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BEFORE THE ARIZONA CORPORATION COMMISSION

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AZ CORP COMMISSION

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JIM IRVIN
Commissioner-Chairman
RENZ D. JENNINGS
Commissioner
CARL J. KUNASEK
Commissioner

DOCUMENT CONTROL

In the matter of:

FOREX INVESTMENT SERVICES CORPORATION
2700 N. Central Ave., Suite 1110
Phoenix, AZ 85004

DOCKET NO. S-03177A-98-0000

**NOTICE OF DEPOSITION AND
REQUEST FOR DOCUMENTS**

EASTERN VANGUARD FOREX LTD.
2700 N. Central Ave., Suite 1110
Phoenix, AZ 85004

c/o HWR Services Limited, Registered Agent
P. O. Box 71, Craigmuir Chambers
Road Town, Tortola
British Virgin Islands

Arizona Corporation Commission
DOCKETED

APR 10 1998

EASTERN VANGUARD GROUP LIMITED
c/o AMS Trustees Limited, Registered Agent
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

DOCKETED BY *tnw*

K. (DAVID) SHARMA
Eastern Vanguard Forex Ltd.
P. O. Box 71, Craigmuir Chambers
Road Town, Tortola
British Virgin Islands

SAMMY LEE CHUN WING
Eastern Vanguard Group Limited
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

PETER SUEN SUK TAK
Eastern Vanguard Group Limited
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

...

- 1 JAMES CHARLES SIMMONS, JR.)
- 5045 N. 58th Ave. #23A)
- 2 Glendale, AZ 85301)
- 3 MICHAEL E. CHO)
- 839 Faxon Avenue)
- 4 San Francisco, CA 94112)
- 5 TO FAI CHENG)
- 1800 Van Ness, 2nd Fl.)
- 6 San Francisco, CA 94109)
- 7 JEAN YUEN)
- 439 3rd Avenue)
- 8 San Francisco, CA 94118)
- 9 Y & T INC. dba TOKYO)
- INTERNATIONAL INVESTMENT LTD.)
- 10 1800 Van Ness Ave., 2nd Fl.)
- San Francisco, CA 94109)
- 11 WING MING TAM)
- 12 c/o Tokyo International Investment Ltd.)
- 1800 Van Ness Ave., 2nd Fl.)
- 13 San Francisco, CA 94109)
- 14 GUO QUAN ZHANG)
- c/o Tokyo International Investment Ltd.)
- 15 1800 Van Ness Ave., 2nd Fl.)
- San Francisco, CA 94109)
- 16
- 17 **Respondents.**

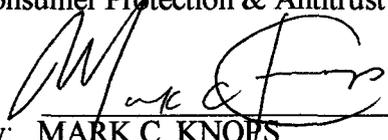
18 NOTICE IS HEREBY GIVEN that, pursuant to A.A.C. R14-3-109(C), the deposition upon
 19 oral examination of the following person will be taken on the date and at the time indicated,
 20 together with their production of the documents listed in attached Exhibit A.

21 PERSON TO BE EXAMINED: Custodian of Records of Y & T Inc. dba Tokyo
 22 International Investment Ltd.
 23 DATE AND TIME OF DEPOSITION: Monday, April 27, 1998 at 10:30 a.m.
 24 PLACE OF DEPOSITION: Securities Division, Arizona Corporation Commission
 25 1300 West Washington, 3rd Fl.
 26 Phoenix, AZ 85007

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DATED this 10th day of April, 1998.

GRANT WOODS
Attorney General
Consumer Protection & Antitrust Section



By: MARK C. KNOFS
Special Assistant Attorney General
ROBERT A. ZUMOFF
Assistant Attorney General
Attorneys for the Securities
Division of the Arizona
Corporation Commission

- 1 4. shareholder agreements;
- 2 5. incorporation and other documents filed with governmental agencies that relate to authority
- 3 to conduct business;
- 4 6. amounts and dates of payments or transfers by **Tokyo** to its officers, directors and
- 5 shareholders, whether as salaries, expense reimbursements, dividends or other distribution of
- 6 earnings, gifts, bonuses or other forms of value, whether in the form of currency, property or other
- 7 assets, tangible and intangible;
- 8 7. amounts, dates and terms of all loans by **Tokyo** to its officers, directors and shareholders,
- 9 and the status of such loans.

10 D.

11 Business activities between **Tokyo** and **FISC**, or between **Tokyo** and **Eastern Vanguard**

12 **Forex Ltd. ("EVFL")**, a British Virgin Islands company, or other persons or entities involving or

13 affecting **FISC**, including **but not limited to**, contracts, agreements, correspondence, memoranda,

14 reports or other communications.

15 E.

16 Contracts, agreements and related memoranda and correspondence between **Tokyo** and

17 **FISC**.

18 F.

19 Contracts, agreements and related memoranda and correspondence between **Tokyo** and

20 **EVFL** related directly or indirectly to **FISC**.

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1 ORIGINAL AND TEN (10) COPIES of the foregoing
2 filed this 10th day of April, 1998, with:

3 Docket Control
4 Arizona Corporation Commission
5 1200 West Washington Street
6 Phoenix, Arizona 85007

7 Copy of the foregoing
8 mailed and/or faxed this
9 13th day of April, 1998
10 to:

11 Chris R. Youtz, Esq.
12 Sirianni & Youtz
13 Columbia Center
14 701 Fifth Ave., Ste. 3410
15 Seattle, WA 98104
16 ATTORNEY FOR RESPONDENTS

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By: 