communications with landowners. However, by providing this additional information in the application, applicants may incur lower organizational costs and may reduce regulatory costs thereby reducing the upward pressure on rates of customers of water and wastewater utilities which are forming or expanding. The rule change amendments should reduce the time period between the date of application and the date of a final order in the matter which would allow for faster development of the property.

**9. The name and address of agency personnel with whom persons may communicate regarding the accuracy of the economic, small business, and consumer impact statement:**

Name: Robin Mitchell, Esq.  
Legal Division, Arizona Corporation Commission

Address: 1200 W. Washington St.  
Phoenix, AZ 85007

Telephone: (602) 542-3402

Fax: (602) 542-4870

E-mail: rmmitchell@azcc.gov

or

Name: Steve Olea, Assistant Director  
Utilities Division, Arizona Corporation Commission

Address: 1200 W. Washington St.  
Phoenix, AZ 85007

Telephone: (602) 542-7270

Fax: (602) 542-2129

E-mail: solea@azcc.gov

**10. The time, place, and nature of the proceedings for the making, amendment, or repeal of the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:**

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Notices of Proposed Rulemaking

Public comment will be held on two separate dates and two separate locations: 1) April 14, 2008, beginning at 10:00 a.m. and in Room 222 in the Tucson offices of the Arizona Corporation Commission located at 400 W. Congress St., Tucson, AZ 85701, or 2) April 15, 2008, beginning at 10:00 a.m. in the Hearing Room of the Arizona Corporation Commission located at 1200 W. Washington St., Phoenix, AZ 85007. Hearing requests initial written comments be received on or before March 17, 2008 and responsive comments be received on or before March 28, 2008. However, written comments will be accepted through April 15, 2008. Comments should be submitted to Docket Control at the above address. Please reference docket number ACC-00000A-05-0613 on all documents.

**11. Any other matters prescribed by statute that are applicable to the specific agency or to any specific rule or class of rules:**

None

**12. Incorporations by reference and their location in the rules:**

None

**13. The full text of the rules follows:**

**TITLE 14. PUBLIC SERVICE CORPORATIONS; CORPORATIONS AND ASSOCIATIONS;  
SECURITIES REGULATION**

**CHAPTER 2. CORPORATION COMMISSION  
FIXED UTILITIES**

**ARTICLE 4. WATER UTILITIES**

Section

R14-2-402. Certificate of Convenience and Necessity for water utilities; ~~abandonments~~

**ARTICLE 6. SEWER UTILITIES**

Section

R14-2-602. Certificate of Convenience and Necessity for sewer utilities; ~~additions/extensions; abandonments~~

**ARTICLE 4. WATER UTILITIES**

**R14-2-402. Certificate of Convenience and Necessity for water utilities; ~~abandonments~~**

**A. For purpose of this rule, "contiguous" is defined with its common, ordinary and approved meaning: In actual close contact; touching; bounded or traversed by.**

**A-B. Application for new Certificate of Convenience and Necessity or extension of Certificate of Convenience and Necessity.**

1. Any person or entity who desires to construct and/or operate a water utility will, prior to commencement of construction of utility facilities, file an application for a Certificate of Convenience and Necessity with the Arizona Corporation Commission.
2. ~~Six copies of each~~ Each application for a new Certificate of Convenience and Necessity or extension of a Certificate of Convenience and Necessity shall be submitted in a form and number prescribed by the Commission and shall include, at a minimum, the following information:
  - a. The proper name and correct address of the proposed utility company and its owner, if a sole proprietorship, each partner if a partnership, ~~or the President and Secretary if a corporation, or its managers and/or members of the L.L.C. (if management is reserved to the members) if an L.L.C.~~
  - b. A copy of the applicant's Articles of Partnership or Articles of Incorporation ~~for the applicant and/or~~ Bylaws if the utility is a non-profit organization or association or Articles of Organization if the utility is an L.L.C. for a new Certificate of Convenience and Necessity or the applicant's Certificate of Good Standing for an extension.
  - e. ~~The type of plant, property, or facility proposed to be constructed.~~
  - ~~d-c.~~ A complete description of the facilities proposed to be constructed, including a preliminary engineering report with specifications in sufficient detail to properly describe the principal systems and components which meet the requirements of the health department. Final and complete engineering specifications shall be supplied when they become available (e.g. source, storage, transmission lines, distribution lines, etc.) in order to verify the costs submitted as part of subsection (B)(2)(d) and to verify that the requirements of the Commission and the Arizona Department of Environmental Quality can be met.
  - d. The estimated total construction cost of the proposed offsite and onsite plant facilities, including documentation to support the estimates, and an explanation of how the construction will be financed, such as, but not limited to debt, equity, advances in aid of construction or contributions in aid of construction.
  - e. ~~The rates proposed to be charged for the service that will be rendered.~~

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- e. The financial condition of the applicant.
- f. The estimated total cost of the proposed construction.
- f. The rates proposed to be charged for the service that will be rendered.
- g. The manner of capitalization and method of financing for the project.
- h. The financial condition of the applicant.
- i-g. The estimated annual operating revenues and expenses that are expected to accrue from the proposed construction for the first five years of operation, including assumptions made to derive the estimates.
- j-h. The estimated starting and completion date of the proposed construction. If construction is to be phased, the phases shall be described in detail.
- i. A copy of any requests for service for the area under application with the requested water service provider identified.
- k-j. Maps of the proposed service area identifying:
  - i. The boundaries of the area under application with the total acreage noted.
  - ii. Land ownership boundaries indicating the acreage of each parcel within the area under application if the area under application is comprised of two or more parcels that are owned by different parties.
  - iii. The owner of each parcel comprising the area under application.
  - iv. The corporate limits of any city or town that cross or are within five miles of the area under application.
  - v. The service territory of any public service corporation, municipality or district currently providing water or wastewater service within one mile of the area under application, the name of any such entity and types of service being provided.
  - vi. The location of any known water service connections within the area under application.
  - vii. The location of all proposed developments for the area under application.
  - viii. The proposed location of all principal systems and components described in subsection (B)(2)(c).
  - ix. The location of all parcels for which a copy of a request for service has been provided per R14-2-402(B)(2)(i).
- k. A copy of applicant's notice to the municipal manager or administrator of each entity in subsection (B)(2)(j)(iv).
- l. A copy of the applicant's notice of the application to all the landowners in the area under application who did not request service.
- m. The written response to the notice from each landowner who did not request service.
- n. If a landowner did not respond to the notice of the application, the application shall include a description of the action taken by the applicant to obtain a written response from the land owner.
- l-o. Appropriate city, county and/or state agency approvals.
- m-p. The estimated number of customers to be served for each of the first five years of operation, including documentation to support the estimates.
- q. The name of the wastewater service provider in the area under application along with a letter from the wastewater service provider confirming the provision of such service and a description of how the applicant will work with the wastewater service provider to encourage water conservation, including promoting the use of reclaimed water.
- r. A description of how water will be provided for golf courses, ornamental lakes, other aesthetic water features, greenbelts, or parks within the area under application.
- s. Plans or description of water conservation measures. Such plans shall include, at a minimum, the following:
  - i. A description of the information about water conservation or water saving measures that the utility provides to the public and its customers;
  - ii. A description of the sources of water that will be used to supply parks, recreation areas, golf courses, greenbelts, ornamental lakes, and other aesthetic features;
  - iii. A description of plans for the use of reclaimed water;
  - iv. A description of plans for the use of recharge wells;
  - v. A description of plans for the use of surface water; and
  - vi. A description of any other plans or programs in place to promote water conservation.
- t. Backflow prevention tariff, if not already on file.
- u. Curtailment tariff, if not already on file.
- v. Physical Availability Determination, Analysis of Adequate Water Supply, or Analysis of Assured Water Supply from the Arizona Department of Water Resources or, in the alternative, the status of the application.
- w. For applications for extensions of Certificate of Convenience and Necessity, the applicant shall also submit:
  - i. A current compliance status report from the Arizona Department of Environmental Quality. This status report shall be dated no more than 30 days before the filing date of the application for extension.
  - ii. A water use data sheet for existing systems. A separate water use data sheet, identified by the Arizona Department of Environmental Quality Public Water System Identification Number, shall be submitted for each separate water system.

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3. Upon the receipt of such application, the Commission staff of the Utilities Division shall review the application for compliance with the information requirements of this regulation; additional information, amendments and/or corrections to the application to bring the application into compliance with this regulation shall be governed by the Commission's rules of administrative and hearing requirements concerning incomplete applications.
4. Once the applicant has satisfied the information requirements of this regulation, as well as any additional information required by the staff of the Commission's Utilities Division, the Commission shall, as expeditiously as reasonably practicable, schedule hearings to consider such application.

~~B-C.~~ Application for discontinuance or abandonment of utility service

1. Any utility proposing to discontinue or abandon utility service currently in use by the public shall prior to such action obtain authority therefore from the Commission.
2. The utility shall include in the application, studies of past, present and prospective customer use of the subject service, plant or facility as is necessary to support the application.
3. An application shall not be required to remove individual facilities where a customer has requested service discontinuance.

~~C-D.~~ Additions/ or extensions of service contiguous to outside existing Certificates of Convenience and Necessity.

1. Each utility which proposes to extend utility service to a ~~location parcel~~ not within its certificated service area, but located in a non-certificated area contiguous to its certificated service area, shall prior to the extension of service, notify the Commission of such service extension.
2. Such notifications shall be in writing and shall be verified and shall set forth, at a minimum:
  - a. The number of persons or entities proposed to be served by such service extension,
  - b. ~~Their~~ The location of the persons or entities in relation to the certificated area of the utility, and
  - c. A statement of the utility that the service extension is to a non-certificated ~~area parcel~~ which is contiguous to its certificated area, and
  - d. Where emergency service is required to be provided to a customer in a non-certificated area contiguous to the utility certificated area the utility shall advise the Commission simultaneously of such extension and the written notification shall set forth the nature and extent of the emergency.
2. ~~For purpose of this rule the following definition of "contiguous" is: Contiguous—Common, ordinary and approved meaning. In actual close contact, touching, bounded or traversed by.~~

ARTICLE 6. SEWER UTILITIES

R14-2-602. Certificate of Convenience and Necessity for sewer utilities, ~~additions/extensions, abandonments~~

~~A.~~ For purpose of this rule, "contiguous" is defined with its common, ordinary and approved meaning: In actual close contact, touching, bounded or traversed by.

~~A-B.~~ Application for new Certificate of Convenience and Necessity or extension of Certificate of Convenience and Necessity.

1. Any person or entity who desires to construct and/or operate a sewer utility will, prior to commencement of construction of utility facilities, file an application for a Certificate of Convenience and Necessity with the Arizona Corporation Commission.
2. ~~Six copies of each~~ Each application for a new Certificate of Convenience and necessity or extension of a certificate of Convenience and Necessity shall be submitted in a form and number prescribed by the Commission and shall include, at a minimum, the following information:
  - a. The proper name and correct address of the proposed utility company and its owner, if a sole proprietorship, each partner if a partnership, ~~or~~ the President and Secretary if a corporation, or its managers and/or members of the L.L.C. (if management is reserved to the members) if an L.L.C.
  - b. A copy of the applicant's Articles of Co-Partnership or Articles of Incorporation ~~for the applicant and/or~~ Bylaws if the utility is a non-profit organization or association, or Articles of Organization if the utility is an L.L.C. for a new Certificate of Convenience and Necessity or the applicant's Certificate of Good Standing for an extension.
  - e. ~~The type of plant, property, or facility proposed to be constructed.~~
  - ~~d-c.~~ A complete description of the facilities proposed to be constructed, including a preliminary engineering report with specifications in sufficient detail to properly describe the principal systems and components and final and complete engineering specifications when they become available (e.g., collection mains, trunk lines, lift stations, treatment plants, effluent disposal areas, etc.) in order to verify the costs submitted as part of subsection (B)(2)(e) and to verify that the requirements of the Commission and the Arizona Department of Environmental Quality can be met.
  - d. A copy of the Aquifer Protection Permit issued by the Arizona Department of Environmental Quality for the proposed area or, in the alternative, the status of the application for the Aquifer Protection Permit.
  - e. The rates proposed to be charged for the service that will be rendered because of the proposed construction. The estimated total construction cost of the proposed offsite and onsite plant facilities, including documentation to support the estimates, and an explanation of how the construction will be financed, such as, but not limited to

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- debt, equity, advances in aid of construction or contributions in aid of construction.
  - ~~f.~~ The estimated total cost of the proposed construction.
  - ~~g.~~ The manner of capitalization and method of financing for the project.
  - ~~h-f.~~ The financial condition of the applicant.
  - ~~g.~~ The rates proposed to be charged for the service that will be rendered.
  - ~~h.~~ The estimated annual operating revenues and expenses that are expected to accrue from the proposed construction for the first five years of operation, including assumptions made to derive the estimates.
  - ~~j-i.~~ The estimated starting and completion date of the proposed construction. If construction is to be phased, the phases shall be described in detail.
  - ~~j.~~ A copy of any request for service for the area under application with the requested wastewater service provider identified.
  - ~~k.~~ Maps of the proposed service area identifying:
    - ~~i.~~ The boundaries of the area under application with the total acreage noted.
    - ~~ii.~~ Land ownership boundaries indicating the acreage of each parcel within the area under application if the area under application is comprised of two or more parcels that are owned by different parties.
    - ~~iii.~~ The owner of each parcel comprising the area under application.
    - ~~iv.~~ The corporate limits of any city or town that cross or are within five miles of the area under application.
    - ~~v.~~ The service territory of any public service corporation, municipality or district currently providing water or wastewater service within one mile of the area under application, the name of any such entity and the types of services it is providing.
    - ~~vi.~~ The location of any known sewer service connections within the area under application.
    - ~~vii.~~ The location of all proposed developments for the area under application.
    - ~~viii.~~ The proposed location of all principal systems and components described in subsection (B)(2)(c).
    - ~~ix.~~ The location of all parcels for which a copy of a request for service has been provided per subsection (B)(2)(i).
  - ~~l.~~ Appropriate city, county and/or state agency approvals.
  - ~~l.~~ A copy of the applicant's notice to the municipal manager or administrator of each entity in subsection (B)(2)(k)(iv).
  - ~~m.~~ Estimated number of customers to be served for the first five years of operation, including documentation to support the estimates.
  - ~~m.~~ A copy of the applicant's notice of the application to all the landowners in the area under application who did not request service.
  - ~~n.~~ The written response to the notice from each landowner in the area under application who did not request service.
  - ~~o.~~ Appropriate city, county and/or state agency approvals.
  - ~~p.~~ Estimated number of customers to be served for the first five years of operation, including documentation to support the estimates.
  - ~~q.~~ The name of the water service provider in the area under application.
  - ~~r.~~ A description of how effluent from the area under application will be reused, or if not reused, a description of the disposition of the effluent.
  - ~~s.~~ For applications for an extension of a Certificate of Convenience and Necessity, the applicant shall also submit:
    - ~~i.~~ A current compliance status report from the Arizona Department of Environmental Quality. This status report shall be dated no more than 30 days before the filing date of the application for extension.
    - ~~ii.~~ A wastewater flow data sheet for existing systems.
3. Upon the receipt of such application, the Commission staff shall review the application for compliance with the information requirements of this regulation; additional information, amendments and/or corrections to the application to bring the application into compliance with this regulation shall be governed by the Commission's rules of administrative and hearing requirements concerning incomplete applications.
4. Once the applicant has satisfied the information requirements of this regulation, the Commission shall, as expeditiously as reasonably practicable, schedule hearings to consider such application.
- B-C.** Additions or extensions of service contiguous to existing Certificates of Convenience and Necessity. Each utility which proposes to extend utility service to a ~~person~~ parcel not located within its certificated service area, but located in a non-certificated area contiguous to its certificated service area, shall, prior to the extension of service, notify the Commission of such service extension. Such notification shall be in writing and shall be verified and shall set forth, at a minimum, the number of persons or entities proposed to be served by such service extension, their location in relation to the certificated area of the utility and a statement of the utility that the service extension is to a non-certificated ~~area~~ parcel which is contiguous to its certificated area. Where emergency service is required to be provided to a customer in a non-certificated area contiguous to the utility certificated area, the utility shall advise the Commission simultaneously of such extension and the written notification shall set forth the nature and extent of the emergency.
- C-D.** Application for authority to abandon, sell, lease, transfer, or otherwise dispose of a utility. Any utility proposing to sell,

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lease, transfer, or otherwise dispose of the utility shall, prior to such sale, lease, transfer, or other disposal, file an application for authority to do so including the following information:

1. The address of the applicant.
2. A description of the utility property proposed to be abandoned, sold, leased, transferred or otherwise disposed of.
3. The financial condition of the applicant.
4. The name of the purchaser, lessee or assignee.
5. The terms and conditions of the proposed abandonment, sale, lease, or assignment and copies of any agreement which has been or will be executed concerning the same.
6. The effect of the proposed transaction upon the service of the applicant.
7. The method by which the proposed transaction is to be financed.
8. The effect the proposed transaction will have on any other utility and, if so, in what respect.

**D-E.** Application for discontinuance or abandonment of utility service

1. Any utility proposing to discontinue or abandon any type of utility service currently in use by the public shall prior to such action obtain authority therefore from the Commission.
2. The utility shall include in the application, studies of past, present and prospective customer use of the subject service plant or facility as is necessary to support the application.
3. An application shall not be required to remove individual facilities where a customer has requested service discontinuance.

**ARIZONA CORPORATION COMMISSION**  
**APPLICATION FOR A CERTIFICATE OF CONVENIENCE AND NECESSITY**  
**WATER AND/OR SEWER**

**General Information:**

The attached forms have been prepared by the Utilities Division of the Arizona Corporation Commission to assist Applicants filing for a Certificate of Convenience and Necessity. The information requirements of this application are designed to comply with the requirements of Arizona Revised Statutes Section 40-101 et. seq., and the Arizona Administrative Code R14-2-402.A/R14-2-602.A.

Use of the attached form is suggested unless an attorney uses a formal pleading format. The information requested in this application must be included within the pleading.

Attachment "A" is an example of a letter of transmittal addressed to the Utilities Division Docket Control Center. Please add information to the letter indicating the purpose and reasons for the application. This format should be used unless you are using a Company letterhead. Place this letter at the beginning of the application. Keep this instruction sheet for your information. Do not attach it to the application.

**Instructions:**

1. Complete the forms accurately, and attach all required documents. This will expedite the processing of the application.
2. Complete all the items that apply to your utility. If an item requested does not apply, mark it "not applicable" (N/A). If you do not complete an item, your application could be delayed.
3. If the space provided is insufficient, put the information on a separate sheet of paper and clearly label the information.
4. If an item is to be filed at a later date, mark the item "to be late filed by" and give the date by which it will be filed.
5. Have the application notarized.

6. Submit an original and ten copies of this application.
7. If you plan to finance the plant through debt (long term loans) or equity (stock issuance), a separate financing application must be made with separate notice to the public. A requirement sheet for filing a financing application, and a form of notice, will be sent to you at your request.
8. Attachment "E" is a form of public notification that must be provided all property owners in the area requested in this application. If fewer than 100 property owners, notice should be mailed within 15 days after the application is filed. If more than 100 property owners, notice should be given by mail or by publication at least once in a newspaper of general circulation in the service area within 15 days after the application is filed. Proof of notice should be filed with the Commission within 10 days after notice was mailed or published. Hearings will always be held. The Hearing Officer will specify any additional notice in a Procedural Order.

**Filing:**

When you have completed the application, mail or deliver it to:

Docket Control Center  
Arizona Corporation Commission  
1200 W. Washington Street  
Phoenix, Arizona 85007

**ARIZONA CORPORATION COMMISSION**

**APPLICATION FOR A CERTIFICATE OF CONVENIENCE AND NECESSITY**

**WATER AND/OR SEWER**

A. The name, address and telephone number of the Applicant (Company) is:

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B. If doing business (d.b.a.) under a name other than the Applicant (Company) name listed above, specify:

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C. List the name, address and telephone number of the management contact:

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D. List the name, address and telephone number of the attorney for the Applicant:

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E. List the name, address and telephone number of the operator certified by the Arizona Department of Environmental Quality:

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F. List the name, address and telephone number of the on-site manager of the utility:

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G. The Applicant is a:

<input type="checkbox"/> Corporation: <input type="checkbox"/> "C", <input type="checkbox"/> "S", <input type="checkbox"/> Non-Profit <input type="checkbox"/> Arizona, <input type="checkbox"/> Foreign	<input type="checkbox"/> Partnership <input type="checkbox"/> Limited, <input type="checkbox"/> General <input type="checkbox"/> Arizona, <input type="checkbox"/> Foreign
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Limited Liability Company (LLC)
<input type="checkbox"/> Other (Specify)	

H. If Applicant is a corporation:

1. List names of Officers and Directors:

Officers

Directors

_____	_____
_____	_____
_____	_____

- 
2. Attach a copy of the corporation's "Certificate of Good Standing" issued by the Corporation's Division of the Arizona Corporation Commission.
  3. Attach a copy of the Articles of Incorporation.
  4. Attach a copy of the corporation's By-Laws.
  5. If a for-profit corporation, indicate the number of shares of stock authorized for issue:
  6. If stock has been issued, indicate the number of shares issued and date of issue:
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H. If the Applicant is a partnership:

1. List the names of the general partners:

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2. List the name, address and telephone number of the managing partners:

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3. Attach a copy of the Partnership's Articles of Partnership.

- If the Applicant is a foreign limited partnership, provide a copy of the Partnership's "Certificate of Registration" filed with the Arizona Secretary of State.

J. If the Applicant is a sole proprietor, list the name, address and telephone number of the proprietor:

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K. If the Applicant is a Limited Liability Company:

1. List the names of managers:

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L. List the names and addresses of any other public utility interest, which the applicant may have:

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M. Attach a description of the area requested using **CADASTRAL** (quarter section description) or **Metes and Bounds** survey. References to parcels and docket numbers will not be accepted.

N. Attach a detailed map using the form provided as Attachment "B". Shade and outline the area requested. Also, indicate any other utility within the general area using different colors.

O. Attach financial information in a format similar to Attachment "C".

P. Explain the method of financing utility facilities. Refer to the instructions, item no. 7. (Use additional sheets if necessary):

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Q. Estimated starting and completion dates of construction of utility facilities:

Starting date \_\_\_\_\_ Completion \_\_\_\_\_

R. Attach proposed Tariffs using either the water or sewer format of Attachment "D", unless the Utilities Division, prior to the filing of this application, approves another form.

S. Attach the following permits:

1. The franchise from either the City or County for the area requested.
2. The Arizona Department of Environmental Quality (or its designee's) approval to construct facilities.
3. The Arizona State Land Department approval. (If you are including any State land in your requested area this approval is needed.)
4. Any U.S. Forest Service approval. (If you are including any U.S. Forest Service land in your requested area this approval is needed.)
5. (WATER ONLY) If the area requested is within an Active Management Area, attach a copy of the utility's Designation of an Assured Water Supply, or the developer's Certificate of Assured Water Supply issued by the Arizona Department of Water Resources, whichever applies.
  - If the area requested is outside an Active Management Area, attach the developer's Adequacy Statement issued by the Arizona Department of Water Resources, if applied for by the developer.
  - If the area requested is outside an Active Management Area and the developer does not obtain an Adequacy Statement, provide sufficient detail to prove that adequate water exists to provide water to the area requested.
6. Provide a copy of your estimated property taxes. This may be obtained by contacting the Arizona Department of Revenue, Division of Property Valuation and Equalization. You must provide them with a five (5) year projection of the original cost of the plant, depreciation expense, the location of the property and the school district.

T. Provide the following information:

1. Indicate the estimated number of customers, by class, to be served in each of the first five years of operation:

**Residential:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

**Commercial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

**Industrial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

**Irrigation:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

2. Indicate the projected annual water consumption or sewerage treatment, in gallons, for each of the customer classes for each of the first five years of operation:

**Residential:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

**Commercial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

**Industrial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

**Irrigation:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_ Fourth Year \_\_\_\_\_

Fifth Year \_\_\_\_\_

3. Indicate the total estimated annual operating revenue for each of the first five years of operation:

**Residential:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

**Commercial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

**Industrial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

**Irrigation:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

4. Indicate the total estimated annual operating expenses for each of the first five years of operation:

**Residential:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

**Commercial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

**Industrial:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

**Irrigation:**

First Year \_\_\_\_\_ Second Year \_\_\_\_\_ Third Year \_\_\_\_\_

Fourth Year \_\_\_\_\_ Fifth Year \_\_\_\_\_

5. Attach an itemized list of the major components of the water or sewer system (see Attachment C-3).
6. Indicate the total estimated cost to construct utility facilities:

\_\_\_\_\_

\_\_\_\_\_  
(Signature of Authorized Representative)

\_\_\_\_\_  
(Type or Print Name Here)

\_\_\_\_\_  
(Title)

SUBSCRIBED AND SWORN to before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
NOTARY PUBLIC

My Commission Expires \_\_\_\_\_

**ATTACHMENT "A"**

**EXAMPLE ONLY**

(Company Name)  
(Mailing Address)  
(City or Town and Zip Code)

(Date)

Docket Control Center  
Arizona Corporation Commission  
1200 W. Washington St.  
Phoenix, Arizona

Attached is an application by (Name of Company) for (indicate the type of application this is for).  
The purpose of this application is to (explain the purpose for and reasons behind the application).

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(Type Name Here and Sign)

**ATTACHMENT "E"**

**PUBLIC NOTICE OF AN APPLICATION**  
**FOR A CERTIFICATE OF CONVENIENCE AND NECESSITY**  
**BY [name of Company]**

[Name of Company] has filed with the Arizona Corporation Commission ("Commission") an application for authority to provide [specific type of service] service to an area in which records indicate that you are a property owner. If the application is granted, [name of Company] would be the exclusive provider of [type of service] service to the proposed area. [Name of company] will be required by the Commission to provide this service under the rates and charges and terms and conditions established by the Commission. The granting of the application would not necessarily prohibit an individual from providing service to themselves from individually owned facilities on their property. The application is available for inspection during regular business hours at the offices of the Commission in [Phoenix at 1200 West Washington Street/Tucson at 400 West Congress, North Building, Room 218], and at [name of Company and address].

The Commission will hold a hearing on this matter. As a property owner you may have the right to intervene in the proceeding. If you do not want to intervene, you may appear at the hearing and make a statement on your own behalf. You may contact the Commission at the address and telephone number listed below for the date and time of the hearing and for more information on intervention. You may not receive any further notice of the proceeding unless requested by you.

If you have any questions or concerns about this application, have any objections to its approval, or wish to make a statement in support of it, you may contact the Consumer Services Section of the Commission at [1200 West Washington Street, Phoenix, Arizona 85007 or call 1-800-222-7000/400 West Congress, North Building, Room 218, Tucson, Arizona 85701 or call 1-800-535-0148].

ATTACHMENT "D"

WATER TARIFF SCHEDULE

RATES AND CHARGES

CUSTOMER/MINIMUM CHARGE  
PER MONTH

SERVICE LINE & METER  
INSTALLATION CHARGES

METER      CHARGE      GALLONS

METER      CHARGE

5/8 X 3/4" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
3/4" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
1" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
1 1/2" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
2" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
3" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
4" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
5" \$ \_\_\_\_\_ FOR \_\_\_\_\_  
6" \$ \_\_\_\_\_ FOR \_\_\_\_\_

5/8 X 3/4" \$ \_\_\_\_\_  
3/4" \$ \_\_\_\_\_  
1" \$ \_\_\_\_\_  
1 1/2" \$ \_\_\_\_\_  
2" \$ \_\_\_\_\_  
3" \$ \_\_\_\_\_  
4" \$ \_\_\_\_\_  
5" \$ \_\_\_\_\_  
6" \$ \_\_\_\_\_

COMMODITY CHARGE (EXCESS OF MINIMUM):

\$ \_\_\_\_\_ PER \_\_\_\_\_ GALLONS

FLAT RATE \$ \_\_\_\_\_ PER MONTH

SERVICE CHARGES:

- 1. ESTABLISHMENT (R14-2-403.D.1) \$ \_\_\_\_\_
- 2. ESTABLISHMENT/AFTER HOURS (R14-2-403.D.2) \$ \_\_\_\_\_
- 3. RECONNECTION/DELINQUENT (R14-2-403.D.1) \$ \_\_\_\_\_
- 4. NSF CHECK (R14-2-409.F.1) \$ \_\_\_\_\_
- 5. METER REREAD/IF CORRECT (R14-2-408.C.2) \$ \_\_\_\_\_
- 6. METER TEST/IF CORRECT (R14-2-408.F.1) \$ \_\_\_\_\_
- 7. DEFERRED PAYMENT (R14-2-409.G.6) \$ \_\_\_\_\_
- 8. DEPOSIT INTEREST (R14-2-403.B.3) \_\_\_\_\_ %
- 9. DEPOSIT (R14-2-403.B.7) PER RULE
- 10. REESTABLISHMENT W/N 12 MOs (R14-2-403.D.1) MONTHS OFF THE SYSTEM TIMES THE MINIMUM
- 11. OTHER RATES & CHARGES APPROVED BY ORDER:

IN ADDITION TO THE COLLECTION OF ITS REGULAR RATES AND CHARGES, THE COMPANY SHALL COLLECT FROM ITS CUSTOMERS THEIR PROPORTIONATE SHARE OF ANY PRIVILEGE, SALES OR USE TAX

ATTACHMENT "C"

PROFORMA BALANCE SHEET (WATER)

ASSETS

Current Assets

Cash	\$	_____
Accounts Receivable		_____
Other		_____
Total Current Assets		_____

Fixed Assets

Utility Plant in Service		_____
(Less) Accumulated Depreciation		_____
Net Plant in Service		_____
Other		_____
TOTAL ASSETS	\$	_____

LIABILITIES AND CAPITAL

Current and Accrued Liabilities

Accounts Payable	\$	_____
Notes Payable		_____
Accrued Taxes		_____
Accrued Interest		_____
Other		_____
Total Current and Accrued Liabilities		_____

<u>Long-Term Debt</u>	\$	_____
Other		_____

Deferred Credits

Advances in Aid of Construction \$ \_\_\_\_\_

Contributions in Aid of Construction \_\_\_\_\_

Accumulated Deferred Income Tax \_\_\_\_\_

Total Deferred Credits \$ \_\_\_\_\_

TOTAL LIABILITIES \$ \_\_\_\_\_

CAPITAL ACCOUNT

Common Stock \$ \_\_\_\_\_

Preferred \_\_\_\_\_

Paid in Capital \_\_\_\_\_

Retained Earnings \_\_\_\_\_

Total Capital \$ \_\_\_\_\_

TOTAL LIABILITIES AND CAPITAL \$ \_\_\_\_\_

PROFORMA INCOME STATEMENT (WATER)

	<u>YR ONE</u>	<u>YR TWO</u>	<u>YR THREE</u>
REVENUE:			
Water Sales	_____	_____	_____
Establishment Charges	_____	_____	_____
Other Operating Revenue	_____	_____	_____
Total Operating Revenue	\$ _____	\$ _____	\$ _____
OPERATING EXPENSES:			
Salaries and Wages	\$ _____	\$ _____	\$ _____
Purchased Water	_____	_____	_____
Power Costs	_____	_____	_____
Water Testing	_____	_____	_____
Repairs and Maintenance	_____	_____	_____
Office Supplies Expense	_____	_____	_____
Outside Services	_____	_____	_____
Rents	_____	_____	_____
Transportation Expense	_____	_____	_____
Taxes Other than Property and income	_____	_____	_____
Depreciation	_____	_____	_____
Health and Life Insurance	_____	_____	_____
Income Taxes	_____	_____	_____
Property Tax	_____	_____	_____
Miscellaneous Operating	_____	_____	_____
Total Operating Expense	\$ _____	\$ _____	\$ _____
OPERATING INCOME OR (LOSS)	\$ _____	\$ _____	\$ _____
OTHER INCOME/EXPENSES:			
Interest Income	\$ _____	\$ _____	\$ _____
Other Income	_____	_____	_____
Other Expenses	_____	_____	_____
Interest Expenses	_____	_____	_____
TOTAL OTHER INCOME/EXPENSE	\$ _____	\$ _____	\$ _____
NET INCOME (LOSS)	\$ _____	\$ _____	\$ _____

CW-3  
PROFORMA UTILITY PLANT IN SERVICE (WATER)  
FIRST YEAR

	ORIGINAL COST	ACCUM. DEPRC.	ORIG. COST LESS DEPREC.
Organization	\$ _____	\$ _____	\$ _____
Franchises	_____	_____	_____
Land and Land Rights	_____	_____	_____
Wells and Springs	_____	_____	_____
Electric Pumping Equip.	_____	_____	_____
Water Treat. Equip.	_____	_____	_____
Distribution Reservoirs and Standpipes	_____	_____	_____
Transmission & Dist. Mains	_____	_____	_____
Services	_____	_____	_____
Meters	_____	_____	_____
Hydrants	_____	_____	_____
Other Plant Structures and Improvements	_____	_____	_____
Office Furniture and Fixtures	_____	_____	_____
Transportation Equipment	_____	_____	_____
Tools and Work Equipment	_____	_____	_____
Laboratory Equipment Power Operated Equipment	_____	_____	_____
Communication Equipment	_____	_____	_____
Other Tangible Plant	_____	_____	_____
TOTAL PLANT IN SERVICE	\$ _____	\$ _____	\$ _____

CW-4  
ATTACHMENT "D"

SEWER TARIFF SCHEDULE

UTILITY: \_\_\_\_\_

PAGE \_\_\_ OF \_\_\_

RATES AND CHARGES

FLAT RATE

RESIDENTIAL \$ \_\_\_\_\_ PER MONTH  
COMMERCIAL \$ \_\_\_\_\_ PER MONTH

BASED ON WATER USAGE

RESIDENTIAL MINIMUM \$ \_\_\_\_\_ FOR \_\_\_\_\_ GALLONS  
EXCESS OF MINIMUM \$ \_\_\_\_\_ FOR \_\_\_\_\_ GALLONS

COMMERCIAL MINIMUM \$ \_\_\_\_\_ FOR \_\_\_\_\_ GALLONS  
EXCESS OF MINIMUM \$ \_\_\_\_\_ FOR \_\_\_\_\_ GALLONS

EFFLUENT SALES: (if applicable)

\$ \_\_\_\_\_ PER \_\_\_\_\_ GALLONS

SERVICE LINE CONNECTION CHARGE \$ \_\_\_\_\_

SERVICE CHARGES:

- |  |          |
|--|----------|
| 1. ESTABLISHMENT (R14-2-603.D.1)                 | \$ _____ |
| 2. RECONNECTION/DELINQUENT (R14-2-603.D.1)       | \$ _____ |
| 3. DEPOSIT (R14-2-603.B.7)                       | \$ _____ |
| 4. DEPOSIT INTEREST (R14-2-603.B.3)              | _____ %  |
| 5. REESTABLISHMENT W/N 12 MONTHS (R14-2-603.D.1) | \$ _____ |
| 6. NSF CHECK (R14-2-608.E.1)                     | \$ _____ |
| 7. LATE PAYMENT PENALTY (R14-2-608.F.1)          | \$ _____ |

OTHER CHARGES AS SPECIFIED BY ORDER:

ATTACHMENT "C"

PROFORMA BALANCE SHEET (SEWER)

ASSETS

Current Assets

Cash	\$
Accounts Receivable	_____
Other	_____
Total Current Assets	_____

Fixed Assets

Utility Plant in Service	_____
(Less) Accumulated Depreciation	_____
Net Plant in Service	_____
Other	_____
TOTAL ASSETS	\$ _____

LIABILITIES AND CAPITAL

Current and Accrued Liabilities

Accounts Payable	\$
Notes Payable	_____
Accrued Taxes	_____
Accrued Interest	_____
Other	_____
Total Current and Accrued Liabilities	\$ _____

<u>Long-Term Debt</u>	\$ _____
Other	_____

Deferred Credits

Advances in Aid of Construction	\$
Contributions in Aid of Construction	_____
Accumulated Deferred Income Tax	_____
Total Deferred Credits	\$
TOTAL LIABILITIES	\$

CAPITAL ACCOUNT

Common Stock	\$
Preferred	_____
Paid in Capital	_____
Retained Earnings	_____
Total Capital	\$
TOTAL LIABILITIES AND CAPITAL	\$

CS-2  
PROFORMA INCOME STATEMENT (SEWER)

	<u>YR ONE</u>	<u>YR TWO</u>	<u>YR THREE</u>
REVENUE:			
Flat Rate Revenues	\$ _____	\$ _____	\$ _____
Measured Revenues	_____	_____	_____
Established Charges	_____	_____	_____
Other Operating Revenue	_____	_____	_____
Total Operating Revenue	\$ _____	\$ _____	\$ _____
OPERATING EXPENSES:			
Salaries and Wages	\$ _____	\$ _____	\$ _____
Purchased Sewer Treatment	_____	_____	_____
Sludge Removal Expense	_____	_____	_____
Purchased power for	_____	_____	_____
Pumping Treatment	_____	_____	_____
Sewage Treatment and	_____	_____	_____
Testing	_____	_____	_____
Repairs and Maintenance	_____	_____	_____
Office Supplies Expense	_____	_____	_____
Outside Services	_____	_____	_____
Rents	_____	_____	_____
Transportation Expense	_____	_____	_____
General Insurance	_____	_____	_____
Depreciation	_____	_____	_____
Health and Life Insurance	_____	_____	_____
Income Taxes	\$ _____	\$ _____	\$ _____
Property Tax	_____	_____	_____
Taxes Other than Property	_____	_____	_____
& Income	_____	_____	_____
Miscellaneous Operating	\$ _____	\$ _____	\$ _____
Total Operating Expense	_____	_____	_____
OPERATING INCOME OR LOSS	_____	_____	_____
OTHER INCOME/EXPENSES:			
Interest Income	\$ _____	\$ _____	\$ _____
Other Income	\$ _____	\$ _____	\$ _____
Other Expenses	_____	_____	_____
Interest Expenses	_____	_____	_____

CS-3

TOTAL OTHER INCOME/EXPENSE

NET INCOME (LOSS)

CS-4  
PROFORMA UTILITY PLANT IN SERVICE (SEWER)  
FIRST YEAR

PLANT	ORIGINAL COST	ACCUM. DEPRC.	ORIG. COST LESS DEPREC.
Organization	\$ _____	\$ _____	\$ _____
Franchises	_____	_____	_____
Land and Land Rights	_____	_____	_____
Structure and Improvements	_____	_____	_____
Collection Sewers/Collecting Structures/Force Mains	_____	_____	_____
Service to Customers	_____	_____	_____
Flowing Measuring Devices and Installations	_____	_____	_____
Receiveing Wells	_____	_____	_____
Electric Pumping Equip Treatment Disposal Equipment	_____	_____	_____
Plant Outfall Sewer Lines	_____	_____	_____
Other Plant Structures and Improvements	_____	_____	_____
Office Furniture and Fixtures	_____	_____	_____
Transportation Equipment	_____	_____	_____
Tools and Work Equipment	_____	_____	_____
Laboratory Equipment	_____	_____	_____
Power Operated Equipment	_____	_____	_____
Communication Equipment	_____	_____	_____
Miscellaneous Equipment	_____	_____	_____
Other Tangible Plant	_____	_____	_____
TOTAL PLANT IN SERVICE	\$ _____	\$ _____	\$ _____

**ATTACHMENT "B"**

<b>COUNTY</b>	<b>SECTION</b>	<b>TOWNSHIP</b>	<b>RANGE</b>

6	5	4	3	2	1
7	8	9	1 0	1 1	1 2
1 8	1 7	1 6	1 5	1 4	1 3
1 9	2 0	2 1	2 2	2 3	2 4
3 0	2 9	2 8	2 7	2 6	2 5
3 1	3 2	3 3	3 4	3 5	3 6

Type or Print Description Here:

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