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BEFORE THE ARIZONA CORPORATION COMMISSION

JIM IRVIN
Commissioner-Chairman
RENZ D. JENNINGS
Commissioner
CARL J. KUNASEK
Commissioner

APR 28 10 23 AM '98

DOCUMENT CONTROL

In the matter of:

FOREX INVESTMENT SERVICES CORPORATION
2700 N. Central Ave., Suite 1110
Phoenix, AZ 85004

DOCKET NO. S-03177A-98-0000

AMENDED NOTICE OF DEPOSITION AND REQUEST FOR DOCUMENTS

EASTERN VANGUARD FOREX LTD.
2700 N. Central Ave., Suite 1110
Phoenix, AZ 85004

c/o HWR Services Limited, Registered Agent
P. O. Box 71, Craigmuir Chambers
Road Town, Tortola
British Virgin Islands

EASTERN VANGUARD GROUP LIMITED
c/o AMS Trustees Limited, Registered Agent
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

K. (DAVID) SHARMA
Eastern Vanguard Forex Ltd.
P. O. Box 71, Craigmuir Chambers
Road Town, Tortola
British Virgin Islands

Arizona Corporation Commission
DOCKETED

APR 28 1998

DOCKETED BY *Jmk*

SAMMY LEE CHUN WING
Eastern Vanguard Group Limited
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

PETER SUEN SUK TAK
Eastern Vanguard Group Limited
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

...

- 1 JAMES CHARLES SIMMONS, JR.)
- 2 5045 N. 58th Ave. #23A)
- 3 Glendale, AZ 85301)
- 4 MICHAEL E. CHO)
- 5 839 Faxon Avenue)
- 6 San Francisco, CA 94112)
- 7 TO FAI CHENG)
- 8 1800 Van Ness, 2nd Fl.)
- 9 San Francisco, CA 94109)
- 10 JEAN YUEN)
- 11 439 3rd Avenue)
- 12 San Francisco, CA 94118)
- 13 Y & T INC. dba TOKYO)
- 14 INTERNATIONAL INVESTMENT LTD.)
- 15 1800 Van Ness Ave., 2nd Fl.)
- 16 San Francisco, CA 94109)
- 17 WING MING TAM)
- 18 c/o Tokyo International Investment Ltd.)
- 19 1800 Van Ness Ave., 2nd Fl.)
- 20 San Francisco, CA 94109)
- 21 GUO QUAN ZHANG)
- 22 c/o Tokyo International Investment Ltd.)
- 23 1800 Van Ness Ave., 2nd Fl.)
- 24 San Francisco, CA 94109)

Respondents.

18 NOTICE IS HEREBY GIVEN that, pursuant to A.A.C. R14-3-109(C), the deposition upon
 19 oral examination of the following person is continued and will be taken on the date and at the time
 20 indicated, together with their production of the documents listed in attached Exhibit A.

21 PERSON TO BE EXAMINED: Jean Yuen

22

23 DATE AND TIME OF DEPOSITION: Monday, May 11, 1998 at 9:00 p. m. (M. S. T.)

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25 PLACE OF DEPOSITION: Securities Division, Arizona Corporation Commission
 1300 West Washington, 3rd Fl.
 26 Phoenix, AZ 85007

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DATED this 27th day of April, 1998.

GRANT WOODS
Attorney General
Consumer Protection & Antitrust Section


By: MARK C. KNOPS
Special Assistant Attorney General
ROBERT A. ZUMOFF
Assistant Attorney General
Attorneys for the Securities
Division of the Arizona
Corporation Commission

1 **EXHIBIT A**

2 For the period from January 1, 1995 to the present, all books, documents, memoranda and
3 other records, whether stored in hard copy or any electronic, magnetic or other form of information
4 storage, **incident to or relating to:**

- 5 1. the incorporation, office establishment and business activities of **Forex Investment**
6 **Services Corporation** (referred to hereafter as "**FISC**"), an Arizona corporation;
- 7 2. any direct or indirect ownership interest in **FISC**;
- 8 3. the direct or indirect payment of funds to **FISC** through stock purchase, loans or any other
9 means;
- 10 4. the source of funds paid directly or indirectly to **FISC**;
- 11 5. the direct or indirect receipt of funds paid by **FISC**;
- 12 6. contracts, agreements, memoranda and correspondence between **FISC** and **Y & T Inc. dba**
13 **Tokyo International Investment Ltd.** ("referred to hereafter as "**Tokyo**"), a California
14 corporation;
- 15 7. contracts, agreements, memoranda and correspondence related to business activities by or
16 between **FISC** and **Tokyo**;
- 17 8. contracts, agreements, memoranda and correspondence between **FISC** and **Eastern**
18 **Vanguard Forex Ltd.**, an British Virgin Islands company (referred to hereafter as "**EVFL**");
- 19 9. contracts, agreements, memoranda and correspondence related to business activities by or
20 between **FISC** and **EVFL**;
- 21 10. direct or indirect ownership or beneficial interest in **EVFL** or **Eastern Vanguard Group**
22 **Limited**, a British Virgin Islands company.
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1 ORIGINAL AND TEN (10) COPIES of the foregoing
2 filed this 28TH day of April, 1998, with:

3 Docket Control
4 Arizona Corporation Commission
5 1200 West Washington Street
6 Phoenix, Arizona 85007

7 Copy of the foregoing
8 mailed and/or faxed this
9 28TH day of April, 1998
10 to:

11 Chris R. Youtz, Esq.
12 Sirianni & Youtz
13 Columbia Center
14 701 Fifth Ave., Ste. 3410
15 Seattle, WA 98104

16 Alan S. Baskin, Esq.
17 Roshka, Heyman & DeWulf, PLC
18 400 N. Fifth St., Suite 1000
19 Phoenix, AZ 85004-3902

20 ATTORNEYS FOR RESPONDENTS

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By: 