



1 ORIGINAL

GREENBERG TRAURIG, LLP RECEIVED

ATTORNEYS AT LAW

SUITE 700

2375 EAST CAMELBACK ROAD

PHOENIX, ARIZONA 85016

(602) 445-8000

2008 MAR 20 P 3: 54

AZ CORP COMMISSION
DOCKET CONTROL

4 Brian J. Schulman (SBN 015286)
Attorney for Morgan Stanley & Co. Incorporated

6 BEFORE THE ARIZONA CORPORATION COMMISSION

7 COMMISSIONERS

Arizona Corporation Commission

DOCKETED

MAR 20 2008

8 MIKE GLEASON, Chairman
9 WILLIAM A. MUNDELL
10 JEFF HATCH-MILLER
11 KRISTIN K. MAYES
12 GARY PIERCE

DOCKETED BY

13 In the matter of
14 MORGAN STANLEY & CO.
15 INCORPORATED,
16 1585 Broadway
17 New York, NY 10036-8293
18 CRD # 8209

DOCKET NO. S-03536A-08-0123

19 DECISION NO. _____

MOTION AND CONSENT OF LOCAL
COUNSEL FOR PRO HAC VICE
ADMISSION OF STEVEN ANTHONY
TAGGART

Respondent.

20 Pursuant to Rule 38 of the Rules of the Arizona Supreme Court, undersigned
21 counsel hereby requests that Steven Anthony Taggart be allowed to appear as counsel pro
22 hac vice in this action on behalf of Morgan Stanley & Co. Incorporated. In support of this
23 motion, the following exhibits are attached hereto:

- 24 1. the original Verified Application;
- 25 2. the original Certificates of Good Standing; and
- 26 3. the State Bar of Arizona Notice of Receipt.

1 Undersigned counsel, Brian J. Schulman, is currently admitted, practices, and is a
2 member in good standing with the Arizona Bar, and agrees to serve as designated local
3 counsel for the subject case.

4 A proposed order is lodged herewith.

5 DATED this 20th day of March, 2008.

6 

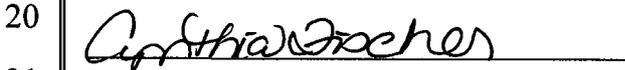
7 _____
8 Brian J. Schulman
9 *Attorney for Morgan Stanley & Co.*
10 *Incorporated*

11 ORIGINAL and 13 copies of the foregoing
12 filed this 20th day of March, 2008, with:

13 Arizona Corporation Commission
14 Docket Control Center
15 1200 West Washington
16 Phoenix, AZ 85007-2996

17 COPY of the foregoing hand-delivered
18 this 20th day of March, 2008 to:

19 Matthew J. Neubert, Director
20 Securities Division
21 Arizona Corporation Commission
22 1300 W. Washington, Third Floor
23 Phoenix, AZ 85007

24 

25
26

EXHIBIT 1

EXHIBIT 1

TO PRINT



For Official Use Only
App# 1002804
Pro Hac ID # 0160582

NONRESIDENT ATTORNEY
PRO HAC VICE APPLICATION

Name: Steven Anthony Taggart

Residence Address: 341 Meetinghouse Ln, Orange, CT, 06477

Office Address: 1633 Broadway, 25th Floor, New York, NY, 10019

Telephone: 212-537-1928 Fax: 212-507-4923

Title of cause or case where applicant seeks to appear: In the matter of Morgan Stanley & Co. Incorporated

Docket Number: S-03536A-08-0123

Court, Board, or Administrative Agency: Arizona Corporation Commission

Party on whose behalf applicant seeks to appear: Morgan Stanley & Co. Incorporated

Courts to Which Applicant Has Been Admitted:	Date of Admission:	Bar Number:
<u>Utah</u>	<u>10/19/1993</u>	<u>6660</u>
<u>New York</u>	<u>12/06/2006</u>	<u>4440707</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

Applicant is a member in good standing in such courts.

Applicant is not currently disbarred or suspended in any court.

Applicant is is not (select one) currently subject to any pending disciplinary proceeding or investigation by any court, agency or organization authorized to discipline attorneys at law.

Jurisdiction(s) Where Discipline Matter Pending:	Nature of Matter Under Investigation:	Name / Address of Disciplinary Authority:
_____	_____	_____
_____	_____	_____
_____	_____	_____

Applicant has has not (select one) been disciplined by any court, agency or organization authorized to discipline attorneys at law.

In the preceding three (3) years, applicant has filed applications to appear as counsel under Rule 38(a), Arizona Rules of Supreme Court in the following:

Title of Matter:	Docket #:	Court or Agency:	Application Granted? (Y/N)
_____	_____	_____	<u>N/A</u>
_____	_____	_____	<u>N/A</u>
_____	_____	_____	<u>N/A</u>
_____	_____	_____	<u>N/A</u>

RECEIVED

MAR 06 2008

Name of local counsel: Brian J. Schulman

State Bar of Arizona Number: 015286

Address: 2375 East Camelback Road, Suite 700, Phoenix, AZ 85016

Telephone: (602) 445-8407 Fax: (602) 445-8100

Name(s) of each party in this cause and name and address of all counsel of record:

Party:	Counsel of Record:	Address:
<u>Morgan Stanley</u>	<u>Steven Anthony Taggart</u>	<u>1633 Broadway, 25th Floor, New York, NY, 10019</u>
<u>Morgan Stanley</u>	<u>Brian J. Schulman</u>	<u>2375 East Camelback Rd, Ste 700, Phoenix, AZ, 85016</u>
_____	_____	_____
_____	_____	_____

Applicant is including with this application a nonrefundable application fee, payable to the State Bar of Arizona, in the amount of \$391.00.

This case or cause is is not (select one) a related or consolidated matter for which applicant has previously applied to appear pro hac vice in Arizona. If this matter is a related or consolidated with any previous application, applicant certifies the following:

Applicant certifies the following:

1. Applicant shall be subject to the jurisdiction of the courts and agencies of the State of Arizona and to the State Bar of Arizona with respect to the law of this state governing the conduct of attorneys to the same extent as an active member of the State Bar of Arizona, as provided in Rule 46(b) Rules of the Supreme Court.
2. Applicant will review and comply with appropriate rules of procedure as required in the underlying cause.
3. Applicant understands and shall comply with the standards of conduct required of members of the State Bar of Arizona.

Verification

STATE OF New York)
County of New York) ss.

I, the Applicant, swear that all statements in the application are true, correct and complete to the best of my knowledge and belief.

Dated: 3/4/08

Applicant's signature [Signature]

SUBSCRIBED AND SWORN TO before me this 4 day of March, 2008 by
Steven Anthony Taggart
Name of Applicant

[Signature]
Notary Public

DANA BALACEK
NOTARY PUBLIC, State of New York
No. 01BA5052647
Qualified in Suffolk County
Commission Expires Nov. 27, 2009

NOTE – As the application requires original signatures of the applicant and notary, this application cannot be filed electronically. Please submit all documents and fees by mail to:

State Bar of Arizona
Pro Hac Vice
4201 N. 24th Street, Suite 200
Phoenix, AZ 85016

For further information, please contact our Membership Records Department at 602-340-7239.

EXHIBIT 2

EXHIBIT 2



Utah State Bar

645 South 200 East, Suite 310 • Salt Lake City, Utah 84111-3834
Telephone: 801-531-9077 • Fax: 801-531-0660

John C. Baldwin
Executive Director

February 28, 2008

To Whom It May Concern:

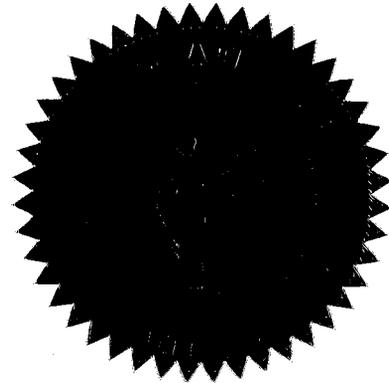
This is to certify that **S. Anthony Taggart**, Utah State Bar No. **06660**, was admitted to practice law in Utah on **October 19, 1993** and is an active member of the Utah State Bar in good standing. "Good standing" is defined as a lawyer who is current in the payment of all Bar licensing fees, has met mandatory continuing legal education requirements, if applicable, and is not disbarred, presently on probation, suspended, or has not resigned with discipline pending, from the practice of law in this state.

No public disciplinary action involving professional misconduct has been taken against the license of **S. Anthony Taggart** to practice law.

Katherine A. Fox
General Counsel
Utah State Bar

Board of Commissioners

V. Lowry Snow
President
Nathan Alder
President-Elect
Steven R. Burt, AIA
Christian W. Clinger
Yvette D. Diaz
Mary Kay Griffin, CPA
Robert L. Jeffs
Curtis M. Jensen
Felshaw King
Lori W. Nelson
Herm Olsen
Stephen W. Owens
Scott R. Sabey
Rodney G. Snow

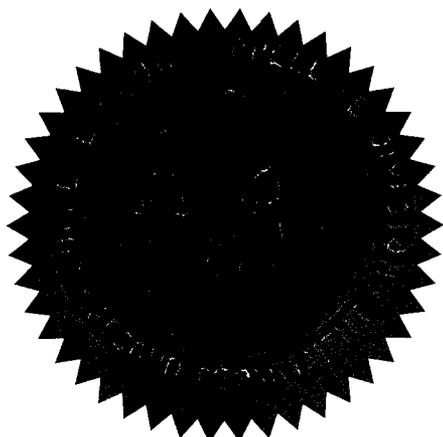




**Appellate Division of the Supreme Court
of the State of New York
Second Judicial Department**

I, **James Edward Pelzer**, Clerk of the Appellate Division of the Supreme Court of the State of New York, Second Judicial Department, do hereby certify that **Steven Anthony Taggart** was duly licensed and admitted to practice as an Attorney and Counselor-at-Law in all the courts of the State, according to the laws of the State and the court rules and orders, on the **6th** day of **December 2006**, has duly taken and subscribed the oath of office prescribed by law, has been enrolled in the Roll of Attorneys and Counselors-at-Law on file in my office, has duly registered with the administrative office of the courts, and according to the records of this court is in good standing as an Attorney and Counselor-at-Law.

In Witness Whereof, I have hereunto set my hand and affixed the seal of said Appellate Division on **March 03, 2008**.



James Edward Pelzer

Clerk of the Court

EXHIBIT 3

EXHIBIT 3

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2
3 **Arizona Corporation Commission**

4 In the matter of Morgan Stanley)
5 & Co. Inc.)
6)
7)

CASE # S03536A-08-0123

SBA App # 1003864

**NOTICE OF RECEIPT OF
COMPLETE APPLICATION**

8
9 NOTICE IS HEREBY given by THE STATE BAR OF ARIZONA that it has
10 received the verified application and fee from Steven Anthony Taggart

11 In addition to this application, applicant has made the following applications to appear
12 pro hac vice, pursuant to Rule38 (a), within the previous three (3) years:

Title of Matter	Court/Agency	Date	Granted?	
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____		

14 Exhibit A, the original verified application and Exhibit B, the original Certificate(s) of
15 Good Standing are attached hereto.

16 DATED this 10th day of March 2008

17
18 
19 Mirna A Lerna
Resource Center
State Bar of Arizona

20 Original Mailed on this 10th day of March 2008 to:

21 Mr. Brian J Schulman
22 Greenberg Traurig LLP
23 2375 E Camelback Rd Ste 700
24 Phoenix, AZ 85016-9000
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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

MIKE GLEASON, Chairman
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
KRISTIN K. MAYES
GARY PIERCE

In the matter of
MORGAN STANLEY & CO.
INCORPORATED,
1585 Broadway
New York, NY 10036-8293
CRD # 8209

Respondent.

DOCKET NO. S-03536A-08-0123

DECISION NO. _____

ORDER RE: PRO HAC VICE ADMISSION
OF STEVEN ANTHONY TAGGART

Based upon the Motion and Consent of Local Counsel for Pro Hac Vice Admission
of Steven Anthony Taggart,

IT IS HEREBY ORDERED that Steven Anthony Taggart be admitted pro hac vice
as counsel for Morgan Stanley & Co. Incorporated in the above entitled matter.

DATED this ___ day of _____, 2008.
