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BEFORE THE ARIZONA CORPORATION COMMISSION

JIM IRVIN
Commissioner-Chairman
RENZ D. JENNINGS
Commissioner
CARL J. KUNASEK
Commissioner

JUL 2 10 12 AM '98

DOCKETED

IN THE MATTER OF:

DOCKET NO. S-3177-I

FOREX INVESTMENT SERVICES
CORPORATION
2700 North Central Avenue, Suite 1110
Phoenix, Arizona 85004

ADMINISTRATIVE SUBPOENA DUCES
TECUM

et al.,

Respondents.

Arizona Corporation Commission

DOCKETED

JUL 02 1998

TO: Willis Scott
1090 East Flint Street
Chandler, Arizona 85225

DOCKETED BY

Pursuant to A.A.C. R14-3-109(O), it is ordered that you produce the documents listed on attached Exhibit "A."

DATE AND TIME OF PRODUCTION: Tuesday, July 7, 1998 at 9:00 a.m.

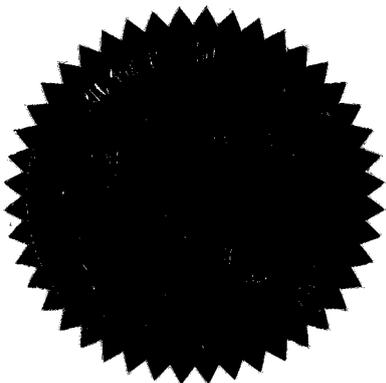
PLACE OF PRODUCTION: Roshka Heyman & DeWulf
400 North 5th Street, Suite 1000
Phoenix, Arizona 85004

YOU ARE COMMANDED to produce the documents listed on attached Exhibit "A."

YOU HAVE BEEN SUBPOENAED BY: Respondents Forex Investment Services, et al.
c/o Paul J. Roshka, Jr., Esq.
Alan S. Baskin, Esq.
Roshka Heyman & DeWulf
400 North 5th Street, Suite 1000
Phoenix, Arizona 85004

Disobedience of this subpoena duces tecum constitutes contempt of the Arizona Corporation Commission and is so punishable, pursuant to A.R.S. §§ 40-424.

Given under the hand and seal of the Arizona Corporation Commission this 1st day of July, 1998.



Jack Rose
Jack Rose, Executive Secretary

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EXHIBIT "A"

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2 1. All documents (including, but not limited to, correspondence, memoranda, calendars,
3 daytimers, desk diaries, logs, appointment books, telephone records, telephone message or telephone
4 logs) reflecting or referring in any way to communication (whether such communications occurred via
5 face-to-face meetings or via telephone and/or written correspondence) in connection with your account
6 with Eastern Vanguard Forex Ltd. (hereinafter "EVFL"). These documents include:

- 7 (a) correspondence received by you from EVFL, James Simmons, Michael Cho and/or
8 any other agent, employee, independent contractor and/or officer of EVFL;
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10 (b) correspondence sent by you to EVFL, James Simmons, Michael Cho and/or any
11 other agent, employee, independent contractor and/or officer of EVFL;
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13 (c) all notes taken by you of any conversations with James Simmons, Michael Cho
14 and/or any other agent, employee, independent contractor and/or officer of EVFL;
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16 (d) all documents referring, reflecting or relating to any oral or written representations
17 made by EVFL, James Simmons, Michael Cho and/or any other agent, employee,
18 independent contractor and/or officer of EVFL to you;
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20 (e) all documents referring, reflecting or relating to any oral or written representations
21 made by anyone to you concerning your EVFL account;
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23 (f) all documents which you, or anyone acting on your behalf, received from EVFL,
24 James Simmons, Michael Cho and/or any other agent, employee, independent
25 contractor and/or officer of EVFL concerning your EVFL account;
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27 (g) all documents which you, or anyone acting on your behalf, sent or gave to James
Simmons, Michael Cho and/or any other agent, employee, independent contractor
and/or officer of EVFL concerning your EVFL account.

1 2. All documents that show, refer, reflect or relate to your net worth from January 1, 1992 to
2 the present.

3 3. All of your federal and state income tax returns, filed either separately or jointly, for the
4 years 1992, 1993, 1994, 1995, 1996 and 1997, including any attachments, W-2s, schedules and exhibits
5 to those returns.

6 4. All documents which reflect, refer to or relate to any contacts or communications between
7 you, or anyone acting on your behalf, with any accounting firm or certified public accounting firm from
8 January 1, 1996 to the present concerning your EVFL account.

9 5. All monthly statements, confirmations, client agreements, customer agreements, margin
10 agreements and other documents between you, or anyone acting on your behalf, and any brokerage firm
11 or investment banking house with whom you have opened or maintained an account from January 1,
12 1992 to the present.

13 6. All monthly statements, confirmations, client agreements, customer agreements, margin
14 agreements and other documents relating to any brokerage account in which you had any ownership
15 interest, from January 1, 1992 to the present.

16 7. All documents which reflect, refer to or relate to any contacts or communications between
17 you, or anyone acting on your behalf, with any investment adviser or investment counselor from
18 January 1, 1992 to the present.

19 8. All correspondence between you, or anyone acting on your behalf, and any securities
20 firm(s) or brokerage firm(s) and/or their representatives at which you maintained an account.

21 9. All documents that reflect, refer to or relate to any litigation in which you have been a party
22 in the last ten years including, but not limited to, pleadings filed against you, pleadings filed on your
23 behalf, affidavits filed by you, interrogatory answers filed by you and depositions given by you.
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1 10. All investment advisory letters, brochures, prospectuses, news letters, recommendations,
2 research reports or other such documents received by you, or anyone acting on your behalf, from any
3 brokerage firm, investment banker, investment advisory service or financial analyst from January 1,
4 1992 to the present.

5 11. All documents pertaining to any company in which you have been an investor within the
6 last seven years including, but not limited to, investor questionnaires, financial statements and
7 prospectuses.

8 12. All documents pertaining to any partnership in which you have been a partner, whether a
9 general partner or a limited partner, within the last seven years including, but not limited to, partnership
10 agreements, purchaser representations, questionnaires, suitability statements or representations, financial
11 statements and prospectuses.

12 13. All notes of, or taken during, or in connection with, any meeting or conversation between
13 you and any investment adviser, stockbroker, investment banker, or accountant from January 1, 1992 to
14 the present.

15 14. All loan applications completed by you from January 1, 1992 to the present.

16 15. All documents which reflect your subscriptions to any news service, other financial or
17 investment news service, business periodicals, magazines and any other publications regarding
18 investments.

19 16. All canceled checks and other evidence of funds you paid to EVFL.

20 17. All EVFL account statements.

21 18. All documents and/or correspondence you received from EVFL, James Simmons, Michael
22 Cho and/or any other agent, employee, independent contractor and/or officer of EVFL prior to the
23 opening of your EVFL account.
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1 19. All documents and/or correspondence you received from EVFL, James Simmons, Michael
2 Cho and/or any other agent, employee, independent contractor and/or officer of EVFL after you opened
3 your EVFL account.

4 20. All documents and/or correspondence you have received from the Securities Division of
5 the Arizona Corporation Commission.

6 21. All notes of any meetings and/or telephone conversations you have had with any
7 employees and/or agents and/or staff from the Securities Division of the Arizona Corporation
8 Commission.

9 22. All audiotapes of any conversations you have had with from EVFL, James Simmons,
10 Michael Cho and/or any other agent, employee, independent contractor and/or officer of EVFL.
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COVER SHEET

39

ARIZONA CORPORATION COMMISSION DOCKET CONTROL CENTER

CASE/COMPANY NAME:
Forex Investment Services Corporation

DOCKET No.
S-3177-I

D/B/A or RESPONDENT:

RECEIVED
ARIZONA CORPORATION COMMISSION
JUL 2 10 12 AM '98

NATURE OF ACTION OR DESCRIPTION OF DOCUMENT

Please mark the item that describes the nature of the case/filing:

01 UTILITIES - NEW APPLICATIONS

- | | | | |
|--------------------------|--|--------------------------|--|
| <input type="checkbox"/> | New CC&N | <input type="checkbox"/> | Main Extension |
| <input type="checkbox"/> | Rates | <input type="checkbox"/> | Contract/Agreements |
| <input type="checkbox"/> | Interim Rates | <input type="checkbox"/> | Complaint (Formal) |
| <input type="checkbox"/> | Cancellation of CC&N | <input type="checkbox"/> | Rule Variance/Waiver Request |
| <input type="checkbox"/> | Deletion of CC&N (Territory) | <input type="checkbox"/> | Siting Committee Case |
| <input type="checkbox"/> | Extension of CC&N (Territory) | <input type="checkbox"/> | Small Water Company-Surcharge (Senate Bill 1252) |
| <input type="checkbox"/> | Tariff-New (Nest Open Meeting) | <input type="checkbox"/> | Sale of Assets & Transfer of Ownership |
| <input type="checkbox"/> | Request for Arbitration (Telecommunications) | <input type="checkbox"/> | Sale of Assets & Cancellation of CC&N |
| <input type="checkbox"/> | Fully or Partially Arbitrated | <input type="checkbox"/> | Fuel Adjuster/PGA |
| <input type="checkbox"/> | Interconnection Agreement (Telecom. Act) | <input type="checkbox"/> | Merger |
| <input type="checkbox"/> | Voluntary Interconnection Agreement (Telecom. Act) | <input type="checkbox"/> | Financing |
| | | <input type="checkbox"/> | Miscellaneous |

Arizona Corporation Commission
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JUL 02 1998

02 UTILITIES - REVISIONS/AMENDMENTS TO PENDING OR APPROVED MATTERS

- | | | | |
|--------------------------|---------------------|--------------------------|--------------------------------|
| <input type="checkbox"/> | Application Company | <input type="checkbox"/> | Tariff |
| <input type="checkbox"/> | Docket No. _____ | <input type="checkbox"/> | Promotional Decision No. _____ |
| | | <input type="checkbox"/> | Docket No. _____ |
| | | <input type="checkbox"/> | Compliance Decision No. _____ |
| | | <input type="checkbox"/> | Docket No. _____ |

DOCKETED BY Jmh

SECURITIES or MISCELLANEOUS FILINGS

- | | | | |
|--------------------------|---------------------------------|-------------------------------------|--|
| <input type="checkbox"/> | 04 Affidavit | <input type="checkbox"/> | 29 Stipulation |
| <input type="checkbox"/> | 12 Exceptions | <input type="checkbox"/> | 38 Notice of Intent (Only notification of future action/no action necessary) |
| <input type="checkbox"/> | 18 Request for Intervention | <input type="checkbox"/> | 43 Petition |
| <input type="checkbox"/> | 48 Request for Hearing | <input type="checkbox"/> | 46 Notice of Limited Appearance |
| <input type="checkbox"/> | 24 Opposition | <input type="checkbox"/> | 39 Other |
| <input type="checkbox"/> | 50 Compliance Item for Approval | <input checked="" type="checkbox"/> | specify ADMINISTRATIVE SUBPOENA DUCES TECUM TO MICHAEL NORIEGA, JR. |
| <input type="checkbox"/> | 32 Testimony | | |
| <input type="checkbox"/> | 30 Comments | | |

July 2, 1998
Date

Alan S. Baskin of Roshka Heyman & DeWulf
Print Name of Applicant/Company/Contact Person/Respondent/Atty
Telephone No. 256-6100