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4. The name and address of agency personnel with whom a person may communicate regarding the rule:
Name: Cheri Tomlinson
Address: AHCCCS Administration
Office of Policy Analysis and Coordination
801 East Jefferson Street, Mail Drop 4200
Phoenix, Arizona 85034
Telephone: (602) 417-4198
Fax: (602) 256-6756
5. The time during which written submission may be made and the time and place where oral comments may be made:
The Administration will accept written comments Monday through Friday, 8 a.m. to 5 p.m., at the address listed in question #4. Public hearings will be scheduled later, during which time oral comments may be presented.
6. A timetable for agency decisions or other action in the proceeding:
The Administration anticipated filing a proposed rulemaking package in July 1998 and holding a public hearing in August of 1998.

AZ CORP COMMISSION

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DOCUMENT CONTROL

NOTICE OF RULEMAKING DOCKET OPENING

PUBLIC SERVICE CORPORATIONS; CORPORATIONS AND ASSOCIATIONS; SECURITIES REGULATION

1. Title and its heading: 14, Public Service Corporations; Corporations and Associations; Securities Regulation
Chapter and its heading: 6, Corporation Commission - Investment Management
Articles and their headings: 1, General Provisions Relating to the Arizona Investment Management Act,
2, Duties of Investment Advisers and Investment Adviser Representatives
Section numbers: R14-6-101 through R14-6-104 and R14-6-201 through R14-6-210
2. The subject matter of the proposed rules:
R14-6-101 through R14-6-104 and R14-6-201 through R14-6-209, combined with the enforcement Sections (R14-6-301 through R14-6-308) of the Arizona Securities Act, provide a comprehensive framework of regulation as authorized by the IM Act in place of the repealed Sections. The Division proposes the repeal of Sections R14-6-101 through R14-6-104 and R14-6-201 through R14-6-201 through R14-6-209 and the adoption of new Sections R14-6-101 through R14-6-104 and R14-6-201 through R14-6-210 in order to correct technical errors within the Sections, to reflect amendments to the United States Securities and Exchange Commission rules regarding the regulation of investment advisers and investment adviser representatives, and to prescribe parameters to the term "solicit" as used in the Arizona Investment Management Act adopted in April 1994 ("IM Act").
- The agency docket number, if applicable:
RS00000A-98-0240
3. A citation to all published notices relating to the proceeding:
None.
4. The name and address of agency personnel with whom a person may communicate regarding the rule:
Name: Cheryl T. Farson, Associate General Counsel
Address: Arizona Corporation Commission, Securities Division
1300 West Washington, Third Floor
Phoenix, Arizona 85007
Telephone: (602) 542-4242
Fax: (602) 594-7470
5. The time during which written submission may be made and the time and place where oral comments may be made:
All comments will be accepted Monday through Friday, 8 a.m. to 5 p.m., and should be addressed to the person listed in question #4. No hearing date has been set.
6. A timetable for agency decisions or other action in the proceeding:
The Securities Division plans to submit a Notice of Proposed Rulemaking by July 1998.

Arizona Corporation Commission

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