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ARIZONA CORPORATION COMMISSION
SECURITIES DIVISION

DOCKET NO. S-03460A-01-0000

**SECURITIES DIVISION'S LIST OF
WITNESSES AND DOCUMENTARY
EVIDENCE**

Arizona Corporation Commission

DOCKETED

JAN 18 2002

DOCKETED BY

- 1 WILLIAM A. MUNDELL
Chairman
 - 2 JIM IRVIN
Commissioner
 - 3 MARC SPITZER
Commissioner
 - 4 In the matter of)
 - 5)
 - 6)
 - 7)
 - 8)
 - 9)
 - 10)
 - 11)
 - 12)
 - 13)
 - 14)
 - 15)
 - 16)
- MICHAEL LYNN BILL
d/b/a Arizona Viaticals Settlements and
Annuities
5810 West Mescal
Glendale, AZ 85034
- BENEFICIAL ASSISTANCE
a/k/a Beneficial Assurance, Ltd.
t/a Intek Funding Corporation
8003 Corporate Drive, Ste. C
Baltimore, MD 21236
- WILLIAM R. EVANS, CHARTERED
8003 Corporate Drive, Ste. C
Baltimore, MD 21236
- RUTTENBERG & ASSOCIATES
INSURANCE MARKETING, INC.
d/b/a Viatical Center
1603 Visa Drive, Ste. 3
Normal, IL 61761,
Respondents.

The Securities Division of the Arizona Corporation Commission ("Division") submits the following witness and exhibit list for the hearing now scheduled for January 28, 2002 before Administrative Law Judge Dion.

The Division expects to call the following witnesses:

- 1. Benjamin Ruoti
- 2. Doris Beattie
- 3. Betty Tetzlaff
- 4. Lois Gulner
- 5. Alan Walker

- 1 6. Gary Mengel
- 2 7. Respondents
- 3 8. Any witnesses listed by respondents
- 4 9. Carol Maul
- 5 10. Ron Rafferty
- 6 11. Paul Wenz
- 7 12. Alan Ariav
- 8 13. Donald J. Burris

9 The Division expects to submit the following documentary evidence:

- 10 1. Offering brochures of Beneficial Assistance
- 11 2. Offering brochures of Ruttenburg
- 12 3. Correspondence from Ruttenburg to investors
- 13 4. Beneficial Assistance New Business Transmittal Form for each policy
- 14 5. Purchase Authorization Agreement for each policy
- 15 6. Retirement Accounts, Inc. Authorization
- 16 7. IRA Transfer/Rollover Form, Retirement Accounts, Inc.
- 17 8. Retirement Accounts, Inc. Application
- 18 9. Faxes from Beneficial Assistance to Michael Bill
- 19 10. Retirement Accounts, Inc. Cash Activity Courtesy Report
- 20 11. Beneficial Assistance Purchase forms for each investor
- 21 12. Viatical Center brochure
- 22 13. Viatical Center correspondence to investors
- 23 14. Loyalty Life Insurance Company Endorsement
- 24 15. Loyalty Life Insurance Release of Beneficiary Rights

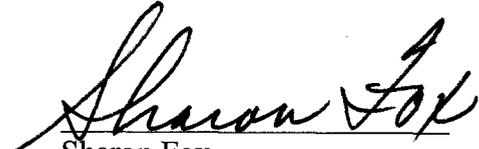
- 1 16. Beneficial Assistance Medical Review Assessments on viators
- 2 17. Michael Bill business card
- 3 18. Telephone notes, Ruotti
- 4 19. Correspondence, 5/15/01 from Betty Ruotti
- 5 20. Fax record, Ruotti
- 6 21. Checks to Retirement Accounts, Inc. – Ruotti
- 7 22. RAI change of ownership request, 5/8/01 – Ruotti
- 8 23. Retirement Accounts, Inc. account statements – Ruotti
- 9 24. Beneficial Assistance correspondence to investors
- 10 25. Arizona Viatical Center correspondence to investors
- 11 26. Jefferson Pilot Life Ins. Co. Release of Beneficiary Rights
- 12 27. Jefferson Pilot Change of Beneficiary form
- 13 28. Jefferson Pilot Life Insurer financial profile
- 14 29. Metropolitan Life Insurance policy summary
- 15 30. Metropolitan Life Insurance Absolute Assignment
- 16 31. Metropolitan Life Insurance release of beneficiary rights
- 17 32. Metropolitan Life Insurance Change of Beneficiary form
- 18 33. Physician's letter of competency
- 19 34. Metropolitan Life Insurance financial profile
- 20 35. Hartford Life Insurance Co. Request for service form
- 21 36. Lundgren Trust letter dated 12/28/98
- 22 37. Hartford Life Insurance Co. financial profile
- 23 38. Metropolitan Life Insurance Affidavit of Insured's Name, Absolute Assignment,
24 Change of beneficiary
25
26

- 1 39. Consents to Transfer of Policy between Beneficial Assistance and viator
- 2 40. Beneficial Assistance Risk Factors sheet faxed 9/19/01
- 3 41. Tax forms 1099 for Michael Bill
- 4 42. Beneficial Assistance correspondence to Michael Bill
- 5 43. Viatical Center faxes to Michael Bill
- 6 44. Lois Gulner check to William R. Evans dated 6/22/98
- 7 45. Producer Agreement from Beneficial Assistance with commission chart
- 8 46. Faxes from Michael Bill to Beneficial Assistance
- 9 47. Betty Tetzlaff check to William R. Evans dated 12/7/98
- 10 48. Examination Under Oath of Michael Bill dated 6/21/01
- 11 49. Examination Under Oath of Michael Bill dated 7/5/01
- 12 50. Bank of America account statements, deposits, transfers, and checks for accounts
- 13 under signatory of Michael Bill
- 14 51. Bank One account statements, deposits, transfers, and checks for accounts under
- 15 signatory of Doris A. Beattie and/or Doris A. Beattie Trust
- 16 52. Web pages – Beneficial Assistance
- 17 53. National Viatical Association Viatical News
- 18 54. Notes of Carol Maul
- 19 55. Checks signed by Doris Beattie to Arizona Viaticals, 12/98, 7/98 and 4/99
- 20 56. Summary charts of accountant Gary Mengel
- 21 57. Directive to Family Members with Doris Beattie signature, 5/19/98
- 22 58. Check to Episcopal Church Building fund, 12/12/98 signed by Michael Bill and
- 23 Doris Beattie
- 24 59. Note from Michael Bill to Doris Beattie 12/98
- 25
- 26

- 1 60. Note to Doris Beattie from Michael Bill, 8/24/99
- 2 61. Note by Doris Beattie, 1/96 "In Case of Death"
- 3 62. Check from Michael Bill to Doris Beattie, 8/23/99
- 4 63. Check from Michael Bill to Doris Beattie, 10/1/99
- 5 64. Letter from Alan Ariav to Michael Bill dated 2/15/01
- 6 65. Note to "Mr. Burris" from Michael Bill , 10/19/99
- 7 66. Various documents of Doris Beattie related to Hartford Life Insurance viatical
- 8 67. Various docuemtns of Doris Beattie related to Metropolitan Life Insurance
- 9 Company viatical
- 10 68. Letter from Alan Ariav to Carol Maul dated 8/2/01
- 11 69. Any documents listed by respondents
- 12 70. Certificates of non-registration of securities
- 13 71. Certificates of non-authorization to do business in Arizona

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16 RESPECTFULLY submitted this 18th day of Jan, 2002.

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18 JANET NAPOLITANO
19 Attorney General

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22 Sharon Fox
23 Special Assistant Attorney General
24 Moirra McCarthy
25 Assistant Attorney General

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Copies faxed/mailed this 18th day of January, 2002 to:

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