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BEFORE THE ARIZONA CORPORATION COMMISSION

JIM IRVIN
Commissioner-Chairman
RENZ D. JENNINGS
Commissioner
CARL J. KUNASEK
Commissioner

RECEIVED
AZ CORP COMMISSION
JUL 2 10 23 AM '98
DOCKETED BY
RECEIVED

IN THE MATTER OF:

DOCKET NO. S-3177-I

FOREX INVESTMENT SERVICES CORPORATION
2700 North Central Avenue, Suite 1110
Phoenix, Arizona 85004

ADMINISTRATIVE SUBPOENA DUCES TECUM

et al.,

Respondents.

Arizona Corporation Commission
DOCKETED

JUL 02 1998

DOCKETED BY *Jmh*

TO: Dean Davis
25814 North 102nd Avenue
Peoria, Arizona 85382

Pursuant to A.A.C. R14-3-109(O), it is ordered that you produce the documents listed on attached Exhibit "A."

DATE AND TIME OF PRODUCTION: Tuesday, July 7, 1998 at 9:00 a.m.

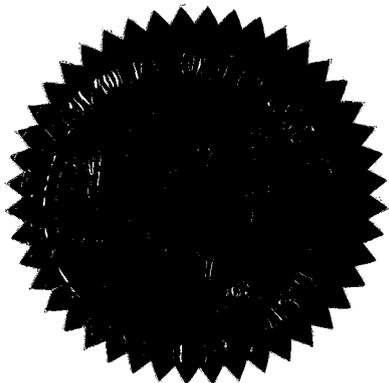
PLACE OF PRODUCTION: Roshka Heyman & DeWulf
400 North 5th Street, Suite 1000
Phoenix, Arizona 85004

YOU ARE COMMANDED to produce the documents listed on attached Exhibit "A."

YOU HAVE BEEN SUBPOENAED BY: Respondents Forex Investment Services, et al.
c/o Paul J. Roshka, Jr., Esq.
Alan S. Baskin, Esq.
Roshka Heyman & DeWulf
400 North 5th Street, Suite 1000
Phoenix, Arizona 85004

Disobedience of this subpoena duces tecum constitutes contempt of the Arizona Corporation Commission and is so punishable, pursuant to A.R.S. §§ 40-424.

Given under the hand and seal of the Arizona Corporation Commission this 1st day of July, 1998.



Jack Rose
Jack Rose, Executive Secretary

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EXHIBIT "A"

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2 1. All documents (including, but not limited to, correspondence, memoranda, calendars,
3 daytimers, desk diaries, logs, appointment books, telephone records, telephone message or telephone
4 logs) reflecting or referring in any way to communication (whether such communications occurred via
5 face-to-face meetings or via telephone and/or written correspondence) in connection with your account
6 with Eastern Vanguard Forex Ltd. (hereinafter "EVFL"). These documents include:

- 7 (a) correspondence received by you and/or Melba Davis from EVFL, James Simmons,
8 Michael Cho and/or any other agent, employee, independent contractor and/or
9 officer of EVFL;
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11 (b) correspondence sent by you and/or Melba Davis to EVFL, James Simmons,
12 Michael Cho and/or any other agent, employee, independent contractor and/or
13 officer of EVFL;
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15 (c) all notes taken by you and/or Melba Davis of any conversations with James
16 Simmons, Michael Cho and/or any other agent, employee, independent contractor
17 and/or officer of EVFL;
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19 (d) all documents referring, reflecting or relating to any oral or written representations
20 made by EVFL, James Simmons, Michael Cho and/or any other agent, employee,
21 independent contractor and/or officer of EVFL to you and/or Melba Davis;
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23 (e) all documents referring, reflecting or relating to any oral or written representations
24 made by anyone to you and/or Melba Davis concerning your EVFL account;
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26 (f) all documents which you and/or Melba Davis, or anyone acting on your behalf,
27 received from EVFL, James Simmons, Michael Cho and/or any other agent,

1 employee, independent contractor and/or officer of EVFL concerning your EVFL
2 account;

3 (g) all documents which you and/or Melba Davis, or anyone acting on your behalf, sent
4 or gave to James Simmons, Michael Cho and/or any other agent, employee,
5 independent contractor and/or officer of EVFL concerning your EVFL account.

6 2. All documents that show, refer, reflect or relate to you and/or Melba Davis' net worth from
7 January 1, 1992 to the present.

8 3. All of your and/or Melba Davis' federal and state income tax returns, filed either separately
9 or jointly, for the years 1992, 1993, 1994, 1995, 1996 and 1997, including any attachments, W-2s,
10 schedules and exhibits to those returns.

11 4. All documents which reflect, refer to or relate to any contacts or communications between
12 you and/or Melba Davis, or anyone acting on your behalf, with any accounting firm or certified public
13 accounting firm from January 1, 1996 to the present concerning your EVFL account.

14 5. All monthly statements, confirmations, client agreements, customer agreements, margin
15 agreements and other documents between you and/or Melba Davis, or anyone acting on your behalf, and
16 any brokerage firm or investment banking house with whom you and/or Melba Davis have opened or
17 maintained an account from January 1, 1992 to the present.

18 6. All monthly statements, confirmations, client agreements, customer agreements, margin
19 agreements and other documents relating to any brokerage account in which you and/or Melba Davis
20 had any ownership interest, from January 1, 1992 to the present.

21 7. All documents which reflect, refer to or relate to any contacts or communications between
22 you and/or Melba Davis, or anyone acting on your behalf, with any investment adviser or investment
23 counselor from January 1, 1992 to the present.
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1 8. All correspondence between you and/or Melba Davis, or anyone acting on your behalf, and
2 any securities firm(s) or brokerage firm(s) and/or their representatives at which you and/or Melba Davis
3 maintained an account.

4 9. All documents that reflect, refer to or relate to any litigation in which you and/or Melba
5 Davis have been a party in the last ten years including, but not limited to, pleadings filed against you
6 and/or Melba Davis, pleadings filed on your behalf, affidavits filed by you and/or Melba Davis,
7 interrogatory answers filed by you and/or Melba Davis and depositions given by you and/or Melba
8 Davis.

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10 10. All investment advisory letters, brochures, prospectuses, news letters, recommendations,
11 research reports or other such documents received by you and/or Melba Davis, or anyone acting on your
12 behalf, from any brokerage firm, investment banker, investment advisory service or financial analyst
13 from January 1, 1992 to the present.

14 11. All documents pertaining to any company in which you and/or Melba Davis have been
15 investors within the last seven years including, but not limited to, investor questionnaires, financial
16 statements and prospectuses.

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18 12. All documents pertaining to any partnership in which you and/or Melba Davis have been a
19 partner, whether a general partner or a limited partner, within the last seven years including, but not
20 limited to, partnership agreements, purchaser representations, questionnaires, suitability statements or
21 representations, financial statements and prospectuses.

22 13. All notes of, or taken during, or in connection with, any meeting or conversation between
23 you and/or Melba Davis and any investment adviser, stockbroker, investment banker, or accountant
24 from January 1, 1992 to the present.
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1 14. All loan applications completed by you and/or Melba Davis from January 1, 1992 to the
2 present.

3 15. All documents which reflect your and/or Melba Davis' subscriptions to any news service,
4 other financial or investment news service, business periodicals, magazines and any other publications
5 regarding investments.

6 16. All canceled checks and other evidence of funds you and/or Melba Davis paid to EVFL.

7 17. All EVFL account statements.

8 18. All documents and/or correspondence you and/or Melba Davis received from EVFL, James
9 Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of
10 EVFL prior to the opening of your EVFL account.

11 19. All documents and/or correspondence you and/or Melba Davis received from EVFL, James
12 Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer of
13 EVFL after you opened your EVFL account.

14 20. All documents and/or correspondence you and/or Melba Davis have received from the
15 Securities Division of the Arizona Corporation Commission.

16 21. All notes of any meetings and/or telephone conversations you and/or Melba Davis have had
17 with any employees and/or agents and/or staff from the Securities Division of the Arizona Corporation
18 Commission.

19 22. All audiotapes of any conversations you and/or Melba Davis have had with from EVFL,
20 James Simmons, Michael Cho and/or any other agent, employee, independent contractor and/or officer
21 of EVFL.
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