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BEFORE THE ARIZONA CORPORATION COMMISSION

JIM IRVIN
Commissioner-Chairman
RENZ D. JENNINGS
Commissioner
CARL J. KUNASEK
Commissioner

In the matter of

FOREX INVESTMENT SERVICES
CORPORATION
2700 N. Central Ave., Suite 1110
Phoenix, AZ 85004

EASTERN VANGUARD FOREX LTD.
2700 N. Central Ave., Suite 1110
Phoenix, AZ 85004

c/o HWR Services Limited, Registered Agent
P. O. Box 71, Craigmuir Chambers
Road Town, Tortola
British Virgin Islands

EASTERN VANGUARD GROUP LIMITED
c/o AMS Trustees Limited, Registered Agent
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

K. (DAVID) SHARMA
Eastern Vanguard Forex Ltd.
P. O. Box 71, Craigmuir Chambers
Road Town, Tortola
British Virgin Islands

SAMMY LEE CHUN WING
Eastern Vanguard Group Limited
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

PETER SUEN SUK TAK
Eastern Vanguard Group Limited
Creque Building, Main Street, P. O. Box 116
Road Town, Tortola
British Virgin Islands

...

DOCKET NO. S-03177A-98-0000

**NOTICE OF FILING
BY THE SECURITIES DIVISION**

Arizona Corporation Commission

DOCKETED

OCT 29 1998

DOCKETED BY *sd*

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JAMES CHARLES SIMMONS, JR.
5045 N. 58th Ave. #23A
Glendale, AZ 85301

MICHAEL E. CHO
839 Faxon Avenue
San Francisco, CA 94112

TO FAI CHENG
1800 Van Ness, 2nd Fl.
San Francisco, CA 94109

JEAN YUEN
439 3rd Avenue
San Francisco, CA 94118

Y & T INC. dba TOKYO
INTERNATIONAL INVESTMENT LTD.
1800 Van Ness Ave., 2nd Fl.
San Francisco, CA 94109

WING MING TAM
c/o Tokyo International Investment Ltd.
1800 Van Ness Ave., 2nd Fl.
San Francisco, CA 94109

GUO QUAN ZHANG
c/o Tokyo International Investment Ltd.
1800 Van Ness Ave., 2nd Fl.
San Francisco, CA 94109

Respondents.

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1 The Securities Division of the Arizona Corporation Commission hereby files with the
2 record in this matter the attached copy of correspondence sent by fax and first-class mail to the addressee
3 shown thereon on this 28th day of October, 1998.

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5
6 GRANT WOODS
7 Attorney General
8 Consumer Protection & Antitrust Section

9 By:



10 MARK C. KNOPS
11 Special Assistant Attorney General
12 Robert A. Zumoff
13 Assistant Attorney General
14 Attorneys for the Securities Division of the
15 Arizona Corporation Commission

JIM IRVIN
COMMISSIONER-CHAIRMAN

RENZ D. JENNINGS
COMMISSIONER

CARL J. KUNASEK
COMMISSIONER



ARIZONA CORPORATION COMMISSION

JACK ROSE
EXECUTIVE SECRETARY

MICHAEL G. BURTON, SR.
DIRECTOR

SECURITIES DIVISION
1300 West Washington, Third Floor
Phoenix, AZ 85007-2996
TELEPHONE: (602) 542-4242
FAX: (602) 594-7470
E-MAIL: accsec@ccsd.cc.state.az.us

October 28, 1998

BY FAX AND FIRST-CLASS MAIL

Alan S. Baskin, Esq.
Roshka Heyman & Dewulf, PLC
Two Arizona Center
400 No. 5th St., Ste. 1000
Phoenix, AZ 85004

**RE: In the matter of Forex Investment Services Corp. ("FISC") et al.
Docket No. S-03177A-98-0000
Request for Documents from Eastern Vanguard Forex Ltd. ("EVFL")**

Dear Mr. Baskin:

Without the benefit of a transcript of the hearing session from last Friday, October 23rd, I hereby designate the following categories of EVFL records that I seek from its custodian of records pursuant to the Notice of Deposition and Request for Documents dated April 10, 1998 ("April 10th Request"), the Hearing Officer's order of last September 11th compelling production of certain records and other information (pages 1197-98 of the transcript of hearing vol. VI), and the supplemental order of last Friday:

-- unredacted copies of all monthly statements for all EVFL accounts at Marine Midland Bank, Hong Kong Bank, Citibank and any other banks during the entire period that any portion of such account funds were directly or indirectly transferred to FISC, or that such accounts directly or indirectly received any funds that originated wholly or partly as FISC accountholder deposits; together with supporting instructions, advices, confirmations or other similar transactional records detailing the transferor and transferee for all wire transfers reported on such statements;

-- all financial (including tax reporting) and accounting records with entries directly or indirectly reflecting any transactions reported on the above bank account statements, including all supporting detail records and any chart of accounts related to such records and entries;

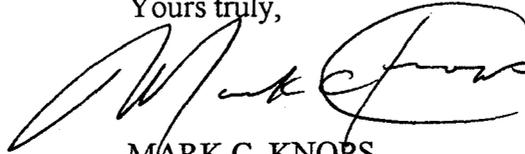
-- all financial (including tax reporting), accounting or other records indicating any direct or indirect benefit at any time to Respondents Eastern Vanguard Group Limited ("EVGL"), Sharma, Wing, Tak and Cheng from the accounting or disposition of any EVFL assets or liabilities, including but not limited to those records designated under parts A.1, A.2, B.1, B.2, C.6, C.7 and D of Exhibit A of the April 10th Request; noting hereby that part D of said Exhibit A includes "Business activities ... between EVFL and other persons or entities involving or

Alan S. Baskin, Esq.
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affecting" Eastern Vanguard Group Limited "including but not limited to, contracts, agreements, correspondence, memoranda, reports or other communications." Such "other persons or entities" would include, for example, Golden Profit Development Limited or DPS Global Management Limited, if applicable.

Insofar as no record exists, I request a specific negative response. If Alwin Yam is to be the custodian or other appropriate designated individual, I also seek from him any information regarding the above categories that is or should be known to him but is not memorialized in any retrievable record.

Yours truly,

A handwritten signature in black ink, appearing to read 'Mark C. Knops', written in a cursive style.

MARK C. KNOPS
Senior Counsel

:mck

cc: Docket Control

1 ORIGINAL AND TEN (10) COPIES of the foregoing
filed this 29th day of October, 1998, with:

2
3 Docket Control
Arizona Corporation Commission
1200 West Washington
4 Phoenix, AZ 85007

5 COPY of the foregoing mailed and/or faxed this
6 29th day of October, 1998 to:

7 James Charles Simmons, Jr.
5045 N. 58th Ave. #23A
Glendale, AZ 85301
8 RESPONDENT PRO SE

9 Paul J. Roshka, Jr., Esq.
Alan S. Baskin, Esq.
10 Roshka Heyman & Dewulf, PLC
Two Arizona Center
11 400 No. 5th St., Ste. 1000
Phoenix, AZ 85004

12 ATTORNEYS FOR ALL RESPONDENTS EXCEPT JAMES CHARLES SIMMONS

13 By: Michael Shreffler

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