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BEFORE THE ARIZONA CORPORATION COMMISSION

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JEFF HATCH-MILLER Chairman
WILLIAM A. MUNDELL
MARC SPITZER
MIKE GLEASON
KRISTIN K. MAYES

DOCKETED

JUN - 7 2005

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IN THE MATTER OF:

DOCKET NO. S-03587A-05-0000

DAVID JOHN PALEN, CRD #2321162
11557 North 120th Street
Scottsdale, AZ 85259-3239

Respondent.

PROCEDURAL ORDER

BY THE COMMISSION:

On May 24, 2005, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against David John Palen ("Respondent") in which the Division alleged multiple violations of the Arizona Securities Act ("Act") and Arizona Investment Management Act ("IM Act") in connection with his employment as a registered securities salesman while operating as a financial advisor.

Respondent was served with a copy of the Notice.

On June 6, 2005, Respondent filed a request for hearing and an Answer generally denying the allegations in the Notice.

Accordingly, a pre-hearing conference should be held on the allegations contained in the Notice.

IT IS THEREFORE ORDERED that a **pre-hearing conference** shall be held on **July 6, 2005, at 9:30 a.m.**, at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

Dated this 7th day of June, 2005

AZ CORP COMMISSION
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MARCE STERN
ADMINISTRATIVE LAW JUDGE

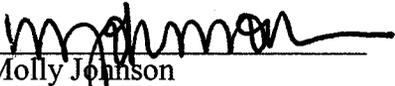
1 Copies of the foregoing mailed/delivered
this 7 day of June, 2005 to:

2 James P. Kneller
3 9509 E. First Street
4 Scottsdale, AZ 85251
Attorney for Respondent

5 Matt Neubert, Director
6 Securities Division
7 ARIZONA CORPORATION COMMISSION
1200 West Washington Street
Phoenix, AZ 85007

8 ARIZONA REPORTING SERVICE, INC.
9 2627 N. Third Street, Ste. Three
Phoenix, Arizona 85004-1104

10 By:


11 Molly Johnson
12 Secretary to Marc E. Stern
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