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Docket # RS-00000-A-00-0822 11/13/00 100y
Agency
39RC
R00-438

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AGENCY RECEIPT

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2000 DEC -4

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10:06	

NOTICE OF RULEMAKING DOCKET OPENING 11:24

AZ CORP COMMISSION
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SECRETARY OF STATE

- 1. **Agency name:** Arizona Corporation Commission, Securities Division
- 2. **Title and its heading:** Title 14, Public Service Corporations; Corporations and Associations; Securities Regulation
- Chapter and its heading:** Chapter 4, Corporation Commission – Securities
- Article and its heading:** Article 1, In General Relating to the Arizona Securities Act

Sections

Action

R14-4-114

Amend

R14-4-115

Amend

Agency

11/13/50 125
R00-438

NOTICE OF RULEMAKING DOCKET OPENING **FILED**

ARIZONA CORPORATION COMMISSION, SECURITIES DIVISION
OCT 20 A 11: 24

1. **Title and its heading:** Title 14; Public Service Corporations; Corporations and Associations; Securities Regulation

Chapter and its heading: Chapter 4; Securities

Article and its heading: Article 1. In General Relating to the Arizona Securities Act

Section number: R14-4-114, R14-4-115 (Other Sections may be added, deleted or modified).

2. **Subject matter of proposed rule:** R14-4-114 specifies the recognized manuals for purposes of the manual exemption in A.R.S. § 44-1844(A)(11). R14-4-115 specifies the recognized securities exchanges for purposes of the exchange exemption in A.R.S. § 44-1843(A)(7).

Agency docket number: Docket Number RS-00000A-00-0822

3. **A citation to all published notices relating to the proceeding:** None

4. **Agency contact person:**

Name: Sharleen A. Day
 Address: Arizona Corporation Commission, Securities Division
 1300 W. Washington, Third Floor
 Phoenix, AZ 85007
 Phone: (602) 542-4242
 Fax: (602) 594-7421

5. **Time during which agency will accept written comments:** Monday - Friday, 8:00 a.m. - 5:00 p.m.

Time and place where oral comments may be made: Monday- Friday, 8:00 a.m. -

5:00 p.m. at the Securities Division as noted in question 4. No hearing date has been set.

6. A timetable for agency decisions or other action on the proceeding, if known: Not known.