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**BEFORE THE ARIZONA CORPORATION COMMISSION RECEIVED**

WILLIAM A. MUNDELL  
CHAIRMAN  
JIM IRVIN  
COMMISSIONER  
MARC SPITZER  
COMMISSIONER

2002 MAY 21 P 2:07

AZ CORP COMMISSION  
DOCUMENT CONTROL

IN THE MATTER OF:  
  
PARIZEK CAPITAL MANAGEMENT, LLC  
2618 E. Waverly Street  
Tucson, AZ 85716

DOCKET NO. S-03478A-02-0000

And

DAVID ALLEN PARIZEK, Jr.  
2618 E. Waverly Street  
Tucson, AZ 85716

Respondents.

**PROCEDURAL ORDER**

**BY THE COMMISSION:**

On May 6, 2002, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, For Administrative Penalties, and For Other Affirmative Action ("Notice") against Parizek Capital Management, LLC ("PCM"), and David Allen Parizek (collectively "Respondents") in which the Division alleged that the Respondents had committed multiple violations of the Arizona Securities Act ("Act") and the Investment Management Act ("IMA").

The Respondents were duly served with copies of the Notice.

On May 16, 2002, Respondents filed a request for a hearing.

Accordingly, a pre-hearing conference should be scheduled to address the issues raised in the Notice and the presentation of evidence.

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Arizona Corporation Commission

**DOCKETED**

**MAY 21 2002**

DOCKETED BY 

1 IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on June 20, 2002,  
2 at 11:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

3 DATED this 21<sup>st</sup> day of May, 2002.

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7 MARC E. STERN  
ADMINISTRATIVE LAW JUDGE

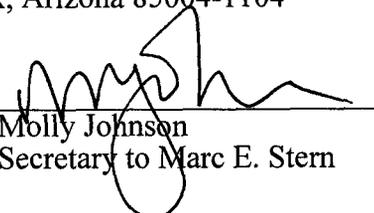
8 Copies of the foregoing mailed/delivered  
9 this 21<sup>st</sup> day of May, 2002 to:

10 David Parizek  
11 Parizek Capital Management, LLC  
12 2618 E. Waverly Street  
Tucson, AZ 85716

13 Moira McCarthy  
14 Assistant Attorney General  
ARIZONA ATTORNEY GENERAL'S OFFICE  
15 1275 West Washington Street  
Phoenix, Arizona 85007

16 W. Mark Sendrow, Director  
17 Securities Division  
ARIZONA CORPORATION COMMISSION  
18 1300 West Washington Street  
Phoenix, Arizona 85007

19 ARIZONA REPORTING SERVICE, INC.  
20 2627 N. Third Street, Suite Three  
Phoenix, Arizona 85004-1104

21 By:   
22 Molly Johnson  
23 Secretary to Marc E. Stern

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25  
26  
27  
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