

NEW APPLICATION
ORIGINAL



COMMISSIONERS
MARC SPITZER - Chairman
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
MIKE GLEASON
KRISTIN K. MAYES



MATTHEW J. NEUBERT
DIRECTOR

SECURITIES DIVISION
1300 West Washington, Third Floor
Phoenix, AZ 85007-2996
TELEPHONE: (602) 542-4242
FAX: (602) 594-7470
E-MAIL: accsec@ccsd.cc.state.az.us

ARIZONA CORPORATION COMMISSION

NEW
MEMORANDUM

TO: Nanisha Ross
Docket Control

FROM: Cheryl T. Farson
General Counsel Securities Division

DATE: September 7, 2004 RS-00000A-04-0649

RE: Docket number for proposed amendments to A.A.C. R14-4-116

AZ CORP COMMISSION
DOCUMENT CONTROL

2004 SEP -8 A 11: 29

RECEIVED

Please issue a docket number for a proposed amendment to A.A.C. R14-4-116 ("rule 116"). Rule 116 identifies those NASAA Statements of Policy used by the Division in its review of applications for registration. Rule 116 will be amended to incorporate an amendment to the NASAA Statement of Policy Regarding Church Extension Fund Securities, formerly named "Statement of Policy Regarding Guidelines for General Obligation Financing by Religious Denominations." Rule 116 will also be amended to rename this Statement of Policy to the current name.

The distribution list for this docket should include:

Matthew Neubert, Director Securities Division
Cheryl T. Farson, General Counsel Securities Division

Thank you. If you need additional information, please do not hesitate to telephone me at 602 542-0193.

Arizona Corporation Commission
DOCKETED

SEP 08 2004

DOCKETED BY