



0000044094

BEFORE THE ARIZONA CORPORATION COMMISSION

APR 10 4 45 PM '98

DOCUMENT CONTROL

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26

JIM IRVIN  
Commissioner-Chairman  
RENZ D. JENNINGS  
Commissioner  
CARL J. KUNASEK  
Commissioner

In the matter of:

FOREX INVESTMENT SERVICES CORPORATION  
2700 N. Central Ave., Suite 1110  
Phoenix, AZ 85004

EASTERN VANGUARD FOREX LTD.  
2700 N. Central Ave., Suite 1110  
Phoenix, AZ 85004

c/o HWR Services Limited, Registered Agent  
P. O. Box 71, Craigmuir Chambers  
Road Town, Tortola  
British Virgin Islands

EASTERN VANGUARD GROUP LIMITED  
c/o AMS Trustees Limited, Registered Agent  
Creque Building, Main Street, P. O. Box 116  
Road Town, Tortola  
British Virgin Islands

K. (DAVID) SHARMA  
Eastern Vanguard Forex Ltd.  
P. O. Box 71, Craigmuir Chambers  
Road Town, Tortola  
British Virgin Islands

SAMMY LEE CHUN WING  
Eastern Vanguard Group Limited  
Creque Building, Main Street, P. O. Box 116  
Road Town, Tortola  
British Virgin Islands

PETER SUEN SUK TAK  
Eastern Vanguard Group Limited  
Creque Building, Main Street, P. O. Box 116  
Road Town, Tortola  
British Virgin Islands

...

DOCKET NO. S-03177A-98-0000

NOTICE OF DEPOSITION AND  
REQUEST FOR DOCUMENTS

~~Arizona Corporation Commission~~  
**DOCKETED**

APR 10 1998

DOCKETED BY *tmw*

- 1 JAMES CHARLES SIMMONS, JR. )
- 2 5045 N. 58<sup>th</sup> Ave. #23A )
- 3 Glendale, AZ 85301 )
- 4 MICHAEL E. CHO )
- 5 839 Faxon Avenue )
- 6 San Francisco, CA 94112 )
- 7 TO FAI CHENG )
- 8 1800 Van Ness, 2<sup>nd</sup> Fl. )
- 9 San Francisco, CA 94109 )
- 10 JEAN YUEN )
- 11 439 3<sup>rd</sup> Avenue )
- 12 San Francisco, CA 94118 )
- 13 Y & T INC. dba TOKYO )
- 14 INTERNATIONAL INVESTMENT LTD. )
- 15 1800 Van Ness Ave., 2<sup>nd</sup> Fl. )
- 16 San Francisco, CA 94109 )
- 17 WING MING TAM )
- 18 c/o Tokyo International Investment Ltd. )
- 19 1800 Van Ness Ave., 2<sup>nd</sup> Fl. )
- 20 San Francisco, CA 94109 )
- 21 GUO QUAN ZHANG )
- 22 c/o Tokyo International Investment Ltd. )
- 23 1800 Van Ness Ave., 2<sup>nd</sup> Fl. )
- 24 San Francisco, CA 94109 )

**Respondents.**

18 NOTICE IS HEREBY GIVEN that, pursuant to A.A.C. R14-3-109(C), the deposition upon  
 19 oral examination of the following person will be taken on the date and at the time indicated,  
 20 together with their production of the documents listed in attached Exhibit A.

21 PERSON TO BE EXAMINED: Jean Yuen

22 DATE AND TIME OF DEPOSITION: Monday, April 27, 1998 at 2:00 p. m.

23 PLACE OF DEPOSITION: Securities Division, Arizona Corporation Commission  
 24 1300 West Washington, 3<sup>rd</sup> Fl.  
 25 Phoenix, AZ 85007  
 26

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26

DATED this 10<sup>th</sup> day of April, 1998.

GRANT WOODS  
Attorney General  
Consumer Protection & Antitrust Section



By: MARK C. KNOPS  
Special Assistant Attorney General  
ROBERT A. ZUMOFF  
Assistant Attorney General  
Attorneys for the Securities  
Division of the Arizona  
Corporation Commission



1 ORIGINAL AND TEN (10) COPIES of the foregoing  
filed this ~~10<sup>th</sup>~~ day of April, 1998, with:

2  
3 Docket Control  
4 Arizona Corporation Commission  
1200 West Washington Street  
5 Phoenix, Arizona 85007

6 Copy of the foregoing  
mailed and/or faxed this  
7 ~~10<sup>th</sup>~~ day of April, 1998  
to:

8 Chris R. Youtz, Esq.  
9 Sirianni & Youtz  
Columbia Center  
701 Fifth Ave., Ste. 3410  
Seattle, WA 98104  
10 ATTORNEY FOR RESPONDENTS

11  
12 By: Michael R. Youtz  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26