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ARIZONA CORPORATION COMMISSION
Arizona Corporation Commission

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COMMISSIONER
WILLIAM A. MUNDELL
COMMISSIONER

JAN 21 2 24 PM '00

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JAN 21 2000

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DOCKET NO. S-03374A-99-0000

IN THE MATTER OF
WILLIAM E. BERGH, individually and d/b/a
NATIONAL FINANCIAL GROUP
13311 North 65th Drive
Glendale, Arizona 85304
And
11024 N. 28th Drive, Suite 200
Phoenix, Arizona 85029
CRD 1409200,
THOMAS OTTO TABAT
24435 N. 38th Lane
Glendale, Arizona 85310
CRD 1011671,

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On December 27, 1999, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order for Relief ("Notice") in which it was alleged that Mr. William E. Bergh, individually and dba National Financial Group ("NFG") and Mr. Thomas Otto Tabat committed multiple violations of the Arizona Securities Act.

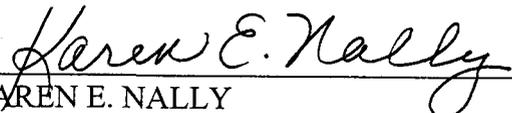
On January 7, 2000, Mr. William E. Bergh, individually and dba NFG, and Mr. Thomas Otto Tabat filed a Request for Hearing.

Our January 14, 2000 Procedural Order set a Pre-Hearing Conference for January 24, 2000 at 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona. Respondent has a conflict and has requested that the Pre-Hearing Conference be rescheduled. The Division does not object.

...
...

1 IT IS THEREFORE ORDERED that the Pre-Hearing Conference be re-set to commence on
2 January 27, 2000 at 10:00 a.m. at the Commission's offices, Phoenix, Arizona.

3 DATED this 21st day of January, 2000.

4
5
6 
7 KAREN E. NALLY
8 ASSISTANT CHIEF HEARING OFFICER

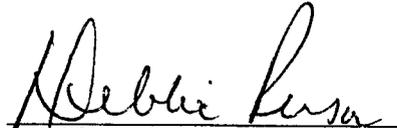
9 Copies of the foregoing mailed/delivered
10 this 21st day of January, 2000 to:

11 John R. Augustine, Jr.
12 JOHN R. AUGUSTINE, JR., P.C.
13 The Citadel, Suite 300
14 2727 North Third Street
15 Phoenix, Arizona 85004
16 Attorney for Respondents

17 Robert A. Zumoff
18 Assistant Attorney General
19 ARIZONA ATTORNEY GENERAL'S OFFICE
20 1275 West Washington Street
21 Phoenix, Arizona 85007

22 W. Mark Sendrow, Director
23 Securities Division
24 ARIZONA CORPORATION COMMISSION
25 1300 West Washington Street
26 Phoenix, Arizona 85007

27 ARIZONA REPORTING SERVICE, INC.
28 2627 N. Third Street, Suite Three
Phoenix, Arizona 85004-1104

29 By: 
30 Debbi Person
31 Secretary to Karen E. Nally