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BEFORE THE ARIZONA CORPORATION COMMISSION RECEIVED

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AZ CORP COMMISSION DOCUMENT CONTROL

2 WILLIAM A. MUNDELL
CHAIRMAN
3 JIM IRVIN
COMMISSIONER
4 MARC SPITZER
COMMISSIONER
5

6 IN THE MATTER OF:

7 RICHARD DEAN CARRINGTON
a/k/a Richard Dean Frank
d/b/a Carrington Estate Planning Services
8 d/b/a Carrington Investment Services
7600 East Doubletree Ranch Road, Ste. 130
9 Scottsdale, AZ 85258

10 ROBERT WITT
a/k/a Harry Robert Witt
11 7600 East Doubletree Ranch Road, Ste. 130
12 Scottsdale, AZ 85258

13 Respondents.

DOCKET NO. S-03215A-01-0000

Arizona Corporation Commission

DOCKETED

NOV 19 2001

DOCKETED BY [Signature]

PROCEDURAL ORDER

14 BY THE COMMISSION:

15 On October 9, 2001, the Securities Division ("Division") of the Arizona Corporation
16 Commission ("Commission") filed a Temporary Order to Cease and Desist ("T.O.") and Notice of
17 Opportunity for Hearing ("Notice") against Mr. Richard Dean Carrington and Mr. Robert Witt,
18 (collectively "Respondents") in which the Division alleged multiple violations of the Arizona
19 Securities Act ("Act") in connection with the offer and sale of securities.

20 All parties were duly served with the T.O. and the Notice.

21 On October 12, 2001, Respondents Carrington and Witt, through counsel, filed a request for
22 hearing and motion for pre-hearing conference.

23 On October 24, 2001, by Procedural order, a pre-hearing was scheduled.

24 On November 1, 2001, the pre-hearing conference was held as scheduled with the Division
25 and the Respondents present with counsel. The parties discussed the presentation of evidence and the
26 scheduling required for the proceeding. Respondents waived their rights pursuant to A.A.C. R14-4-
27 307 and consented to the T.O. remaining in effect until the Commission issues a final Decision in the
28 proceeding. The parties also requested that witness and exhibit lists be exchanged prior to the start of

1 the hearing.

2 On November 13, 2001, the parties filed a signed Stipulation which reflected the
3 Respondents' consent to the extension of the T.O.

4 Accordingly, a hearing should be scheduled on the allegations raised in the Notice.

5 IT IS THEREFORE ORDERED that the hearing shall commence on February 19, 2002, at
6 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

7 IT IS FURTHER ORDERED that the parties shall also set aside February 20, 21, 25, 26, 27
8 and 28, 2002 and March 4, 5, 6, 7, 18, 19, 20 and 21, 2002 for additional days of hearing, if
9 necessary.

10 IT IS FURTHER ORDERED that the parties shall exchange pre-marked witness and exhibit
11 lists by 4:00 p.m. on February 4, 2002 with a courtesy copy to be provided to the presiding
12 Administrative Law Judge.

13 IT IS FURTHER ORDERED that the parties shall confer and stipulate to unobjectionable
14 exhibits prior to the start of the hearing.

15 DATED this 19th day of November, 2001.



16
17
18 MARC E. STERN
19 ADMINISTRATIVE LAW JUDGE

20
21 Copies of the foregoing mailed/delivered
this 19th day of November, 2001 to:

22 Michael Salcido
23 201 N. Central, Ste. 3300
24 Phoenix, AZ 85073-3300
Attorney for Respondents

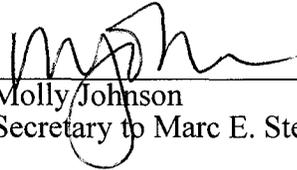
25 Moira McCarthy
26 Assistant Attorney General
1275 W. Washington Street
Phoenix, AZ 85007

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28 ...
...

1 W. Mark Sendrow, Director
2 Sharon Fox
3 Securities Division
4 ARIZONA CORPORATION COMMISSION
5 1300 W. Washington Street
6 Phoenix, AZ 85007

7 Arizona Reporting Service, Inc.
8 2627 North Third Street
9 Phoenix, AZ 85004-1103

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By: 
Molly Johnson
Secretary to Marc E. Stern