



BEFORE THE ARIZONA CORPORATION COMMISSION

25P

RECEIVED

COMMISSIONERS

JEFF HATCH-MILLER Chairman  
WILLIAM A. MUNDELL  
MARC SPITZER  
MIKE GLEASON  
KRISTIN K. MAYES

2005 MAY 10 P 2:02

AZ CORP COMMISSION  
DOCUMENT CONTROL

IN THE MATTER OF:

DOCKET NO. S-03569A-05-0000

RONALD EARL PFINGSTEN, a married man  
PMB #368  
P.O. Box 439060  
San Ysidro, CA 92143

Arizona Corporation Commission

DOCKETED

MAY 10 2005

SHARLENE N. PFINGSTEN, a married woman  
PMB # 368  
P.O. Box 439060  
San Ysidro, CA 92143

DOCKETED BY [Signature]

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On February 1, 2005, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Ronald Earl Pfingsten and Sharlene N. Pfingsten ("Respondents") in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of viatical settlements.

Respondents were duly served with a copy of the Notice.

On April 5, 2005, Respondents filed an Answer and request for a hearing.

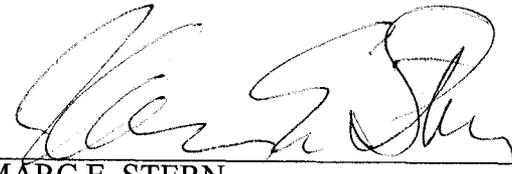
On April 7, 2005, by Procedural Order, a pre-hearing conference was scheduled on May 10, 2005.

On May 9, 2005, Respondent, Ronald E. Pfingsten, filed a request for continuance stating he resides out of state and is "not in the best of health". Mr. Pfingsten further indicated that he is trying to settle the proceeding. The Division did not object to the request and is willing to attempt to reach a resolution of the issues raised by the Notice.

Accordingly, the pre-hearing conference should be continued on the allegations contained in the Notice.

1 IT IS THEREFORE ORDERED that the **pre-hearing** conference shall be continued from  
2 May 10, 2005 to **June 23, 2005, at 2: 00 p.m.**, at the Commission's offices, 1200 West Washington  
3 Street, Phoenix, Arizona.

4 Dated this 10<sup>th</sup> day of May, 2005

5  
6   
7 MARC E. STERN  
ADMINISTRATIVE LAW JUDGE

8 Copies of the foregoing were mailed/delivered  
9 this 10 day of May, 2005 to:

10 Ronald and Sharlene N. Pfingsten  
11 P.O. Box 439060  
12 San Ysidro, CA 92143  
Respondents

13 Matt Neubert, Director  
14 Securities Division  
15 ARIZONA CORPORATION COMMISSION  
1300 West Washington Street  
Phoenix, AZ 85007

16 ARIZONA REPORTING SERVICE  
2627 N. Third Street, Ste. Three  
17 Phoenix, AZ 85004-1003

18 By:   
19 Molly Johnson  
Secretary to Marc E. Stern