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ORIGINAL

BEFORE THE ARIZONA CORPORATION COMMISSION

AZ CORP COMMISSION

CARL J. KUNASEK  
CHAIRMAN  
JIM IRVIN  
COMMISSIONER  
WILLIAM A. MUNDELL  
COMMISSIONER

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DOCKET NO. S-03374-99-0000

IN THE MATTER OF

WILLIAM E. BERGH, individually and d/b/a  
NATIONAL FINANCIAL GROUP  
13311 North 65<sup>th</sup> Drive  
Glendale, Arizona 85304  
And  
11024 N. 28<sup>th</sup> Drive, Suite 200  
Phoenix, Arizona 85029  
CRD 1409200,

THOMAS OTTO TABAT  
24435 N. 38<sup>th</sup> Lane  
Glendale, Arizona 85310  
CRD 1011671,

Respondents.

**PROCEDURAL ORDER**

**BY THE COMMISSION:**

On December 27, 1999, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order for Relief ("Notice") in which it was alleged that Mr. William E. Bergh, individually and dba National Financial Group ("NFG") and Mr. Thomas Otto Tabat committed multiple violations of the Arizona Securities Act.

On January 7, 2000, Mr. William E. Bergh, individually and dba NFG, and Mr. Thomas Otto Tabat filed a Request for Hearing.

Our January 14, 2000 Procedural Order set a Pre-Hearing Conference for January 24, 2000 at 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona which was rescheduled to January 27, 2000 at 10:00 a.m. as Respondents had a conflict.

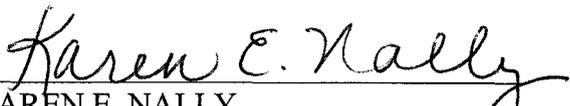
Due to scheduling concerns, the Division and Respondents requested time to consult their schedules and have now agreed upon a hearing date of March 7, 2000 at 10:00 a.m.

IT IS THEREFORE ORDERED that the hearing on the above-captioned matter shall be held

1 on March 7, 2000 at 10:00 a.m. or as soon thereafter as practical, at the Commission's offices, 1200  
2 West Washington, Phoenix, Arizona.

3 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized  
4 Communications) applies to this proceeding as the matter is now set for public hearing.

5 DATED this 7<sup>th</sup> day of February, 2000.

6  
7  
8   
9 KAREN E. NALLY  
ASSISTANT CHIEF HEARING OFFICER

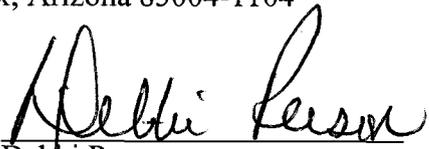
10  
11 Copies of the foregoing mailed/delivered  
12 this 7<sup>th</sup> day of February, 2000 to:

13 John R. Augustine, Jr.  
14 JOHN R. AUGUSTINE, JR., P.C.  
15 The Citadel, Suite 300  
16 2727 North Third Street  
17 Phoenix, Arizona 85004  
18 Attorney for Respondents

19 Robert A. Zumoff  
20 Assistant Attorney General  
21 ARIZONA ATTORNEY GENERAL'S OFFICE  
22 1275 West Washington Street  
23 Phoenix, Arizona 85007

24 W. Mark Sendrow, Director  
25 Securities Division  
26 ARIZONA CORPORATION COMMISSION  
27 1300 West Washington Street  
28 Phoenix, Arizona 85007

ARIZONA REPORTING SERVICE, INC.  
2627 N. Third Street, Suite Three  
Phoenix, Arizona 85004-1104

24  
25 By:   
26 Debbi Person  
27 Secretary to Karen E. Nally  
28