

ORIGINAL



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MEMORANDUM

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2006 MAY -4 P. 12: 30

Abby Henig, Assistant General Counsel  
Corporation Commission—Securities Division

04P

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AZ CORP COMMISSION  
DOCUMENT CONTROL

TO: Docket Control  
FROM: Abby Henig  
DATE: May 2, 2006  
RE: Docket # RS-00000A-06-0210

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Please file the attached published notice of docket opening #RS-00000A-06-0210. No distribution is necessary.

Thank you.

Notices of Rulemaking Docket Opening

NOTICE OF RULEMAKING DOCKET OPENING

CORPORATION COMMISSION  
SECURITIES

[R06-127]

1. **Title and its heading:** 14, Public Service Corporations; Corporations and Associations;  
Securities Regulation  
**Chapter and its heading:** 4, Corporation Commission – Securities  
**Article and its heading:** 1, In General Relating to the Arizona Securities Act  
**Section numbers:** R14-4-135 (Other Sections may be added, deleted, or modified as  
necessary.)
2. **The subject matter of the proposed rule:**  
Section R14-4-135 provides an exemption from registration for offers declared effective with the Securities and  
Exchange Commission pursuant to its multi-jurisdictional disclosure rule.  
**The agency docket number, if applicable:** Docket Number RS-00000A-06-0210.
3. **A citation to all published notices relating to the proceeding:**  
None
4. **The name and address of agency personnel with whom persons may communicate regarding the rule:**  
Name: Abby Henig  
Address: Arizona Corporation Commission, Securities Division  
1300 W. Washington, Third Floor  
Phoenix, AZ 85007  
Telephone: (602) 542-0187  
Fax: (602) 594-7402  
E-mail: ahenig@azcc.gov
5. **The time during which agency will accept written comments and the time and place where oral comments may be made:**  
Monday through Friday, 8:00 a.m. to 5:00 p.m. at the Securities Division as noted in item #4. No hearing date has  
been set.
6. **A timetable for agency decisions or other action on the proceeding, if known:**  
Not known

AGENCY RECEIPT

SECRETARY OF STATE

NOTICE OF RULEMAKING DOCKET OPENING 2006 APR -5 PM 3:36

FILED

1. Agency name: Arizona Corporation Commission, Securities Division
2. The Subchapters, if applicable; the Articles; the Parts, if applicable; and the Sections involved in the rulemaking, listed in alphabetical and numerical order:

Subchapters, Articles, Parts, and Sections

Action

R14-4-135

Amend

NOTICE OF RULEMAKING DOCKET OPENING

ARIZONA CORPORATION COMMISSION—SECURITIES DIVISION

1. Title and its heading: Title 14; Public Service Corporations; Corporations and Associations; Securities Regulation  
Chapter and its heading: Chapter 4; Securities  
Subchapter and its heading (if applicable): Not applicable  
Article and its heading: Article 1. In General Relating to the Arizona Securities Act  
Part and its heading (if applicable): Not applicable  
Section number: R14-4-135 (Other Sections may be added, deleted or modified as necessary)
2. The subject matter of the proposed rule: Section R14-4-135 provides an exemption from registration for offers declared effective with the Securities and Exchange Commission pursuant to its multi-jurisdictional disclosure rule.  
The agency docket number, if applicable: Docket Number RS-00000A-06-0210.
3. A citation to all published notices relating to the proceeding: None
4. The name and address of agency personnel with whom persons may communicate regarding the proposed rule:

Name: Abby Henig

Address: Arizona Corporation Commission, Securities Division  
1300 W. Washington, Third Floor  
Phoenix, AZ 85007

Phone: (602) 542-0187

Fax: (602) 594-7402

E-mail: ahenig@azcc.gov

5. The time during which agency will accept written comments and the time and place where oral comments may be made: Monday - Friday, 8:00 a.m. - 5:00 p.m. at the Securities Division as noted in question 4. No hearing date has been set.
6. A timetable for agency decisions or other action on the proceeding, if known: Not known.