

ORIGINAL



0000081588

RECEIVED

MICHAEL A. DAWES
c/o A. Bates Butler III
One South Church, Suite 1000
Tucson, Arizona 85701

2008 FEB -7 P 4: 43

AZ CORP COMMISSION
DOCKET CONTROL

In pro per

BEFORE THE ARIZONA CORPORATON COMMISSION

In the matter of:

Docket No. S-20570A-07-0709

MICHAEL ANDREW DAWES
(CRD#62832) and LINDA DAWES,

ANSWER TO COMPLAINT

Respondents.

I am Respondent Michael A. Dawes, appearing on my own behalf. I hereby answer the complaint as follows:

Arizona Corporation Commission

DOCKETED

FEB -7 2008

1. Admit.
2. Admit.
3. Admit.
4. Admit.

DOCKETED BY	NR
-------------	----

5. Deny. We (Linda and Michael Dawes) Stipulated to a Consent Decree of Legal Separation in April of 2002, and the Decree of Legal Separation was filed on January 1, 2004 (Maricopa County, Arizona, FN2002-002242). The Petition for Dissolution of Marriage was filed September 1, 2006, and the Consent Decree of Dissolution was filed February 2, 2007 (Pima County, Arizona, D20064218). It was not until 2007 that any of the promissory notes reflecting funds I borrowed were in default. At all times prior to that, none of the notes were in default.

6. Deny. Linda Dawes had no knowledge or information that even suggested there was any illegal conduct by me at any time pertinent to this complaint, whether prior

03

1 to our separation or during and after our separation and divorce. It was not until 2007 that
2 any of the promissory notes reflecting funds I borrowed were in default. At all times prior
3 to that, none of the notes were in default.

4 7. To the extent a response is required, Deny.

5 8. Admit, as to the resignation from Emmett Larkin on February 5, 2007, after
6 meeting with law enforcement; I decline to answer the remaining allegations of this
7 paragraph pursuant to the Fifth Amendment of the United States Constitution and the
8 Arizona Constitution.

9 9. I decline to answer this paragraph pursuant to the Fifth Amendment of the
10 United States Constitution and the Arizona Constitution.

11 10. I decline to answer this paragraph pursuant to the Fifth Amendment of the
12 United States Constitution and the Arizona Constitution.

13 11. Admit.

14 12. Admit.

15 13. Admit.

16 14. To the extent this paragraph mischaracterizes the language of the plea
17 agreement, Deny.

18 15. Admit.

19 16. Sentencing in U. S. District Court is scheduled for March 6, 2008.

20 17. This allegation calls for a legal conclusion and on that basis, Denied.

21 17. a) I decline to answer this paragraph pursuant to the Fifth Amendment
22 of the United States Constitution and the Arizona Constitution.

23 17. b) I decline to answer this paragraph pursuant to the Fifth Amendment
24 of the United States Constitution and the Arizona Constitution.

25 17. c) I decline to answer this paragraph pursuant to the Fifth Amendment
26 of the United States Constitution and the Arizona Constitution.

1 support for our disabled adult son. She has been forced into bankruptcy, her business
2 effectively ruined by the publicity attendant to my surrender and plea, and she has no
3 ability to pay any order of this Commission.

4 DATED this 7th day of February, 2008.

5
6 
7 Michael A. Dawes
8 Respondent
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26