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BEFORE THE ARIZONA CORPORATION COMMISSION

JIM IRVIN
COMMISSIONER-CHAIRMAN
TONY WEST
COMMISSIONER
CARL J. KUNASEK
COMMISSIONER

APR 8 11 39 AM '99

DOCUMENT CONTROL

IN THE MATTER OF:
PROPOSED RULEMAKING

DOCKET NO. RS-00000A-98-0240

A.A.C. R14-6-101 *et seq.*
UNDER THE INVESTMENT MANAGEMENT
ACT.

PROCEDURAL ORDER

BY THE COMMISSION:

On April 1, 1999, the Arizona Corporation Commission ("Commission") issued Decision No. 61613 in the above-captioned matter. As part of the Decision, the Commission ordered that a hearing be scheduled regarding the proposed rule amendments. Pursuant to those directions, this Procedural Order establishes a hearing schedule.

IT IS THEREFORE ORDERED that a public comment hearing on the above-captioned matter shall be held on June 10, 1999, at 10:00 a.m., or as soon thereafter as is practical, at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

IT IS FURTHER ORDERED that the Securities Division shall provide timely notice of the proposed rulemaking in the Arizona Administrative Register.

IT IS FURTHER ORDERED that, although comments in this matter will be taken through June 10, 1999, companies or other interested persons are requested to file written comments on or before May 20, 1999.

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Arizona Corporation Commission
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1 IT IS FURTHER ORDERED that, although comments in this matter will be taken through
2 June 10, 1999, companies or other persons (including the Securities Division Staff) are requested to
3 file written comments on or before May 27, 1999, in response to those comments filed on or before
4 May 20, 1999.

5 DATED this 8TH day of April, 1999.



MARC E. STERN
HEARING OFFICER

9 Copies of the foregoing mailed/delivered
10 this 8TH day of April, 1999 to:

11 Mr. Daniel J. Barry
12 Director of State Regulation and Legislation
13 Securities Industry Association
14 120 Broadway
15 New York, New York 10271-0080

16 Ms. Nancy Doyal
17 Legislative Analyst
18 National Association of Securities Dealers
19 943 Floral Avenue SE
20 Grand Rapids, Michigan 49506-3430

21 Todd Johnson
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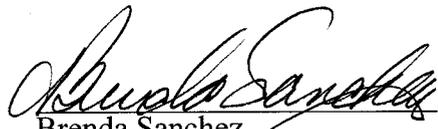
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Cheryl T. Farson
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ARIZONA CORPORATION COMMISSION
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2 2627 N. Third Street, Suite Three
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4 By: 
5 Brenda Sanchez
6 Secretary to Marc E. Stern
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