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BEFORE THE ARIZONA CORPORATION COMMISSION

WILLIAM A. MUNDELL
CHAIRMAN
JIM IRVIN
COMMISSIONER
MARC SPITZER
COMMISSIONER

2002 JUN 20 A 3 21

ARIZONA CORPORATION COMMISSION
SECURITIES DIVISION

IN THE MATTER OF:

PARIZEK CAPITAL MANAGEMENT, LLC
2618 E. Waverly Street
Tucson, AZ 85716

DOCKET NO. S-03478A-02-0000

Arizona Corporation Commission
DOCKETED

JUN 20 2002

And

DAVID ALLEN PARIZEK, Jr.
2618 E. Waverly Street
Tucson, AZ 85716

DOCKETED BY *CAR*

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On May 6, 2002, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, For Administrative Penalties, and For Other Affirmative Action ("Notice") against Parizek Capital Management, LLC ("PCM"), and David Allen Parizek (collectively "Respondents") in which the Division alleged that the Respondents had committed multiple violations of the Arizona Securities Act ("Act") and the Investment Management Act ("IMA").

The Respondents were duly served with copies of the Notice.

On May 16, 2002, Respondents filed a request for a hearing.

On May 21, 2002, by Procedural Order, a pre-hearing conference was scheduled for June 20, 2002.

On June 20, 2002, the Division and Mr. Parizek appeared at the pre-hearing conference and agreed to a 90-day continuance prior to a hearing being scheduled. It was further agreed that a hearing be scheduled on September 24 and 25, 2002 if a Consent Order is not approved previously by the Commission.

Accordingly, a hearing should be scheduled to address the issues raised in the Notice.

1 IT IS THEREFORE ORDERED that a hearing shall be held on September 24 and 25, 2002, at
2 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

3 IT IS FURTHER ORDERED that any party to the proceeding who intends to call an expert
4 witness to testify at the hearing provide the name, address and telephone number of said expert to
5 opposing counsel not later than 45 days before the hearing.

6 IT IS FURTHER ORDERED that the parties exchange witness and exhibit lists not later than
7 14 days before the hearing.

8 DATED this 20th day of June, 2002.

9
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11 
12 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

13 Copies of the foregoing mailed/delivered
14 this 20th day of June, 2002 to:

15 David Parizek
16 Parizek Capital Management, LLC
2618 E. Waverly Street
17 Tucson, AZ 85716

18 Moira McCarthy
Assistant Attorney General
19 ARIZONA ATTORNEY GENERAL'S OFFICE
1275 West Washington Street
20 Phoenix, Arizona 85007

21 W. Mark Sendrow, Director
Securities Division
22 ARIZONA CORPORATION COMMISSION
1300 West Washington Street
23 Phoenix, Arizona 85007

24 ARIZONA REPORTING SERVICE, INC.
2627 N. Third Street, Suite Three
25 Phoenix, Arizona 85004-1104

26 By: 
27 Molly Johnson
Secretary to Marc E. Stern

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