

ORIGINAL

NEW APPLICATION



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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS 2005 AUG 24 P 2:48

JEFF HATCH-MILLER, Chairman
WILLIAM A. MUNDELL
MARC SPITZER
MIKE GLEASON
KRISTIN K. MAYES

AZ CORP COMMISSION
DOCUMENT CONTROL

In the matter of
WADDELL & REED, INC.
6300 Lamar Avenue
Overland Park, Kansas 66202

Respondent.

DOCKET NO. S-20405A-05-0603

FILING TO OPEN DOCKET

Arizona Corporation Commission

DOCKETED

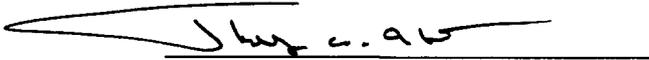
AUG 24 2005

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In connection with coordinated investigations conducted by members of a multi-state group of securities and insurance regulators into Waddell & Reed, Inc.'s suitability determinations and sales practices in connection with Waddell & Reed, Inc.'s variable annuity sales, and Waddell & Reed, Inc.'s agreement to settle this matter, the Arizona Corporation Commission ("Commission"), Securities Division ("Division"), requests that a docket be opened for the purposes of assigning a docket number to a proposed Order for Administrative Penalty and Restitution and Consent to Same tentatively scheduled to be considered by the Commission at the September 22, 2005 public open meeting.

Respondent: Waddell & Reed, Inc., 6300 Lamar Avenue, Overland Park, Kansas 66202, (913) 236-2628.

1 Respondent's Attorney: Amy E. Rush, Sr. Attorney, Legal Department, Waddell & Reed,
2 Inc., 6300, Lamar Avenue, Overland Park, Kansas 66202, (913) 236-2628.

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5 Phillip A. Hofling
6 Assistant Director, Securities Division
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