



0000044007

RECEIVED

BEFORE THE ARIZONA CORPORATION COMMISSION

Dec 23 1 14 PM '98

DOCUMENT CONTROL

JIM IRVIN  
Commissioner-Chairman  
RENZ D. JENNINGS  
Commissioner  
CARL J. KUNASEK  
Commissioner

DOCKET NO. S-03177A-98-0000

NOTICE OF FILING  
BY THE SECURITIES DIVISION

In the matter of )  
FOREX INVESTMENT SERVICES )  
CORPORATION )  
2700 N. Central Ave., Suite 1110 )  
Phoenix, AZ 85004 )  
EASTERN VANGUARD FOREX LTD. )  
2700 N. Central Ave., Suite 1110 )  
Phoenix, AZ 85004 )  
c/o HWR Services Limited, Registered Agent )  
P. O. Box 71, Craigmuir Chambers )  
Road Town, Tortola )  
British Virgin Islands )  
EASTERN VANGUARD GROUP LIMITED )  
c/o AMS Trustees Limited, Registered Agent )  
Creque Building, Main Street, P. O. Box 116 )  
Road Town, Tortola )  
British Virgin Islands )  
K. (DAVID) SHARMA )  
Eastern Vanguard Forex Ltd. )  
P. O. Box 71, Craigmuir Chambers )  
Road Town, Tortola )  
British Virgin Islands )  
SAMMY LEE CHUN WING )  
Eastern Vanguard Group Limited )  
Creque Building, Main Street, P. O. Box 116 )  
Road Town, Tortola )  
British Virgin Islands )  
PETER SUEN SUK TAK )  
Eastern Vanguard Group Limited )  
Creque Building, Main Street, P. O. Box 116 )  
Road Town, Tortola )  
British Virgin Islands )

Arizona Corporation Commission

DOCKETED

DEC 23 1998

DOCKETED BY 

- 1
- 2 JAMES CHARLES SIMMONS, JR. )
- 3 5045 N. 58<sup>th</sup> Ave. #23A )
- 4 Glendale, AZ 85301 )
- 5
- 6 MICHAEL E. CHO )
- 7 839 Faxon Avenue )
- 8 San Francisco, CA 94112 )
- 9
- 10 TO FAI CHENG )
- 11 1800 Van Ness, 2<sup>nd</sup> Fl. )
- 12 San Francisco, CA 94109 )
- 13
- 14 JEAN YUEN )
- 15 439 3<sup>rd</sup> Avenue )
- 16 San Francisco, CA 94118 )
- 17
- 18 Y & T INC. dba TOKYO )
- 19 INTERNATIONAL INVESTMENT LTD. )
- 20 1800 Van Ness Ave., 2<sup>nd</sup> Fl. )
- 21 San Francisco, CA 94109 )
- 22
- 23 WING MING TAM )
- 24 c/o Tokyo International Investment Ltd. )
- 25 1800 Van Ness Ave., 2<sup>nd</sup> Fl. )
- 26 San Francisco, CA 94109 )
- 27
- 28 **Respondents.** )
- 29

- 30
- 31 ...
- 32 ...
- 33 ...
- 34 ...
- 35 ...
- 36 ...
- 37 ...
- 38 ...
- 39 ...
- 40 ...

1           The Securities Division of the Arizona Corporation Commission hereby files with the  
2 record in this matter the attached copy of correspondence sent by fax and first-class mail to the addressee  
3 shown thereon on this 23<sup>rd</sup> day of December, 1998.

4  
5  
6           GRANT WOODS  
7           Attorney General  
8           Consumer Protection & Antitrust Section

9           By: 

10           MARK C. KNOPS  
11           Special Assistant Attorney General  
12           Robert A. Zumoff  
13           Assistant Attorney General  
14           Attorneys for the Securities Division of the  
15           Arizona Corporation Commission

1 ORIGINAL AND TEN (10) COPIES of the foregoing  
2 filed this 13<sup>th</sup> day of December, 1998, with:

3 Docket Control  
4 Arizona Corporation Commission  
5 1200 West Washington  
6 Phoenix, AZ 85007

7 COPY of the foregoing mailed and/or faxed this  
8 23<sup>rd</sup> day of December, 1998 to:

9 James Charles Simmons, Jr.  
10 5045 N. 58<sup>th</sup> Ave. #23A  
11 Glendale, AZ 85301  
12 RESPONDENT PRO SE

13 Paul J. Roshka, Jr., Esq.  
14 Alan S. Baskin, Esq.  
15 Roshka Heyman & Dewulf, PLC  
16 Two Arizona Center  
17 400 No. 5<sup>th</sup> St., Ste. 1000  
18 Phoenix, AZ 85004

19 ATTORNEYS FOR ALL RESPONDENTS EXCEPT JAMES CHARLES SIMMONS

20 By: 

JIM IRVIN  
COMMISSIONER-CHAIRMAN

RENZ D. JENNINGS  
COMMISSIONER

CARL J. KUNASEK  
COMMISSIONER



ARIZONA CORPORATION COMMISSION

JACK ROSE  
EXECUTIVE SECRETARY

MICHAEL G. BURTON, SR.  
DIRECTOR

SECURITIES DIVISION  
1300 West Washington, Third Floor  
Phoenix, AZ 85007-2996  
TELEPHONE: (602) 542-4242  
FAX: (602) 594-7470  
E-MAIL: accsec@ccsd.cc.state.az.us

December 23, 1998

**BY FAX AND FIRST-CLASS MAIL**

Alan S. Baskin, Esq.  
Roshka, Heyman & DeWulf, PLC  
Two Arizona Center  
400 N. 5<sup>th</sup> St., Ste. 1000  
Phoenix, AZ 85004

**RE: In the matter of Forex Investment Services Corp. et al.  
S-03177A-98-0000**

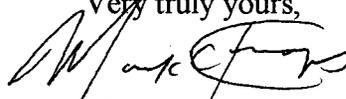
Dear Alan:

Pursuant to our telephone conversation today regarding the above-captioned matter, this is to confirm a revision in the briefing schedule on Respondents' motion to Dismiss filed on November 25, 1998 and received by me on November 30<sup>th</sup>.

1. The Division will file its Response in opposition by January 4, 1999.
2. You will file a Reply by January 18, 1999.

If this letter does not accurately reflect your understanding of the above stipulation, please notify me immediately.

Very truly yours,

  
MARK C. KNOPS  
Senior Counsel

:mck

cc: Docket Control  
Hearing Officer  
Respondents  
Chris R. Youtz, Esq.