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BEFORE THE ARIZONA CORPORATION COMMISSION

JIM IRVIN
Commissioner-Chairman
RENZ D. JENNINGS
Commissioner
CARL J. KUNASEK
Commissioner

RECEIVED
AZ CORP COMMISSION
JUN 29 4 16 PM '98
DOCKETED BY [unclear]

IN THE MATTER OF:

DOCKET NO. S-3177-I

FOREX INVESTMENT SERVICES CORPORATION
2700 North Central Avenue, Suite 1110
Phoenix, Arizona 85004

APPLICATION FOR SUBPOENA DUCES TECUM

et al.,

Respondents.

Pursuant to A.A.C. R14-3-109(O), Respondents Forex Investment Services, Eastern Vanguard Forex Ltd., Eastern Vanguard Group Limited, K. (David) Sharma, Sammy Lee Chun Wing, Peter Suen Suk Tak, James Charles Simmons, Jr., Michael E. Cho, To Fai Cheng, Jean Yuen, Y & T dba Tokyo International Investments Ltd. and Guo Quan Zhang ("the Respondents"), request the issuance of Administrative Subpoenas Duces Tecum to the following individuals:

1. Dean Davis;
2. Alan Davis;
3. Van Shumway;
4. Willis Scott; and
5. Michael Noriega, Jr.

Arizona Corporation Commission
DOCKETED

JUN 29 1998

DOCKETED BY *[Signature]*

The Securities Division has informed counsel for Respondents that the above individuals will be witnesses in a hearing in this matter that is scheduled to commence on July 13, 1998. Counsel first learned that these individuals will be witnesses at approximately 5 p.m. on Friday, June 26, 1998. The

1 enclosed Subpoenas simply seek the production of various documents that are relevant to the witnesses'
2 potential testimony. These documents are set forth in the exhibits to the subpoenas.

3 DATED this 29th day of June, 1998.

4 ROSHKA HEYMAN & DEWULF, PLC

6
7 By 

8 Paul J. Roshka, Jr.
9 Alan S. Baskin
10 Two Arizona Center
11 4 00 North 5th Street, Suite 1000
12 Phoenix, Arizona 85004
13 Attorneys for Respondents

14 ORIGINAL and ten copies of the
15 foregoing hand-delivered
16 this 29th day of June, 1998 to:

17 Docket Control
18 Arizona Corporation Commission
19 1200 West Washington Street
20 Phoenix, Arizona 85007

21 COPY of the foregoing hand-delivered
22 this 29th day of June, 1998 to:

23 Jack Rose
24 Executive Secretary
25 Arizona Corporation Commission
26 1200 West Washington
27 Phoenix, Arizona 85007

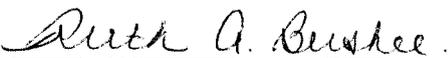
28 COPY of the foregoing mailed
29 this 29th day of June, 1998 to:

30 Mark C. Knops
31 Senior Counsel
32 Securities Division
33 Arizona Corporation Commission
34 1300 West Washington, 3rd Floor
35 Phoenix, Arizona 85007

1 Hearing Officer
2 Hearing Division
3 Arizona Corporation Commission
4 1200 West Washington
5 Phoenix, Arizona 85007

6 Robert A. Zumoff
7 Office of the Attorney General
8 1275 West Washington
9 Phoenix, Arizona 85007

10 Chris R. Youtz
11 Sirianni & Youtz
12 3410 Columbia Center
13 710 Fifth Avenue
14 Seattle, Washington 98104-7032
15 Counsel for Respondents

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1 **BEFORE THE ARIZONA CORPORATION COMMISSION**

2 **JIM IRVIN**
3 **Commissioner-Chairman**
4 **RENZ D. JENNINGS**
5 **Commissioner**
6 **CARL J. KUNASEK**
7 **Commissioner**

8 **IN THE MATTER OF:**

9 **FOREX INVESTMENT SERVICES**
10 **CORPORATION**
11 **2700 North Central Avenue, Suite 1110**
12 **Phoenix, Arizona 85004**

13 **et al.,**

14 **Respondents.**

15 **DOCKET NO. S-3177-I**

16 **ADMINISTRATIVE SUBPOENA DUCES**
17 **TECUM**

18 **TO: Dean Davis**
19 **25814 North 102nd Avenue**
20 **Peoria, Arizona 85382**

21 Pursuant to A.A.C. R14-3-109(O), it is ordered that you produce the documents listed on attached
22 Exhibit "A."

23 **DATE AND TIME OF PRODUCTION:** Tuesday, July 7, 1998 at 9:00 a.m.

24 **PLACE OF PRODUCTION:** Roshka Heyman & DeWulf
25 400 North 5th Street, Suite 1000
26 Phoenix, Arizona 85004

27 **YOU ARE COMMANDED to produce the documents listed on attached Exhibit "A."**

28 **YOU HAVE BEEN SUBPOENAED BY:** Respondents Forex Investment Services, et al.
29 c/o Paul J. Roshka, Jr., Esq.
30 Alan S. Baskin, Esq.
31 Roshka Heyman & DeWulf
32 400 North 5th Street, Suite 1000
33 Phoenix, Arizona 85004

34 Disobedience of this subpoena duces tecum constitutes contempt of the Arizona Corporation
35 Commission and is so punishable, pursuant to A.R.S. §§ 40-424.

Given under the hand and seal of the Arizona Corporation Commission this ____ day of _____, 1998.

Jack Rose, Executive Secretary

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EXHIBIT "A"

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- (a) correspondence received by you and/or Melba Davis from EVFL, James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL;
- (b) correspondence sent by you and/or Melba Davis to EVFL, James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL;
- (c) all notes taken by you and/or Melba Davis of any conversations with James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL;
- (d) all documents referring, reflecting or relating to any oral or written representations made by EVFL, James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL to you and/or Melba Davis;
- (e) all documents referring, reflecting or relating to any oral or written representations made by anyone to you and/or Melba Davis concerning your EVFL account;
- (f) all documents which you and/or Melba Davis, or anyone acting on your behalf, received from EVFL, James Simmons, Michael Cho and/or any other agent,

1 employee, independent contractor and/or officer of EVFL concerning your EVFL
2 account;

3 (g) all documents which you and/or Melba Davis, or anyone acting on your behalf, sent
4 or gave to James Simmons, Michael Cho and/or any other agent, employee,
5 independent contractor and/or officer of EVFL concerning your EVFL account.

6 2. All documents that show, refer, reflect or relate to you and/or Melba Davis' net worth from
7 January 1, 1992 to the present.

8 3. All of your and/or Melba Davis' federal and state income tax returns, filed either separately
9 or jointly, for the years 1992, 1993, 1994, 1995, 1996 and 1997, including any attachments, W-2s,
10 schedules and exhibits to those returns.

11 4. All documents which reflect, refer to or relate to any contacts or communications between
12 you and/or Melba Davis, or anyone acting on your behalf, with any accounting firm or certified public
13 accounting firm from January 1, 1996 to the present concerning your EVFL account.

14 5. All monthly statements, confirmations, client agreements, customer agreements, margin
15 agreements and other documents between you and/or Melba Davis, or anyone acting on your behalf, and
16 any brokerage firm or investment banking house with whom you and/or Melba Davis have opened or
17 maintained an account from January 1, 1992 to the present.

18 6. All monthly statements, confirmations, client agreements, customer agreements, margin
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20 had any ownership interest, from January 1, 1992 to the present.

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22 you and/or Melba Davis, or anyone acting on your behalf, with any investment adviser or investment
23 counselor from January 1, 1992 to the present.
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1 8. All correspondence between you and/or Melba Davis, or anyone acting on your behalf, and
2 any securities firm(s) or brokerage firm(s) and/or their representatives at which you and/or Melba Davis
3 maintained an account.

4 9. All documents that reflect, refer to or relate to any litigation in which you and/or Melba
5 Davis have been a party in the last ten years including, but not limited to, pleadings filed against you
6 and/or Melba Davis, pleadings filed on your behalf, affidavits filed by you and/or Melba Davis,
7 interrogatory answers filed by you and/or Melba Davis and depositions given by you and/or Melba
8 Davis.

9 10. All investment advisory letters, brochures, prospectuses, news letters, recommendations,
10 research reports or other such documents received by you and/or Melba Davis, or anyone acting on your
11 behalf, from any brokerage firm, investment banker, investment advisory service or financial analyst
12 from January 1, 1992 to the present.

13 11. All documents pertaining to any company in which you and/or Melba Davis have been
14 investors within the last seven years including, but not limited to, investor questionnaires, financial
15 statements and prospectuses.

16 12. All documents pertaining to any partnership in which you and/or Melba Davis have been a
17 partner, whether a general partner or a limited partner, within the last seven years including, but not
18 limited to, partnership agreements, purchaser representations, questionnaires, suitability statements or
19 representations, financial statements and prospectuses.

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21 you and/or Melba Davis and any investment adviser, stockbroker, investment banker, or accountant
22 from January 1, 1992 to the present.
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1 14. All loan applications completed by you and/or Melba Davis from January 1, 1992 to the
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3 15. All documents which reflect your and/or Melba Davis' subscriptions to any news service,
4 other financial or investment news service, business periodicals, magazines and any other publications
5 regarding investments.

6 16. All canceled checks and other evidence of funds you and/or Melba Davis paid to EVFL.

7 17. All EVFL account statements.

8 18. All documents and/or correspondence you and/or Melba Davis received from EVFL, James
9 Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of
10 EVFL prior to the opening of your EVFL account.

11 19. All documents and/or correspondence you and/or Melba Davis received from EVFL, James
12 Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of
13 EVFL after you opened your EVFL account.

14 20. All documents and/or correspondence you and/or Melba Davis have received from the
15 Securities Division of the Arizona Corporation Commission.

16 21. All notes of any meetings and/or telephone conversations you and/or Melba Davis have had
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18 Commission.

19 22. All audiotapes of any conversations you and/or Melba Davis have had with from EVFL,
20 James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer
21 of EVFL.

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1 **BEFORE THE ARIZONA CORPORATION COMMISSION**

2 **JIM IRVIN**
3 **Commissioner-Chairman**
4 **RENZ D. JENNINGS**
5 **Commissioner**
6 **CARL J. KUNASEK**
7 **Commissioner**

8 **IN THE MATTER OF:**

9 **FOREX INVESTMENT SERVICES**
10 **CORPORATION**
11 **2700 North Central Avenue, Suite 1110**
12 **Phoenix, Arizona 85004**

13 **et al.,**

14 **Respondents.**

15 **DOCKET NO. S-3177-I**

16 **ADMINISTRATIVE SUBPOENA DUCES**
17 **TECUM**

18 **TO: Van Shumway**
19 **6145 West Avalon Circle**
20 **Phoenix, Arizona 85033**

21 Pursuant to A.A.C. R14-3-109(O), it is ordered that you produce the documents listed on attached
22 Exhibit "A."

23 **DATE AND TIME OF PRODUCTION:** Tuesday, July 7, 1998 at 9:00 a.m.

24 **PLACE OF PRODUCTION:** Roshka Heyman & DeWulf
25 400 North 5th Street, Suite 1000
26 Phoenix, Arizona 85004

27 **YOU ARE COMMANDED to produce the documents listed on attached Exhibit "A."**

28 **YOU HAVE BEEN SUBPOENAED BY:** Respondents Forex Investment Services, et al.
29 c/o Paul J. Roshka, Jr., Esq.
30 Alan S. Baskin, Esq.
31 Roshka Heyman & DeWulf
32 400 North 5th Street, Suite 1000
33 Phoenix, Arizona 85004

34 Disobedience of this subpoena duces tecum constitutes contempt of the Arizona Corporation
35 Commission and is so punishable, pursuant to A.R.S. §§ 40-424.

Given under the hand and seal of the Arizona Corporation Commission this ____ day of _____, 1998.

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Jack Rose, Executive Secretary

EXHIBIT "A"

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1. All documents (including, but not limited to, correspondence, memoranda, calendars, daytimers, desk diaries, logs, appointment books, telephone records, telephone message or telephone logs) reflecting or referring in any way to communication (whether such communications occurred via face-to-face meetings or via telephone and/or written correspondence) in connection with your account with Eastern Vanguard Forex Ltd. (hereinafter "EVFL"). These documents include:

- (a) correspondence received by you and/or Ruth Shumway from EVFL, James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL;
- (b) correspondence sent by you and/or Ruth Shumway to EVFL, James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL;
- (c) all notes taken by you and/or Ruth Shumway of any conversations with James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL;
- (d) all documents referring, reflecting or relating to any oral or written representations made by EVFL, James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL to you and/or Ruth Shumway;
- (e) all documents referring, reflecting or relating to any oral or written representations made by anyone to you and/or Ruth Shumway concerning your EVFL account;
- (f) all documents which you and/or Ruth Shumway, or anyone acting on your behalf, received from EVFL, James Simmons, Michael Cho and/or any other agent,

1 employee, independent contractor and/or officer of EVFL concerning your EVFL
2 account;

3 (g) all documents which you and/or Ruth Shumway, or anyone acting on your behalf,
4 sent or gave to James Simmons, Michael Cho and/or any other agent, employee,
5 independent contractor and/or officer of EVFL concerning your EVFL account.

6 2. All documents that show, refer, reflect or relate to you and/or Ruth Shumway's net worth
7 from January 1, 1992 to the present.

8 3. All of your and/or Ruth Shumway's federal and state income tax returns, filed either
9 separately or jointly, for the years 1992, 1993, 1994, 1995, 1996 and 1997, including any attachments,
10 W-2s, schedules and exhibits to those returns.

11 4. All documents which reflect, refer to or relate to any contacts or communications between
12 you and/or Ruth Shumway, or anyone acting on your behalf, with any accounting firm or certified public
13 accounting firm from January 1, 1996 to the present concerning your EVFL account.

14 5. All monthly statements, confirmations, client agreements, customer agreements, margin
15 agreements and other documents between you and/or Ruth Shumway, or anyone acting on your behalf,
16 and any brokerage firm or investment banking house with whom you and/or Ruth Shumway have
17 opened or maintained an account from January 1, 1992 to the present.

18 6. All monthly statements, confirmations, client agreements, customer agreements, margin
19 agreements and other documents relating to any brokerage account in which you and/or Ruth Shumway
20 had any ownership interest, from January 1, 1992 to the present.

21 7. All documents which reflect, refer to or relate to any contacts or communications between
22 you and/or Ruth Shumway, or anyone acting on your behalf, with any investment adviser or investment
23 counselor from January 1, 1992 to the present.
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1 8. All correspondence between you and/or Ruth Shumway, or anyone acting on your behalf,
2 and any securities firm(s) or brokerage firm(s) and/or their representatives at which you and/or Ruth
3 Shumway maintained an account.

4 9. All documents that reflect, refer to or relate to any litigation in which you and/or Ruth
5 Shumway have been a party in the last ten years including, but not limited to, pleadings filed against you
6 and/or Ruth Shumway, pleadings filed on your behalf, affidavits filed by you and/or Ruth Shumway,
7 interrogatory answers filed by you and/or Ruth Shumway and depositions given by you and/or Ruth
8 Shumway.

9
10 10. All investment advisory letters, brochures, prospectuses, news letters, recommendations,
11 research reports or other such documents received by you and/or Ruth Shumway, or anyone acting on
12 your behalf, from any brokerage firm, investment banker, investment advisory service or financial
13 analyst from January 1, 1992 to the present.

14 11. All documents pertaining to any company in which you and/or Ruth Shumway have been
15 investors within the last seven years including, but not limited to, investor questionnaires, financial
16 statements and prospectuses.

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18 12. All documents pertaining to any partnership in which you and/or Ruth Shumway have been
19 a partner, whether a general partner or a limited partner, within the last seven years including, but not
20 limited to, partnership agreements, purchaser representations, questionnaires, suitability statements or
21 representations, financial statements and prospectuses.

22 13. All notes of, or taken during, or in connection with, any meeting or conversation between
23 you and/or Ruth Shumway and any investment adviser, stockbroker, investment banker, or accountant
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1 14. All loan applications completed by you and/or Ruth Shumway from January 1, 1992 to the
2 present.

3 15. All documents which reflect your and/or Ruth Shumway's subscriptions to any news
4 service, other financial or investment news service, business periodicals, magazines and any other
5 publications regarding investments.

6 16. All canceled checks and other evidence of funds you and/or Ruth Shumway paid to EVFL.

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9 James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer
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1 **BEFORE THE ARIZONA CORPORATION COMMISSION**

2 **JIM IRVIN**
3 **Commissioner-Chairman**
4 **RENZ D. JENNINGS**
5 **Commissioner**
6 **CARL J. KUNASEK**
7 **Commissioner**

8 **IN THE MATTER OF:**

9 **FOREX INVESTMENT SERVICES**
10 **CORPORATION**
11 **2700 North Central Avenue, Suite 1110**
12 **Phoenix, Arizona 85004**

13 **et al.,**

14 **Respondents.**

DOCKET NO. S-3177-I

ADMINISTRATIVE SUBPOENA DUCES
TECUM

15 **TO: Alan L. Davis**
16 **25814 North 102nd Avenue**
17 **Peoria, Arizona 85382**

18 Pursuant to A.A.C. R14-3-109(O), it is ordered that you produce the documents listed on attached
19 Exhibit "A."

20 **DATE AND TIME OF PRODUCTION:** Tuesday, July 7, 1998 at 9:00 a.m.

21 **PLACE OF PRODUCTION:** Roshka Heyman & DeWulf
22 400 North 5th Street, Suite 1000
23 Phoenix, Arizona 85004

24 **YOU ARE COMMANDED** to produce the documents listed on attached Exhibit "A."

25 **YOU HAVE BEEN SUBPOENAED BY:** Respondents Forex Investment Services, et al.
26 c/o Paul J. Roshka, Jr., Esq.
27 Alan S. Baskin, Esq.
Roshka Heyman & DeWulf
400 North 5th Street, Suite 1000
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Disobedience of this subpoena duces tecum constitutes contempt of the Arizona Corporation Commission and is so punishable, pursuant to A.R.S. §§ 40-424.

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Jack Rose, Executive Secretary

EXHIBIT "A"

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1 **BEFORE THE ARIZONA CORPORATION COMMISSION**

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3 **Commissioner-Chairman**
4 **RENZ D. JENNINGS**
5 **Commissioner**
6 **CARL J. KUNASEK**
7 **Commissioner**

8 **IN THE MATTER OF:**

9 **FOREX INVESTMENT SERVICES**
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11 **2700 North Central Avenue, Suite 1110**
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13 **et al.,**

14 **Respondents.**

15 **DOCKET NO. S-3177-I**

16 **ADMINISTRATIVE SUBPOENA DUCES**
17 **TECUM**

18 **TO: Willis Scott**
19 **1090 East Flint Street**
20 **Chandler, Arizona 85225**

21 Pursuant to A.A.C. R14-3-109(O), it is ordered that you produce the documents listed on attached
22 Exhibit "A."

23 **DATE AND TIME OF PRODUCTION:** Tuesday, July 7, 1998 at 9:00 a.m.

24 **PLACE OF PRODUCTION:** Roshka Heyman & DeWulf
25 400 North 5th Street, Suite 1000
26 Phoenix, Arizona 85004

27 **YOU ARE COMMANDED to produce the documents listed on attached Exhibit "A."**

28 **YOU HAVE BEEN SUBPOENAED BY:** Respondents Forex Investment Services, et al.
29 c/o Paul J. Roshka, Jr., Esq.
30 Alan S. Baskin, Esq.
31 Roshka Heyman & DeWulf
32 400 North 5th Street, Suite 1000
33 Phoenix, Arizona 85004

34 Disobedience of this subpoena duces tecum constitutes contempt of the Arizona Corporation
35 Commission and is so punishable, pursuant to A.R.S. §§ 40-424.

Given under the hand and seal of the Arizona Corporation Commission this ____ day of _____, 1998.

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Jack Rose, Executive Secretary

EXHIBIT "A"

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2 1. All documents (including, but not limited to, correspondence, memoranda, calendars,
3 daytimers, desk diaries, logs, appointment books, telephone records, telephone message or telephone
4 logs) reflecting or referring in any way to communication (whether such communications occurred via
5 face-to-face meetings or via telephone and/or written correspondence) in connection with your account
6 with Eastern Vanguard Forex Ltd. (hereinafter "EVFL"). These documents include:

- 7 (a) correspondence received by you from EVFL, James Simmons, Michael Cho and/or
8 any other agent, employee, independent contractor and/or officer of EVFL;
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10 (b) correspondence sent by you to EVFL, James Simmons, Michael Cho and/or any
11 other agent, employee, independent contractor and/or officer of EVFL;
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13 (c) all notes taken by you of any conversations with James Simmons, Michael Cho
14 and/or any other agent, employee, independent contractor and/or officer of EVFL;
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16 (d) all documents referring, reflecting or relating to any oral or written representations
17 made by EVFL, James Simmons, Michael Cho and/or any other agent, employee,
18 independent contractor and/or officer of EVFL to you;
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20 (e) all documents referring, reflecting or relating to any oral or written representations
21 made by anyone to you concerning your EVFL account;
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23 (f) all documents which you, or anyone acting on your behalf, received from EVFL,
24 James Simmons, Michael Cho and/or any other agent, employee, independent
25 contractor and/or officer of EVFL concerning your EVFL account;
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27 (g) all documents which you, or anyone acting on your behalf, sent or gave to James
Simmons, Michael Cho and/or any other agent, employee, independent contractor
and/or officer of EVFL concerning your EVFL account.

1 2. All documents that show, refer, reflect or relate to your net worth from January 1, 1992 to
2 the present.

3 3. All of your federal and state income tax returns, filed either separately or jointly, for the
4 years 1992, 1993, 1994, 1995, 1996 and 1997, including any attachments, W-2s, schedules and exhibits
5 to those returns.

6 4. All documents which reflect, refer to or relate to any contacts or communications between
7 you, or anyone acting on your behalf, with any accounting firm or certified public accounting firm from
8 January 1, 1996 to the present concerning your EVFL account.

9 5. All monthly statements, confirmations, client agreements, customer agreements, margin
10 agreements and other documents between you, or anyone acting on your behalf, and any brokerage firm
11 or investment banking house with whom you have opened or maintained an account from January 1,
12 1992 to the present.

13 6. All monthly statements, confirmations, client agreements, customer agreements, margin
14 agreements and other documents relating to any brokerage account in which you had any ownership
15 interest, from January 1, 1992 to the present.

16 7. All documents which reflect, refer to or relate to any contacts or communications between
17 you, or anyone acting on your behalf, with any investment adviser or investment counselor from
18 January 1, 1992 to the present.

19 8. All correspondence between you, or anyone acting on your behalf, and any securities
20 firm(s) or brokerage firm(s) and/or their representatives at which you maintained an account.

21 9. All documents that reflect, refer to or relate to any litigation in which you have been a party
22 in the last ten years including, but not limited to, pleadings filed against you, pleadings filed on your
23 behalf, affidavits filed by you, interrogatory answers filed by you and depositions given by you.
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1 10. All investment advisory letters, brochures, prospectuses, news letters, recommendations,
2 research reports or other such documents received by you, or anyone acting on your behalf, from any
3 brokerage firm, investment banker, investment advisory service or financial analyst from January 1,
4 1992 to the present.

5 11. All documents pertaining to any company in which you have been an investor within the
6 last seven years including, but not limited to, investor questionnaires, financial statements and
7 prospectuses.

8 12. All documents pertaining to any partnership in which you have been a partner, whether a
9 general partner or a limited partner, within the last seven years including, but not limited to, partnership
10 agreements, purchaser representations, questionnaires, suitability statements or representations, financial
11 statements and prospectuses.

12 13. All notes of, or taken during, or in connection with, any meeting or conversation between
13 you and any investment adviser, stockbroker, investment banker, or accountant from January 1, 1992 to
14 the present.

15 14. All loan applications completed by you from January 1, 1992 to the present.

16 15. All documents which reflect your subscriptions to any news service, other financial or
17 investment news service, business periodicals, magazines and any other publications regarding
18 investments.

19 16. All canceled checks and other evidence of funds you paid to EVFL.

20 17. All EVFL account statements.

21 18. All documents and/or correspondence you received from EVFL, James Simmons, Michael
22 Cho and/or any other agent, employee, independent contractor and/or officer of EVFL prior to the
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1 19. All documents and/or correspondence you received from EVFL, James Simmons, Michael
2 Cho and/or any other agent, employee, independent contractor and/or officer of EVFL after you opened
3 your EVFL account.

4 20. All documents and/or correspondence you have received from the Securities Division of
5 the Arizona Corporation Commission.

6 21. All notes of any meetings and/or telephone conversations you have had with any
7 employees and/or agents and/or staff from the Securities Division of the Arizona Corporation
8 Commission.

9 22. All audiotapes of any conversations you have had with from EVFL, James Simmons,
10 Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL.
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1 **BEFORE THE ARIZONA CORPORATION COMMISSION**

2 **JIM IRVIN**
3 **Commissioner-Chairman**
4 **RENZ D. JENNINGS**
5 **Commissioner**
6 **CARL J. KUNASEK**
7 **Commissioner**

8 **IN THE MATTER OF:**

9 **FOREX INVESTMENT SERVICES**
10 **CORPORATION**
11 **2700 North Central Avenue, Suite 1110**
12 **Phoenix, Arizona 85004**

13 **et al.,**

14 **Respondents.**

15 **DOCKET NO. S-3177-I**

16 **ADMINISTRATIVE SUBPOENA DUCES**
17 **TECUM**

18 **TO: Michael Noriega, Jr.**
19 **4628 West Vogel**
20 **Glendale, Arizona 85302**

21 Pursuant to A.A.C. R14-3-109(O), it is ordered that you produce the documents listed on attached
22 Exhibit "A."

23 **DATE AND TIME OF PRODUCTION: Tuesday, July 7, 1998 at 9:00 a.m.**

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31 **Roshka Heyman & DeWulf**
32 **400 North 5th Street, Suite 1000**
33 **Phoenix, Arizona 85004**

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35 Commission and is so punishable, pursuant to A.R.S. §§ 40-424.

Given under the hand and seal of the Arizona Corporation Commission this ____ day of _____, 1998.

Jack Rose, Executive Secretary

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