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BEFORE THE ARIZONA CORPORATION COMMISSION

AZ CORP COMMISSION

Arizona Corporation Commission

DOCKETED

CARL J. KUNASEK
CHAIRMAN

JIM IRVIN
COMMISSIONER

WILLIAM A. MUNDELL
COMMISSIONER

JAN 14 8 42 AM '00

JAN 14 2000

DOCUMENT CONTROL



DOCKET NO. S-03374A-99-0000

IN THE MATTER OF

WILLIAM E. BERGH, individually and d/b/a
NATIONAL FINANCIAL GROUP
13311 North 65th Drive
Glendale, Arizona 85304

And
11024 N. 28th Drive, Suite 200
Phoenix, Arizona 85029
CRD 1409200,

THOMAS OTTO TABAT
24435 N. 38th Lane
Glendale, Arizona 85310
CRD 1011671,

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On December 27, 1999, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order for Relief ("Notice") in which it was alleged that Mr. William E. Bergh, individually and dba National Financial Group ("NFG") and Mr. Thomas Otto Tabat committed multiple violations of the Arizona Securities Act.

On January 7, 2000, Mr. William E. Bergh, individually and dba NFG, and Mr. Thomas Otto Tabat filed a Request for Hearing.

Accordingly, a Pre-Hearing Conference should be scheduled.

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1 IT IS THEREFORE ORDERED that a Pre-Hearing Conference shall take place on January
2 24, 2000, at 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix,
3 Arizona.

4 DATED this 14th day of January, 2000.

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7 
8 KAREN E. NALLY
9 ASSISTANT CHIEF HEARING OFFICER

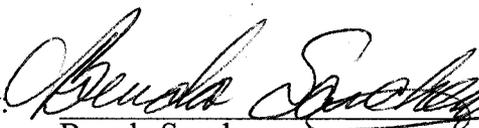
10 Copies of the foregoing mailed/delivered
11 this 14th day of January, 2000 to:

12 John R. Augustine, Jr.
13 JOHN R. AUGUSTINE, JR., P.C.
14 The Citadel, Suite 300
15 2727 North Third Street
16 Phoenix, Arizona 85004
17 Attorney for Respondents

18 Robert A. Zumoff
19 Assistant Attorney General
20 ARIZONA ATTORNEY GENERAL'S OFFICE
21 1275 West Washington Street
22 Phoenix, Arizona 85007

23 W. Mark Sendrow, Director
24 Securities Division
25 ARIZONA CORPORATION COMMISSION
26 1300 West Washington Street
27 Phoenix, Arizona 85007

28 ARIZONA REPORTING SERVICE, INC.
2627 N. Third Street, Suite Three
Phoenix, Arizona 85004-1104

29 By: 
30 Brenda Sanchez
31 Secretary to Karen E. Nally